Period integrals and their differential systems

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CRG Geometry and Physics Seminar University of British Columbia Mar 30, 2015

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Based on joint works withB. Lian (Brandeis University)S. Bloch (Chicago & Tsinghua MSC)V. Srinivas (Tata)S.-T. Yau (Harvard)X. Zhu (Caltech)

2. Outline

 Brief overview: classical theory of hypergeometric functions and elliptic integrals.

- Riemann-Hilbert problem for period integrals.
- Introduction to tautological systems.
- D-module description of tautological systems.
- Some applications.

A study on the interplay between

SPECIAL FUNCTIONS \leftrightarrow COMPLEX GEOMETRY

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E.g. $\sin(z)$, $\cos(z)$, e^z , z^{α} , $\log(z)$,...

But without further restrictions, there does not appear to be a coherent theory...

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5. Let's look to the ancient masters ...



Figure: Leonhard Euler 1707-1783



Carl F. Gauss 1777-1855

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6. Euler-Gauss hypergeometric functions

The EG hypergeometric equation is the ODE defined on $P^1=C\cup\{\infty\}$:

$$z(1-z)\frac{d^2}{dz^2} + \left[c - (a+b+1)z\right]\frac{d}{dz} - ab = 0$$

where $a, b, c \in \mathbf{C}$ are fixed parameters.

Every second-order linear ODE on P^1 with three regular singular points can be transformed into this equation.

A EG hypergeometric function is a local solution to this equation. For $c \notin \mathbb{Z}_{\leq 0}$, around z = 0, it has a power series solution of the form

$$_{2}F_{1}(a, b, c; z) := \sum_{n \ge 0} \frac{(a)_{n}(b)_{n}}{(c)_{n}} \frac{z^{n}}{n!},$$

with radius of convergence 1. Here $(\alpha)_n = \prod_{k=0}^{n-1} (\alpha + k) = \frac{\Gamma(\alpha+n)}{\Gamma(\alpha)}$.

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Figure: Portrait of Adrien-Marie Legendre (1752-1833) by Julien-Leopold Boilly

The first connection to complex geometry of the hypergeometric functions is attributed to Legendre, through the theory of elliptic integrals.



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The Legendre family of elliptic curves:

 $Y_{\lambda}: \quad y^2 = x(x-1)(x-\lambda), \quad (x,y) \equiv [x,y,1] \in \mathbf{P}^2$ ameterized by $\lambda \in B := \mathbf{C} - \{0,1\}.$

For $\lambda \in B$,

 $Y_{\lambda} \simeq^{homeo.} T^2.$

For a given $\lambda_0 \in B$, we also have canonical identification

$$H^1(Y_{\lambda}, \mathbf{C}) \equiv H^1(Y_{\lambda_0}, \mathbf{C}) \equiv H^1(T, \mathbf{C}) \cong \mathbf{C}^2$$

if λ varies in any contractible neighborhood U of λ_0 .

The 1-form

$$\omega_{\lambda} := \frac{dx}{y}$$

is holomorphic on Y_{λ} , so it is *d*-closed and defines a cohomology class on $[\omega_{\lambda}] \in H^1(T, \mathbb{C}) \equiv \mathbb{C}^2$. This vector varies holomorphically with $\lambda \in U$.

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9. Period integrals

Fix a basis $\gamma_1, \gamma_2 \in H_1(T, \mathbf{Z}) = H^1(T, \mathbf{Z})^*$. Then

$$[\omega_{\lambda}] = \gamma_1^* \langle \gamma_1^*, \omega_{\lambda} \rangle + \gamma_2^* \langle \gamma_2^*, \omega_{\lambda} \rangle = \gamma_1^* \int_{\gamma_1} \omega_{\lambda} + \gamma_2^* \int_{\gamma_2} \omega_{\lambda}.$$

The coefficient functions $\int_{\gamma_i} \omega_{\lambda} \in \mathcal{O}_B(U)$ are called period integrals of the family Y_{λ} .

Remark: Even though they are defined locally, these period integrals admit (multi-valued) analytic continuations along any path in *B*. Therefore the period integrals generate a **local system** on *B*.

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Proposition: The period integrals are precisely the solutions to the EG equation (for $a = b = \frac{1}{2}$, c = 1):

$$\mathcal{L}\varphi := \lambda (1-\lambda) rac{d^2}{d\lambda^2} \varphi + (1-2\lambda) rac{d}{d\lambda} \varphi - rac{1}{4} \varphi.$$

Proof. Check that

$$\mathcal{L}\omega_{\lambda} = \left(\frac{\partial}{\partial x} \frac{(x-1)^2 x^2}{2y^3}\right) dx$$

Right side is an exact 1-form on Y_{λ} -finite set.

It follows that

$$\mathcal{L}\int_{\gamma_i}\omega_\lambda=\int_{\gamma_i}\mathcal{L}\omega_\lambda=0$$

by Stoke's theorem. □

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Remarks: This effectively reduces the task of computing each integral $\int_{\gamma_i} \omega_{\lambda}$ to one of determining two constants in the general solution to an ODE.

For example, at $\lambda = 0$, the curve Y_{λ} develops a node. With a little more work – basically by studying how the form ω_{λ} develops a pole when $\lambda = 0$, we can determine those constants.

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If γ_1 is the basic 1-cycle on Y_0 that avoids the node, then

$$\int_{\gamma_1} \omega_{\lambda} = {}_2F_1(\frac{1}{2}, \frac{1}{2}, 1, \lambda).$$

If γ_2 is the basic 1-cycle that runs through the node, then

$$\int_{\gamma_2} \omega_{\lambda} = {}_2F_1(\frac{1}{2}, \frac{1}{2}, 1, \lambda) \log \lambda + g_1(\lambda)$$

where $g_1(\lambda)$ is a unique power series determined by the EG equation.

Thus we have effectively solved an integration problem – elliptic integrals – by relating it to the geometry of curves.

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13. Remarks

There is a similar story for hyper-elliptic integrals (Euler)



where Q(x) is square free polynomial.

This interplay between special integrals and geometry will be the spirit in which we proceed to study higher dimensional analogues of elliptic integrals.

14. Remarks

- Consideration of other special functions (often with physics motivations) have led to development of more general hypergeometric functions: Kummer, Legendre, Hermit, Bessel, H. Schwarz, Pochammer, Appell,...
- Modern theory (1990's): Gel'fand school initiated a systematic study of hypergeometric functions of several variables.
- In parallel, consideration of period integrals have also led to development of modern Hodge theory: Riemann, Hodge, Griffiths, Schmid, Simpson,...

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15. Higher dimensional analogues: Period sheaves

Let *B* connected complex manifold (parameter space).

Let E
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 $\nabla: \mathcal{O}(E) \to \mathcal{O}(E) \otimes \Omega^1_B.$

Let

 $\langle \;,\;\rangle:\mathcal{O}(E)\otimes\mathcal{O}(E^*)\to\mathcal{O}_B$

be the usual pairing.

Fix global section $s^* \in \Gamma(B, E^*)$.

Definition: The period sheaf

 $\mathbf{\Pi} \equiv \mathbf{\Pi}(E, s^*) \subset \mathcal{O}_B$

is the image of the map

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Let $\pi : \mathcal{Y} \to B$ be a family of *d*-dimensional compact complex manifolds, with $Y_b := \pi^{-1}(b)$.

From topology: cohomology groups of fibers $H^k(Y_b, \mathbb{C})$ form a vector bundle $E^* := R^k \pi_* \mathbb{C}$ over B; dual bundle $E = E^{**}$ has fibers $H_k(Y_b, \mathbb{C})$, and

 $\langle \ , \ \rangle : \mathcal{O}(E) \otimes \mathcal{O}(E^*) \to \mathcal{O}_B$

is the Poincaré pairing; E is equipped with a canonical flat (Gauss-Manin) connection ∇ .

Fix $s^* \in \Gamma(B, E^*)$, and represent $s^*(b) \in H^k(Y_b, \mathbb{C})$ by a closed form on Y_b . Represent section $\gamma \in \ker \nabla$ by cycle on Y_b . So, a local section $f \in \Pi(U)$ becomes an integral

$$f(b) = \langle \gamma, s^*(b) \rangle = \int_{\gamma} s^*(b).$$

We call this a **period integral** of \mathcal{Y} with respect $t_{\mathbf{Q}} s^*$, $t_{\mathbf{Q}}$, t_{\mathbf

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The Riemann-Hilbert Problem for Period Integrals: Construct a complete system of partial differential equations for the period integrals in $\Pi(E, \omega)$.

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19. Why care?

 Physics: compute Yukawa coupling in Type IIB string theory (Candelas-de la Ossa-Green-Parkes, 1990.) and counting instantons ("Gromov-Witten" invariants) in Type IIA string theory, by Mirror Symmetry.

• Hodge theory: study of period mapping, when the Y_b are projective and B simply-connected:

$$P: B \to \mathbf{P}^m, \quad b \mapsto [\int_{\gamma_0} \omega(b), ..., \int_{\gamma_m} \omega(b)].$$

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Dwork-Griffiths' reduction-of-pole method can (in principle) be used to derive differential equations; often works for **one-parameter** families only.

Example. For the Legendre family, this method yields precisely the EG equation

$$\lambda(1-\lambda)\frac{d^2}{d\lambda^2}\varphi + (1-2\lambda)\frac{d}{d\lambda}\varphi - \frac{1}{4}\varphi = 0.$$

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A toric manifold is, roughly speaking, a manifold containing a torus $(\mathbf{C}^{\times})^n$ as an open dense subset, such that the action of the torus on itself, extends to the whole manifold.

Let X^{d+1} be a toric manifold with respect to torus T, Assume $c_1(X) \ge 0$, and assume that generic CY hypersurface in X is smooth. Consider the family $\pi : \mathcal{Y} \to B$ of all such hypersurfaces.

Let \hat{t} be the Lie algebra of $T \times \mathbb{C}^{\times}$. Then T induces a linear action on $H^0(-K_X)$, and \mathbb{C}^{\times} acts by scaling. So, we have a Lie algebra action

$$\hat{\mathfrak{t}} \to End \ H^0(-K_X), \ y \mapsto Z_y.$$

Let $\beta : \hat{\mathfrak{t}} \to \mathbb{C}$ be a character which takes zero on T, and takes 1 on the Euler operator, as a generator of the Lie algebra of \mathbb{C}^{\times} .

Each section $f \in H^0(-K_X)$ restricted to $T \subset X$ is a Laurent polynomial. In fact, the restriction of $H^0(-K_X)$ has a basis of Laurent monomials x^{μ_i} in $x_0, ..., x_d$ – coordinates on $T \leftarrow (\mathbb{C}^{\times})^{d+\frac{1}{2}}$.

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23. Toric hypersurfaces: differential equations

Proposition: The period integrals of the family \mathcal{Y} of CY hypersurfaces in X satisfy the PDE system

$$\Box_I \varphi = 0, \quad (Z_y + \beta(y)) \varphi = 0, \quad y \in \hat{\mathfrak{t}}$$

where the I are integral vectors such that $\sum_{i} l_{i} \mu_{i} = 0$, $\sum_{i} l_{i} = 0$, and

$$\Box_I := \prod_{l_i > 0} (\frac{\partial}{\partial a_i})^{l_i} - \prod_{l_i < 0} (\frac{\partial}{\partial a_i})^{-l_i}$$

This system is called a GKZ hypergeometric system.

Remark: A theorem of GKZ says that solution space of this system is finite dim. However, this system is never complete – there are always more solutions than period integrals. But there are two conjectural ways to pick out the period integrals among solutions.

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There were a few more isolated examples on the RH problem for period integrals beyond toric hypersurfaces between 1996-2010.

For example, the problem was open even for the case of hypersurfaces in a flag variety (i.e. GL_n/P).

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Data & notations: X: projective manifold G: complex algebraic group, with Lie algebra \mathfrak{g} $G \times X \to X$, $(g, x) \mapsto gx$, a group action L: an equivariant base-point-free line bundle on X $V := H^0(X, L)^*$

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26. Example to keep in mind

$$X = \mathbf{P}^{2}$$

$$G = PSL_{3}$$

$$L = O(3)$$

$$V^{*} = Sym^{3} \mathbf{C}^{3}$$

$$\begin{split} \phi &: X \hookrightarrow \mathbf{P}V \text{ is the Segre embedding,} \\ &[z_0, z_1, z_2] \mapsto [z_0^3, z_0^2 z_1, z_0^2 z_2, .., z_2^3]. \\ &I_{\phi} = \text{the quadratic ideal generated by the Veronese binomials.} \\ &D_{V^*} = \text{the Weyl algebra } \mathbf{C}[a_0, ..., a_9, \frac{\partial}{\partial a_0}, ..., \frac{\partial}{\partial a_0}]. \end{split}$$

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Define a Lie algebra map (Fourier transform):

 $V^* \to Der Sym(V), \quad \zeta \mapsto \partial_{\zeta}, \quad \partial_{\zeta}a := \langle a, \zeta \rangle.$

The linear action $G o Aut \ V$ induces Lie algebra map $\mathfrak{g} o Der \ Sym(V), \ x \mapsto Z_x.$

Let a_i and ζ_i be any dual bases of V, V^* . Then $\partial_{\zeta_i} = \frac{\partial}{\partial a_i}$.

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Let a_i and ζ_i be any dual bases of V, V^* . Then $\partial_{\zeta_i} = \frac{\partial}{\partial a_i}$.

Definition: Fix $\beta \in \mathbb{C}$. Let $\tau(X, L, G, \beta)$ be the left ideal in D_{V^*} generated by the following differential operators: $\{p(\partial_{\zeta})|p(\zeta) \in I_{\phi}\}$, (polynomial operators) $\{Z_x|x \in \mathfrak{g}\}$, (*G* operators) $\varepsilon_{\beta} := \sum_i a_i \frac{\partial}{\partial a_i} + \beta$, (Euler operator.) We call this system of differential operators a tautological system.

29. Regularity & Holonomicity

Theorem: [Lian-Song-Yau] Suppose X has only finite number of G orbits. Then the tautological system $\tau(X, L, G, \beta)$ is **regular** holonomic. Moreover, the solution rank is bounded above by the degree of $X \mapsto \mathbf{P}V$ if the $\mathbf{C}[X]$ is Cohen-Macaulay.

Corollary: Any formal power series solution is **analytic**; the sheaf of solutions is a locally constant sheaf of **finite rank** on some open $V_{gen}^* \subset V^*$.

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30. From complex geometry to special functions

Let X be a compact complex G-manifold such that $-K_X$ is base point free. Consider the family \mathcal{Y} of all CY hypersurfaces in X.

Theorem: [Lian-Yau] The period integrals of the family ${\mathcal Y}$

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Let X be a compact complex G-manifold such that $-K_X$ is base point free. Consider the family \mathcal{Y} of all CY hypersurfaces in X.

Theorem: [Lian-Yau] The period integrals of the family $\mathcal Y$

 $\int_{\gamma} \omega$

are solutions to the tautological system $\tau(X, -K_X, G, 1)$.

Consider the family of CY hypersurfaces Y_{σ} in X, and write $\tau \equiv \tau(X, -K_X, G, 1)$ for the corresponding tautological system.

Theorem: [Bloch-H-Lian-Srinivas-Yau] Let G be a semisimple group and X^n a projective homogeneous G-space (i.e. G/P), such that $\mathfrak{g} \otimes \Gamma(X, K_X^{-r}) \twoheadrightarrow \Gamma(X, TX \otimes K_X^{-r})$. Then the solution rank of τ at any point σ is dim $H^n(X - Y_{\sigma})$.

Remark: (1) It was conjectured that the statement is true without the surjectivity assumption. The latter seems difficult to check in general.

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Recall that rk $\Pi(E, \omega) \leq$ solution rk of τ . When is this an equality, i.e. when is τ complete?

Corollary: Suppose X is a projective homogeneous space. Then the tautological system τ is complete iff the primitive cohomology $H^n(X)_{prim} = 0.$

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34. Algebraic rank formula

Introduce notations:

Fix a very ample line bundle *L* over a projective *G*-variety *X*, and put

$$R = \oplus_{j=0}^{\infty} \Gamma(X, L^j)$$

the coordinate ring of X with respect to the tautological embedding $X \hookrightarrow \mathbf{P}V$, $V := \Gamma(X, L)^*$.

Let

$$Z^*: \hat{\mathfrak{g}} = \mathfrak{g} \oplus \mathbf{C} o EndV^*$$

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Observation:

(1) The space $R[V]e^{f}$ has a natural $D_{V^*} = \mathbb{C}[a, \partial]$ -module structure given by (the " $\frac{1}{2}$ -Fourier transform"):

$$a_i \mapsto a_i, \ \partial_i \mapsto a_i^* + \partial_i.$$

(Note that $a_i \in V \subset R$ and $a_i^* \in V^*$ acts by left multiplications on $R[V]e^f$.)

(2) The operators $Z^*(\hat{\mathfrak{g}})$ commute with D_{V^*} , hence $Z^*(\hat{\mathfrak{g}})R[V]e^f$ is a D_{V^*} -submodule of $R[V]e^f$.

Theorem(BHLSY,HLZ): There is a canonical *D*-module isomorphism

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36. Applications

The theorem has many interesting applications.

Corollary(BHLSY,HLZ): Let X be a projective homogeneous G-space. Then the space of solutions of the differential system τ at any point b ∈ V* is canonically isomorphic to

 $H_0^{Lie}(\hat{\mathfrak{g}}, Re^{f_b})^*.$

Example: G = PSL_n, and X = Pⁿ⁻¹. Then L = K_X⁻¹ = O(n). Let x₁,..., x_n be the homogeneous coordinates of X. Then for generic b ∈ V*, the monomials

$$x_1^{k_1} \cdots x_n^{k_n} e^{f_b}, \ n | \sum k_i, \ 0 \le k_i \le n-2$$

form a basis of $H_0^{Lie}(\hat{\mathfrak{g}}, Re^{f_b})$.

37. Applications

 Completeness. Counting the monomials, we find that generically there are exactly

$$\frac{n-1}{n}((n-1)^{n-1}-(-1)^{n-1})$$

solutions to the tautological system τ for the universal CY family in \mathbf{P}^{n-1} above. This proves τ is complete, because the period sheaf of this family has this rank.

• **Explicit solutions** (M. Zhu): The result on solution rank has recently led to proof of the so-called 'Hyperplane Conjecture' for $X = \mathbf{P}^{n-1}$. Namely, the period integrals of the universal CY family are precisely given by the combinatorial solution formula of Hosono-Lian-Yau (1995), to the extended GKZ system.

38. Applications: mirror symmetry

▶ Constructing LCSL degenerations. Recall that a LCSL degenerate CY $Y_{b_{\infty}}$ corresponds to $b_{\infty} \in V^*$, where the local monodromy is maximally unipotent, hence there is just one analytic solution at b_{∞} . By the rank formula, we have

 $\dim H_0^{Lie}(\hat{\mathfrak{g}}, Re^{f_{b\infty}}) = 1.$

• **Example.** Consider the degenerate CY $b_{\infty} = x_1 \cdots x_n = 0$. Then one finds that

$$H_0^{Lie}(\hat{\mathfrak{g}}, Re^{f_{b\infty}}) = \mathbf{C}e^{f_{b\infty}}$$

This is the famous LCSL degeneration for the CY family in \mathbf{P}^{n-1} , where instanton counting can be done by Mirror Symmetry.

► More generally, for Xⁿ any projective homogenous G-variety and L = K_X⁻¹, we have

$$H_n(X - Y_b) \simeq Hom_D(\tau, \mathcal{O}_b^{an}) \simeq H_0^{Lie}(\hat{\mathfrak{g}}, Re^{f_b})^*$$

for any $b \in V^*$. So, we can construct LCSL candidates by either geometric methods (lhs) or representation theoretic methods (rhs): look for points $b \in V^*$ where either side is 1 dim.

• **Detecting rank 1 fibers.** We say that a fiber Y_b has rank 1 if dim $H_n(X - Y_b) = 1$. Thus to look for LCSL CY, we can look for divisor Y_b in X whose complement has a particular homotopy type.

Example. For $b_{\infty} = x_1 \cdots x_n = 0$ in \mathbf{P}^{n-1} , the complement is homotopic to *n*-torus.

► (BHLSY) For the Grassmannian X = G(k, n), we consider the degenerate CY

$$b_{\infty} = x_{1\cdots k} x_{2\cdots (k+1)} \cdots x_{n1\cdots (k-1)} = 0$$

where the x_I are the Plücker coordinates. We can compute directly the sI_n coinvariants on the module $Re^{f_{b_{\infty}}}$:

$$H_0^{Lie}(\hat{\mathfrak{g}}, Re^{f_{b_\infty}}) = \mathbf{C}e^{f_{b_\infty}}$$

Or, we can also compute $H_n(X - Y_{b_{\infty}})$ topologically by induction on the *n* components of the divisor $Y_{b_{\infty}}$, starting from

$$x_{1\cdots k}=0.$$

(HLZ): Next, we generalize in two ways.

- First, we can "glue" together lower dimensional rank 1 fibers in smaller Grassmannians to yield rank 1 fibers in an arbitrary (type A) partial flag variety.
- Second, we can construct directly a canonical rank 1 fiber in every projective homogenous variety X = G/P as follows.

▶ There is a natural stratification of the flag variety G/B, called the Richardson stratification. It induces a similar stratification under the projection $G/B \rightarrow G/P$. Then

 $Y_{b_{\infty}}$:= union of closures of codimension 1 strata.

is $Y_{b_{\infty}}$ an anticanonical divisor.

- ► Moreover, Y_{b∞} is a rank 1 fiber of the universal CY family in X = G/P. This is a consequence the solution rank formula, together with the classical BGG multiplicity theorem for Verma modules (or the Kazhdan-Lusztig conjecture).
- **Remark:** Taking X = G(k, n) recovers the rank 1 fiber

$$Y_{b_{\infty}}=\{x_{1\cdots k}x_{2\cdots (k+1)}\cdots=0\}.$$

43. LCSL degeneration for toric hypersurfaces

• (HLY): Consider the case a projective toric manifold X^n . Then

 $Y_{b_{\infty}} :=$ union of T-invariant divisors in X

is anticanonical in X.

Once again, we find

$$H_0^{Lie}(\hat{\mathfrak{t}}, Re^{f_{b_{\infty}}}) = \mathbf{C}e^{f_{b_{\infty}}}$$

hence $Y_{b_{\infty}}$ is a rank 1 fiber. This is also a LCSL degeneration.

44. Applications: injectivity of parallel transport

 (HLZ): For arbitrary finite-orbit G-variety Xⁿ, one of the isomorphisms generalizes to an injective map of local systems:

$$\begin{aligned} H_n(X - Y_b) &\to Hom_D(\tau, \mathcal{O}_b^{an}) \simeq H_0^{Lie}(\hat{\mathfrak{t}}, Re^{f_b}) \\ \Gamma \to \int_{\Gamma} \frac{\omega}{f_b}. \end{aligned}$$

Here ω is the unique (up to scalar) holomorphic top form on the complement of the zero section in K_X .

Note that under the map, parallel transport on the local system H_n(X − Y_{*}) coincides with analytic continuation on Hom_D(τ, O^{an}). It follows that for a given point a ∈ V^{*}, and b any nearby point, the parallel transport map

$$H_n(X - Y_a) \rightarrow H_n(X - Y_b)$$

is also injective.

► **Remark**: This answers a question posed by of Bloch.

45. **Mirror of** G/P (work in progress)

These special points allow us to propose a mirror construction of G/P. In addition, the algebraic rank formula, combined with a mixed Hodge structure resulting in the geometric rank formula, give rise to a Frobenius ring structure near the "Fermat point", which is likely to be identified with the small quantum cohomology ring on the mirror A-side– these constructions will hopefully help clarify many issues regarding mirror of G/P, as well as the "hyperplane conjecture".

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These chains are canonically constructed by a spectral sequence, converging to a generic stalk of the solution sheaf of the GKZ system, given in the general formula as a compactly supported middle cohomology of a perverse sheaf.

In fact, these chain integrals were called "semi-periods", and are also relevant in the arithmetic of Calabi-Yau over finite fields, as was shown by Candelas, Ossa, and Rodriguez-Villegas. Some examples of semi-periods were also studied by physicists Avram et al.

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48. Computation of periods

The framework of tautological system gives rise to a way to explicitly compute the periods of Calabi-Yau or general type hypersurfaces in \mathbf{P}^n , by combing our understanding of the tautological D-module, and the explicit solutions to GKZ systems.

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Thank you for your attention!

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