

Conjugacy and equivalence of weighted automata

Jacques Sakarovitch

CNRS / Telecom ParisTech

The results presented in this talk are based on a joint work with

Marie-Pierre Béal (Univ. Paris-Est)

and

Sylvain Lombardy (Univ. Bordeaux)

published in *Proc. of CSR 2006*.

The complete journal version is still in preparation.

Some of the results have been included in the chapter

Rational and recognizable series

of the *Handbook of Weighted Automata*, Springer, 2009.

Part I

An introductory result

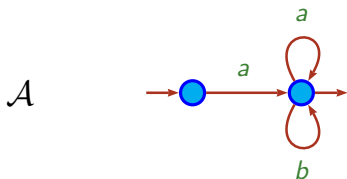
The Rational Bijection Theorem

Theorem

*If two rational languages have the same growth function,
then there exists a letter-to-letter rational bijection
that maps one language onto the other.*

An example: a first language

$$L = a(a + b)^*$$

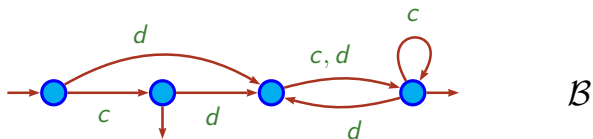


a	aaa	$aaaa$	$abaa$
	aab	$aaab$	$abab$
aa	aba	$aaba$	$abba$
ab	abb	$aabb$	$abbb$

$$\forall n \in \mathbb{N}, n > 0 \quad g_L(n) = \text{Card}(L \cap \{a, b\}^n) = 2^{n-1}$$

An example: a second language

$$K = (c + dc + dd)^* \setminus \{cc(c + d)^* \cup 1_{B^*}\}$$



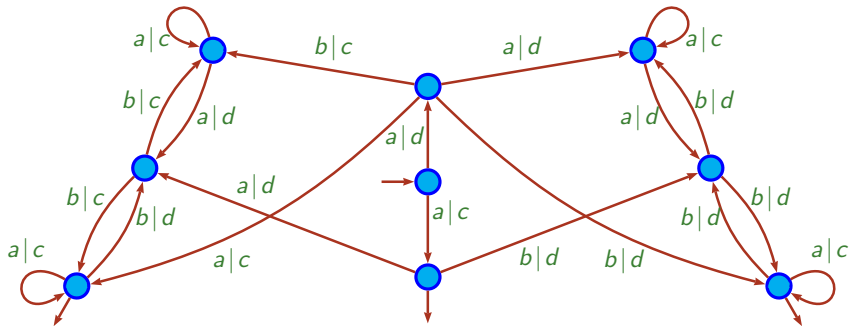
c	cdc	$cdcc$	$dcdd$
	cdd	$cddc$	$ddcc$
dc	dcc	$dccc$	$dddc$
dd	ddc	$dcdc$	$dddd$

$$\forall n \in \mathbb{N}, n > 0 \quad g_K(n) = \text{Card}(K \cap \{c, d\}^n) = 2^{n-1}$$

An example: the rational bijection

$$L = a(a + b)^*$$

$$K = (c + dc + dd)^* \setminus \{cc(c + d)^* \cup 1_{B^*}\}$$

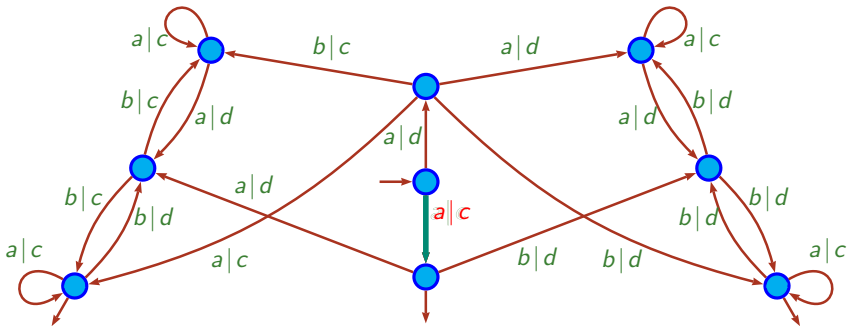


a	aaa	$aaaa$	$abaa$	c	cdc	$cdcc$	$dcdd$
	aab	$aaab$	$abab$		cdd	$cddc$	$ddcc$
aa	aba	$aaba$	$abba$	dc	dcc	$dccc$	$dddc$
ab	abb	$aabb$	$abbb$	dd	ddc	$dcdc$	$dddd$

An example: the rational bijection

$$L = a(a + b)^*$$

$$K = (c + dc + dd)^* \setminus \{cc(c + d)^* \cup 1_{B^*}\}$$



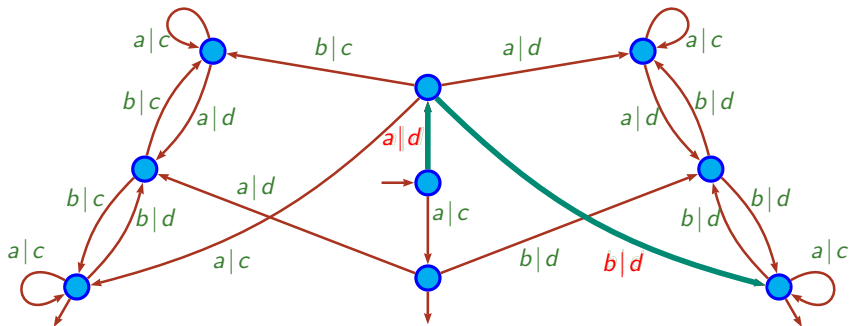
<i>a</i>	<i>aaa</i>	<i>aaaa</i>	<i>abaa</i>
	<i>aab</i>	<i>aaab</i>	<i>abab</i>
<i>aa</i>	<i>aba</i>	<i>aaba</i>	<i>abba</i>
<i>ab</i>	<i>abb</i>	<i>aabb</i>	<i>abbb</i>

<i>c</i>	<i>cdc</i>	<i>cdcc</i>	<i>dcdd</i>
	<i>cdd</i>	<i>cddc</i>	<i>ddcc</i>
<i>dc</i>	<i>dcc</i>	<i>dccc</i>	<i>dddc</i>
<i>dd</i>	<i>ddc</i>	<i>dcdc</i>	<i>dddd</i>

An example: the rational bijection

$$L = a(a + b)^*$$

$$K = (c + dc + dd)^* \setminus \{cc(c + d)^* \cup 1_{B^*}\}$$

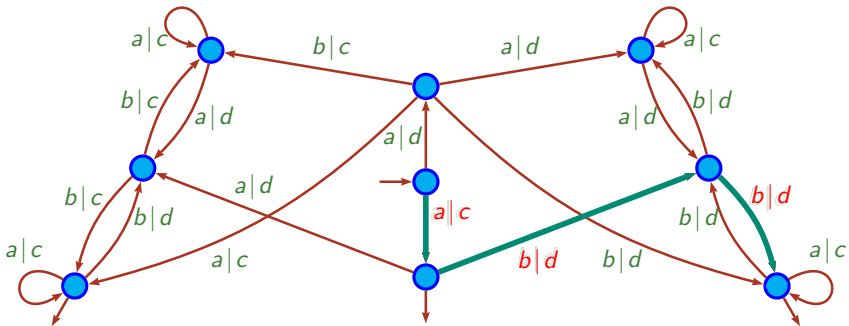


<i>a</i>	<i>aaa</i>	<i>aaaa</i>	<i>abaa</i>	<i>c</i>	<i>cdc</i>	<i>cdcc</i>	<i>dcdd</i>
	<i>aab</i>	<i>aaab</i>	<i>abab</i>		<i>cdd</i>	<i>cddc</i>	<i>ddcc</i>
<i>aa</i>	<i>aba</i>	<i>aaba</i>	<i>abba</i>	<i>dc</i>	<i>dcc</i>	<i>dccc</i>	<i>dddc</i>
<i>ab</i>	<i>abb</i>	<i>aabb</i>	<i>abbb</i>	<i>dd</i>	<i>ddc</i>	<i>dcdc</i>	<i>dddd</i>

An example: the rational bijection

$$L = a(a + b)^*$$

$$K = (c + dc + dd)^* \setminus \{cc(c + d)^* \cup 1_{B^*}\}$$

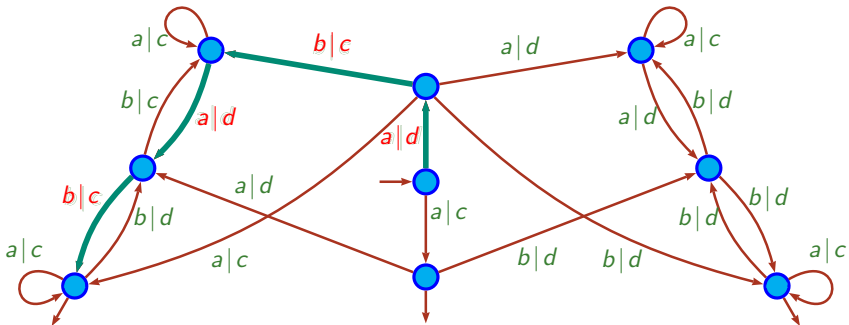


<i>a</i>	<i>aaa</i>	<i>aaaa</i>	<i>abaa</i>	<i>c</i>	<i>cdc</i>	<i>cdcc</i>	<i>dcdd</i>
	<i>aab</i>	<i>aaab</i>	<i>abab</i>		<i>cdd</i>	<i>cddc</i>	<i>ddcc</i>
<i>aa</i>	<i>aba</i>	<i>aaba</i>	<i>abba</i>	<i>dc</i>	<i>dcc</i>	<i>dccc</i>	<i>dddc</i>
<i>ab</i>	<i>abb</i>	<i>aabb</i>	<i>abbb</i>	<i>dd</i>	<i>ddc</i>	<i>dcdc</i>	<i>dddd</i>

An example: the rational bijection

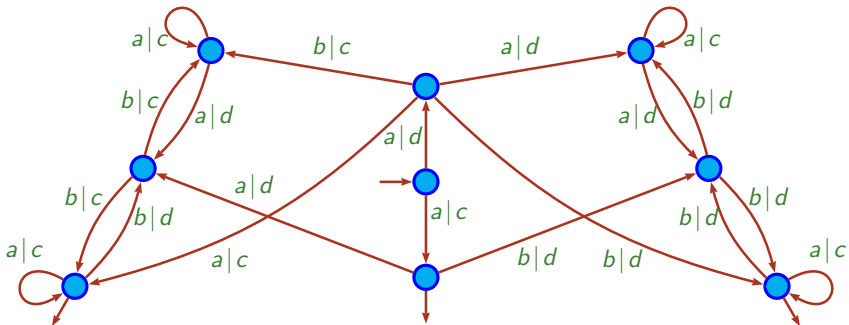
$$L = a(a + b)^*$$

$$K = (c + dc + dd)^* \setminus \{cc(c + d)^* \cup 1_{B^*}\}$$

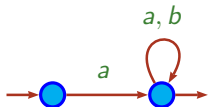


<i>a</i>	<i>aaa</i>	<i>aaaa</i>	<i>abaa</i>	<i>c</i>	<i>cdc</i>	<i>cdcc</i>	<i>dcdd</i>
	<i>aab</i>	<i>aaab</i>	<i>abab</i>		<i>cdd</i>	<i>cddc</i>	<i>ddcc</i>
<i>aa</i>	<i>aba</i>	<i>aaba</i>	<i>abba</i>	<i>dc</i>	<i>dcc</i>	<i>dccc</i>	<i>dddc</i>
<i>ab</i>	<i>abb</i>	<i>aabb</i>	<i>abbb</i>	<i>dd</i>	<i>ddc</i>	<i>dcdc</i>	<i>dddd</i>

The RBT on this example: construction of the transducer

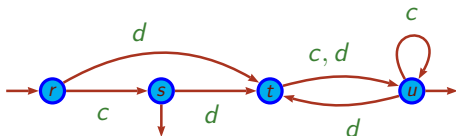


from the automata



A

and

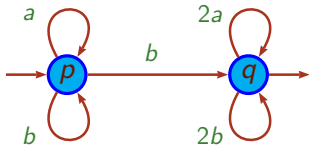


B

Proof of the Rational Bijection Theorem

1. The model of weighted automaton:
Bridge between **growth function** and **finite automata**
2. Decidability of equivalence of generating series
Taken for granted
3. The conjugacy theorem
4. Definition of morphisms and the FET for weighted automata
5. The harvest

Step 1: the model of weighted automaton



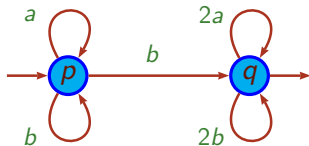
$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1} \rightarrow$$

$$\xrightarrow{1} p \xrightarrow{b} q \xrightarrow{2a} q \xrightarrow{2b} q \xrightarrow{1} \rightarrow$$

$$bab \mapsto 5$$

$$\forall w \in A^* \quad w \mapsto \langle w \rangle_2$$

Step 1: the model of weighted automaton



$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1}$$

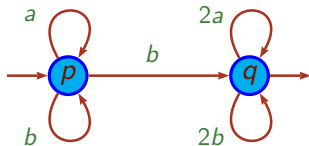
$$\xrightarrow{1} p \xrightarrow{b} q \xrightarrow{2a} q \xrightarrow{2b} q \xrightarrow{1}$$

$$bab \mapsto 5 \quad \forall w \in A^* \quad w \mapsto \langle w \rangle_2$$

$$s: A^* \rightarrow \mathbb{N} \quad s: w \mapsto \langle s, w \rangle \quad s \in \mathbb{N}^{A^*}$$

$$s = b + ab + 2ba + 3bb + aab \\ + 2aba + 3abb + 4baa + 5bab + \dots$$

Step 1: the model of weighted automaton



$$\xrightarrow{1} p \xrightarrow{b} p \xrightarrow{a} p \xrightarrow{b} q \xrightarrow{1}$$

$$\xrightarrow{1} p \xrightarrow{b} q \xrightarrow{2a} q \xrightarrow{2b} q \xrightarrow{1}$$

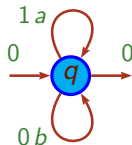
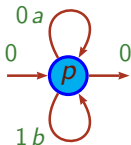
$$bab \mapsto 5 \quad \forall w \in A^* \quad w \mapsto \langle w \rangle_2$$

$$s: A^* \rightarrow \mathbb{N} \quad s: w \mapsto \langle s, w \rangle \quad s \in \mathbb{N}\langle\langle A^* \rangle\rangle$$

$$s = b + ab + 2ba + 3bb + aab \\ + 2aba + 3abb + 4baa + 5bab + \dots$$

Step 1: the model of weighted automaton(cont.)

$$\mathcal{M} = \langle \mathbb{N} \cup \{+\infty\}, \min, + \rangle$$



$$\begin{array}{ccccccccc} 0 & \rightarrow & p & \xrightarrow{1b} & p & \xrightarrow{0a} & p & \xrightarrow{1b} & p & \xrightarrow{0} & \rightarrow \\ 0 & \rightarrow & q & \xrightarrow{0b} & q & \xrightarrow{1a} & q & \xrightarrow{0b} & q & \xrightarrow{0} & \rightarrow \end{array}$$

$$bab \mapsto 1 \quad \forall w \in A^* \quad w \mapsto \min\{|w|_a, |w|_b\}$$

$$s : A^* \longrightarrow \mathcal{M} \quad s : w \mapsto \langle s, w \rangle \quad s \in \mathcal{M} \langle\langle A^* \rangle\rangle$$

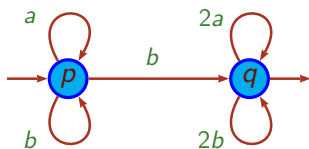
$$\begin{aligned} s = & 01_{A^*} \oplus 0a \oplus 0b \oplus 0aa \oplus 1ab \oplus 1ba \oplus 0bb \\ & \oplus 0aaa \oplus 1aab \oplus 1aba \oplus 1abb \oplus \dots \end{aligned}$$

Step 1: the model of weighted automaton

Series play the role of **languages**

$\mathbb{K}\langle\langle A^* \rangle\rangle$ plays the role of $\mathfrak{P}(A^*)$

Step 1: the model of weighted automaton



Automata are matrices

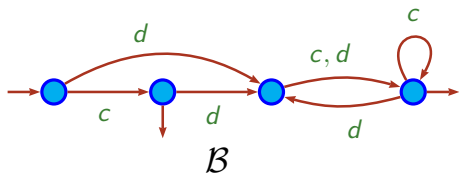
$$\mathcal{A} = \langle I, E, T \rangle = \left\langle \left(\begin{array}{cc} 1 & 0 \end{array} \right), \left(\begin{array}{cc} a+b & b \\ 0 & 2a+2b \end{array} \right), \left(\begin{array}{c} 0 \\ 1 \end{array} \right) \right\rangle$$

$$|\mathcal{A}| = I E^* T$$

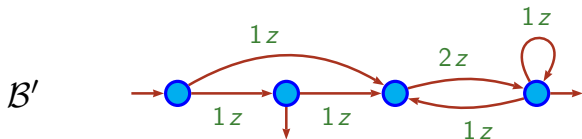
Step 2: the generating series

A language $K = (c + d c + d d)^* \setminus \{c c (c + d)^* \cup 1_{B^*}\}$ that is,

an **unambiguous** automaton:



is transformed into an automaton over $\{z\}^*$ with weight in \mathbb{N}



which realises the **generating series**

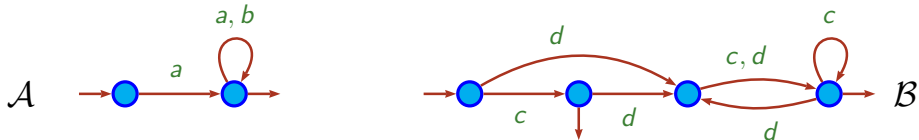
$$G_K(z) = \sum_{n \in \mathbb{N}} g_K(n) z^n .$$

Step 2: the generating series

**Growth functions
are realised
by weighted automata.**

Step 2: the generating series

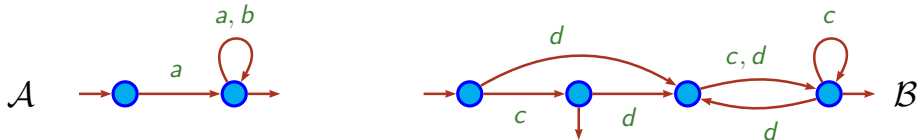
(i) Two **unambiguous** finite automata \mathcal{A} and \mathcal{B} ,



Step 2: the generating series

- (i) Two **unambiguous** finite automata \mathcal{A} and \mathcal{B} ,
- (ii) transformed into \mathcal{A}' and \mathcal{B}' , over $\{z\}^*$ with multiplicity in \mathbb{N} , which realise the *generating functions* $G_L(z)$ and $G_K(z)$:

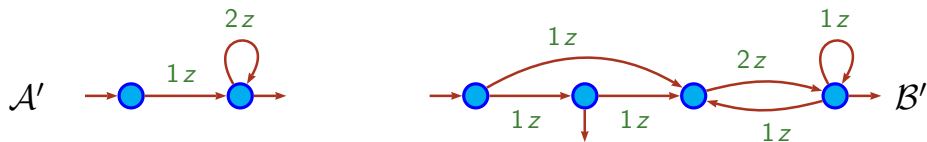
$$G_L(z) = \sum_{n \in \mathbb{N}} g_L(n) z^n \quad \text{and} \quad G_K(z) = \sum_{n \in \mathbb{N}} g_K(n) z^n ,$$



Step 2: the generating series

- (i) Two **unambiguous** finite automata \mathcal{A} and \mathcal{B} ,
- (ii) transformed into \mathcal{A}' and \mathcal{B}' , over $\{z\}^*$ with multiplicity in \mathbb{N} , which realise the *generating functions* $G_L(z)$ and $G_K(z)$:

$$G_L(z) = \sum_{n \in \mathbb{N}} g_L(n) z^n \quad \text{and} \quad G_K(z) = \sum_{n \in \mathbb{N}} g_K(n) z^n ,$$

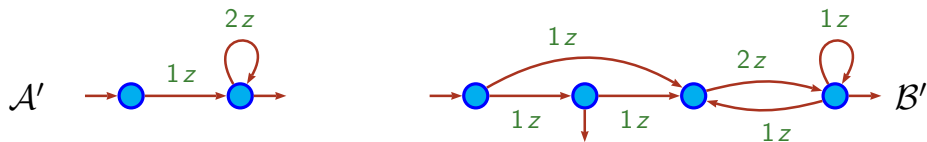


Step 2: the generating series

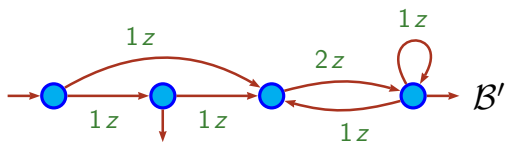
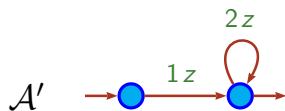
- (i) Two **unambiguous** finite automata \mathcal{A} and \mathcal{B} ,
- (ii) transformed into \mathcal{A}' and \mathcal{B}' , over $\{z\}^*$ with multiplicity in \mathbb{N} , which realise the *generating functions* $G_L(z)$ and $G_K(z)$:

$$G_L(z) = \sum_{n \in \mathbb{N}} g_L(n) z^n \quad \text{and} \quad G_K(z) = \sum_{n \in \mathbb{N}} g_K(n) z^n ,$$

- (iii) and whose equivalence is decidable (Chomsky–Miller 1958).



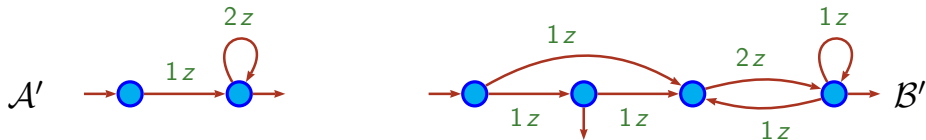
Step 3: The conjugacy theorem



Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are *conjugate* to a same third \mathbb{N} -automaton.

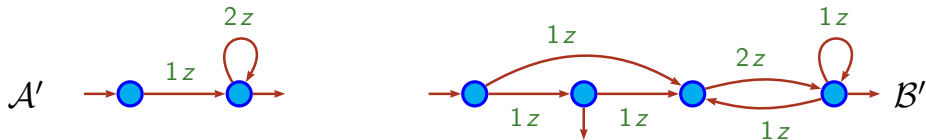


Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are *conjugate* to a same third \mathbb{N} -automaton.

Automata are matrices



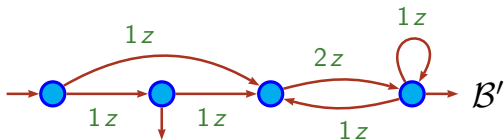
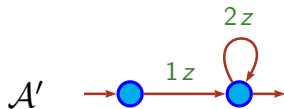
Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are *conjugate* to a same third \mathbb{N} -automaton.

Automata are matrices

$$\mathcal{A}' = \langle I, E, T \rangle = \left\langle \begin{pmatrix} 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & z \\ 0 & 2z \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle$$



Step 3: The conjugacy theorem

Theorem (BLS)

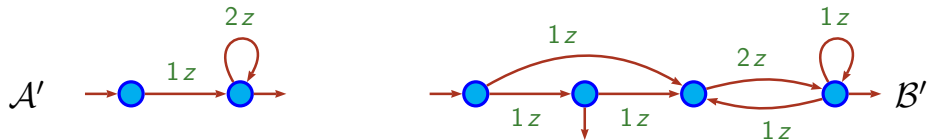
Two \mathbb{N} -automata are equivalent if and only if they are conjugate to a same third \mathbb{N} -automaton.

Definition

Let $\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ be two \mathbb{K} -automata.

\mathcal{A} is conjugate to \mathcal{B} if

$$\exists X \text{ } \mathbb{K}\text{-matrix} \quad IX = J, \quad EX = XF, \quad \text{and} \quad T = XU$$



Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are conjugate to a same third \mathbb{N} -automaton.

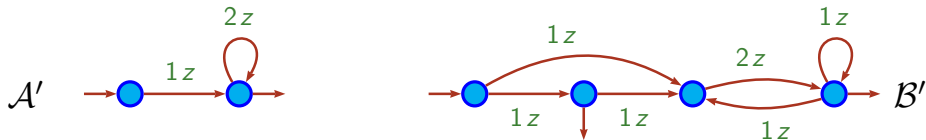
Definition

Let $\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ be two \mathbb{K} -automata.

\mathcal{A} is **conjugate to** \mathcal{B} if

$$\exists X \text{ } \mathbb{K}\text{-matrix} \quad IX = J, \quad EX = XF, \quad \text{and} \quad T = XU$$

This is denoted as $\mathcal{A} \xrightarrow{X} \mathcal{B}$.

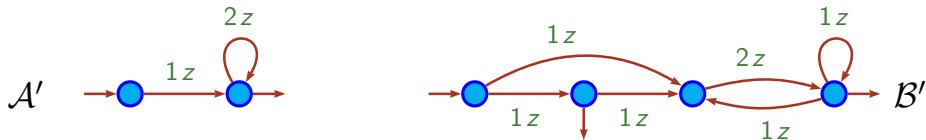


Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are conjugate to a same third \mathbb{N} -automaton.

- Conjugacy is a *preorder* (transitive and reflexive, but not symmetric).



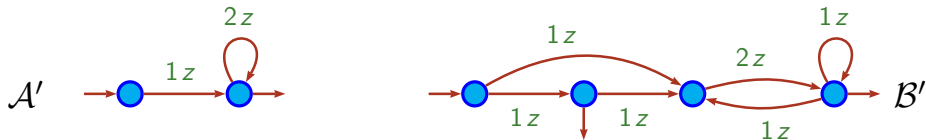
Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are conjugate to a same third \mathbb{N} -automaton.

- Conjugacy is a *preorder* (transitive and reflexive, but not symmetric).
- $\mathcal{A} \xrightarrow{X} \mathcal{B}$ implies that \mathcal{A} and \mathcal{B} are *equivalent*.

$$I E E T = I E E X U = I E X F U = I X F F U = J F F U$$



Step 3: The conjugacy theorem

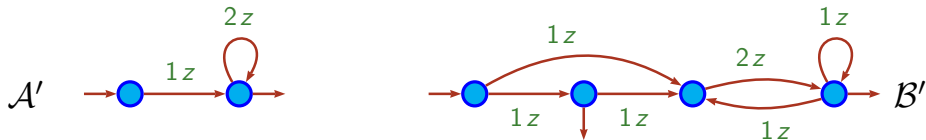
Theorem (BLS)

Two \mathbb{N} -automata are equivalent if and only if they are conjugate to a same third \mathbb{N} -automaton.

- Conjugacy is a *preorder* (transitive and reflexive, but not symmetric).
- $\mathcal{A} \xrightarrow{X} \mathcal{B}$ implies that \mathcal{A} and \mathcal{B} are *equivalent*.

$$I E E T = I E E X U = I E X F U = I X F F U = J F F U$$

$$\text{and then } I E^* T = J F^* U$$



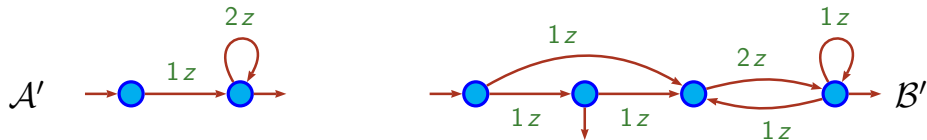
Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata \mathcal{A} and \mathcal{B} are equivalent if and only if there exists an \mathbb{N} -automaton \mathcal{C} (and \mathbb{N} -matrices X and Y) such that

$$\mathcal{A} \xleftarrow{X} \mathcal{C} \xrightarrow{Y} \mathcal{B}$$

Moreover, \mathcal{C} is effectively computable from \mathcal{A} and \mathcal{B} .



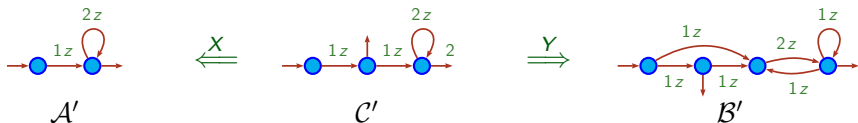
Step 3: The conjugacy theorem

Theorem (BLS)

Two \mathbb{N} -automata \mathcal{A} and \mathcal{B} are equivalent if and only if there exists an \mathbb{N} -automaton \mathcal{C} (and \mathbb{N} -matrices X and Y) such that

$$\mathcal{A} \xleftarrow{X} \mathcal{C} \xrightarrow{Y} \mathcal{B}$$

Moreover, \mathcal{C} is effectively computable from \mathcal{A} and \mathcal{B} .



Step 3: The conjugacy theorem

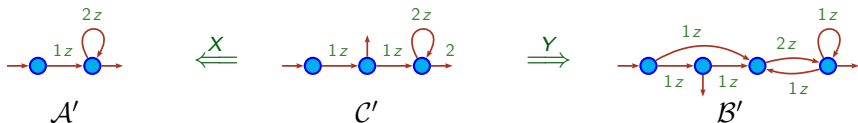
Theorem (BLS)

Two \mathbb{N} -automata \mathcal{A} and \mathcal{B} are equivalent if and only if there exists an \mathbb{N} -automaton \mathcal{C} (and \mathbb{N} -matrices X and Y) such that

$$\mathcal{A} \xleftarrow{X} \mathcal{C} \xrightarrow{Y} \mathcal{B}$$

Moreover, \mathcal{C} is effectively computable from \mathcal{A} and \mathcal{B} .

with $X = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix}$ and $Y = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 2 \end{pmatrix}$



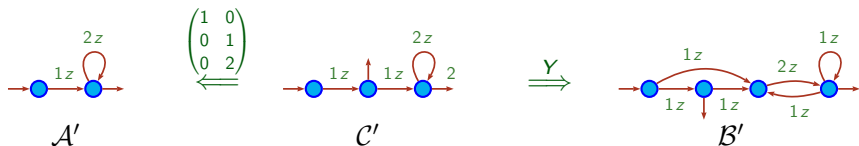
Step 3: The conjugacy theorem

$$\mathcal{C}' = \left\langle (1 \ 0 \ 0), \begin{pmatrix} 0 & z & 0 \\ 0 & 0 & z \\ 0 & 0 & 2z \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} \right\rangle \quad \mathcal{A}' = \left\langle (1 \ 0), \begin{pmatrix} 0 & z \\ 0 & 2z \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle$$

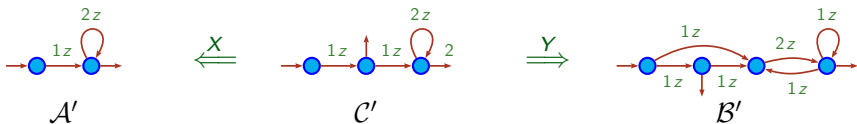
$$(1 \ 0 \ 0) \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} = (1 \ 0),$$

$$\begin{pmatrix} 0 & z & 0 \\ 0 & 0 & z \\ 0 & 0 & 2z \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} \cdot \begin{pmatrix} 0 & z \\ 0 & 2z \end{pmatrix},$$

$$\begin{pmatrix} 0 \\ 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 2 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

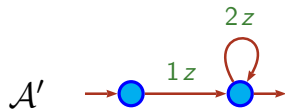


Step 4: Morphisms and the Decomposition theorem



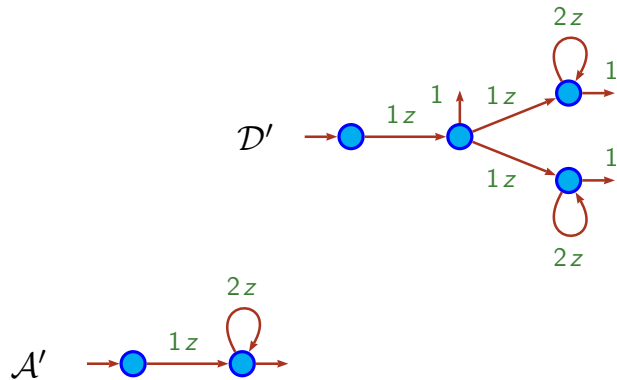
Step 4:

1. Morphisms



Step 4:

1. Morphisms

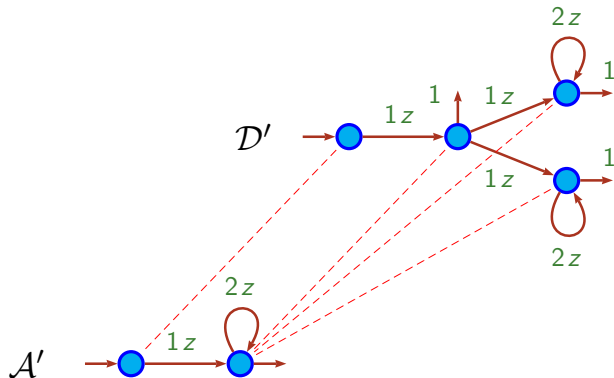


Step 4:

1. Morphisms

A map $\varphi: \mathcal{D}' \rightarrow \mathcal{A}'$ defines a matrix H_φ :

$$H_\varphi = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 1 \\ 0 & 1 \end{pmatrix}$$



Step 4:

1. Morphisms

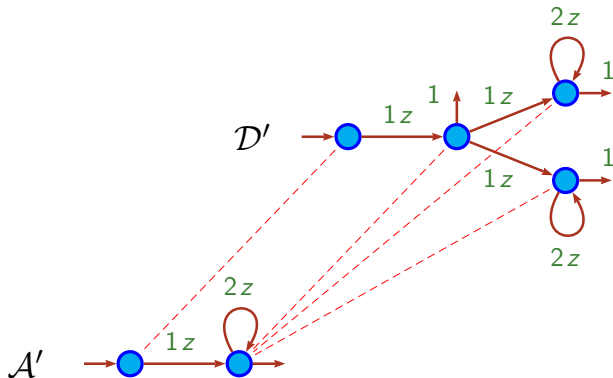
Definition

Let $\mathcal{D}' = \langle I, E, T \rangle$ and $\mathcal{A}' = \langle J, F, U \rangle$ be two \mathbb{K} -automata.

$\varphi: \mathcal{D}' \rightarrow \mathcal{A}'$ is an **Out-morphism** or \mathcal{A}' is a **quotient** of \mathcal{D}'

if \mathcal{D}' is conjugate to \mathcal{A}' by H_φ : $\mathcal{D}' \xrightarrow{H_\varphi} \mathcal{A}'$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad \text{and} \quad T = H_\varphi U .$$



Step 4:

1. Morphisms

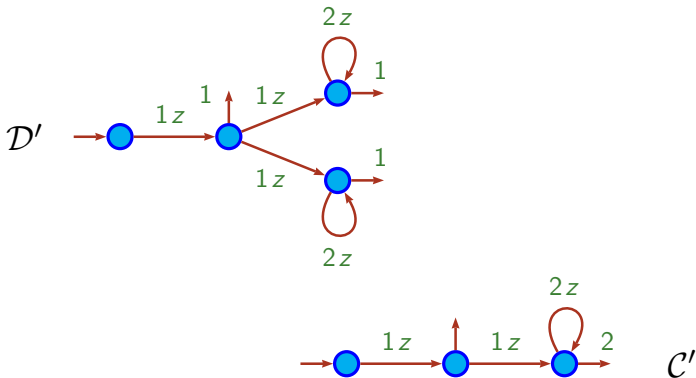
Definition

Let $\mathcal{D}' = \langle I, E, T \rangle$ and $\mathcal{A}' = \langle J, F, U \rangle$ be two \mathbb{K} -automata.

$\varphi: \mathcal{D}' \rightarrow \mathcal{A}'$ is an **Out-morphism** or \mathcal{A}' is a **quotient** of \mathcal{D}'

if \mathcal{D}' is conjugate to \mathcal{A}' by H_φ : $\mathcal{D}' \xrightarrow{H_\varphi} \mathcal{A}'$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad \text{and} \quad T = H_\varphi U .$$



Step 4:

1. Morphisms

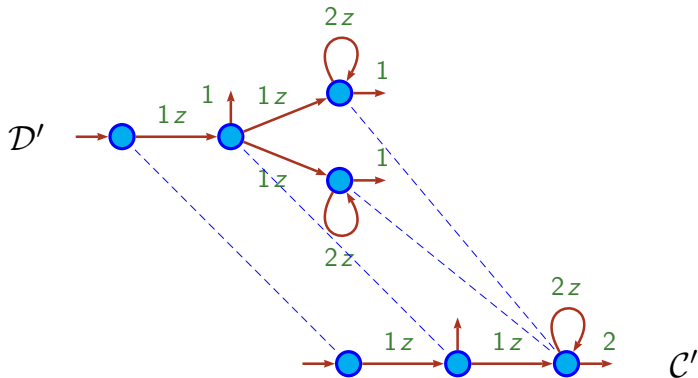
Definition

Let $\mathcal{D}' = \langle I, E, T \rangle$ and $\mathcal{C}' = \langle J, F, U \rangle$ be two \mathbb{K} -automata.

$\varphi: \mathcal{D}' \rightarrow \mathcal{C}'$ is an **In-morphism** or \mathcal{C}' is a **co-quotient** of \mathcal{D}'

if \mathcal{C}' is conjugate to \mathcal{D}' by ${}^t H_\varphi$: $\mathcal{C}' \xrightarrow{{}^t H_\varphi} \mathcal{D}'$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad \text{and} \quad T = H_\varphi U .$$



Step 4:

2. The Decomposition theorem



Step 4:

2. The Decomposition theorem

Theorem (BLS)

Let \mathcal{C}' and \mathcal{A}' be two \mathbb{N} -automata, \mathcal{C}' conjugate to \mathcal{A}' .

Then, there exists an \mathbb{N} -automaton \mathcal{D}'

such that \mathcal{A}' is a **quotient** of \mathcal{D}'

and \mathcal{C}' is an **co-quotient** of \mathcal{D}' .

Moreover, \mathcal{D}' is effectively computable from \mathcal{C}' and \mathcal{A}' .



Step 4:

2. The Decomposition theorem

Theorem (BLS)

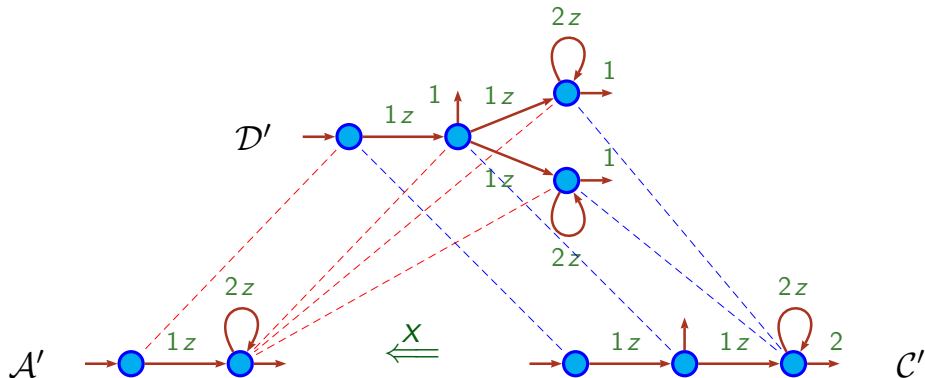
Let \mathcal{C}' and \mathcal{A}' be two \mathbb{N} -automata, \mathcal{C}' conjugate to \mathcal{A}' .

Then, there exists an \mathbb{N} -automaton \mathcal{D}'

such that \mathcal{A}' is a **quotient** of \mathcal{D}'

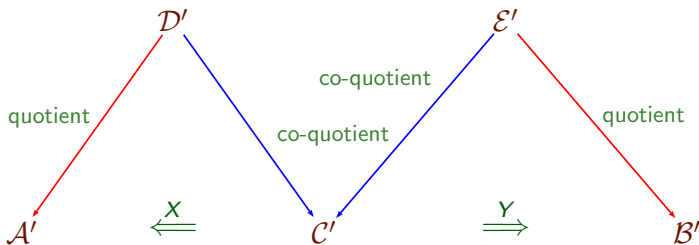
and \mathcal{C}' is an **co-quotient** of \mathcal{D}' .

Moreover, \mathcal{D}' is effectively computable from \mathcal{C}' and \mathcal{A}' .



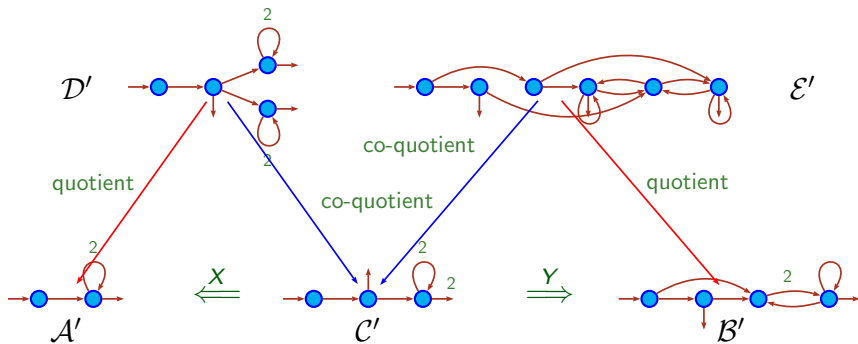
Step 4: 3. Conjugacy and Decomposition theorems together

A structural interpretation of equivalence



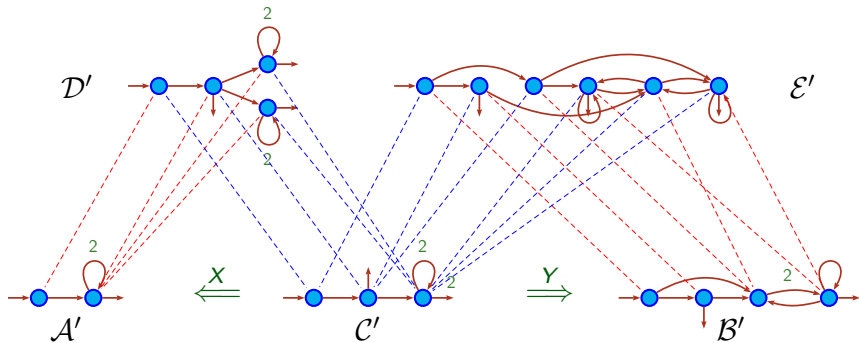
Step 4: 3. Conjugacy and Decomposition theorems together

A structural interpretation of equivalence

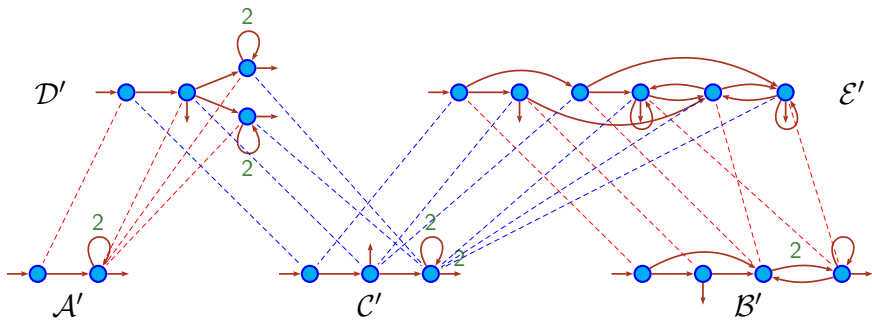


Step 4: 3. Conjugacy and Decomposition theorems together

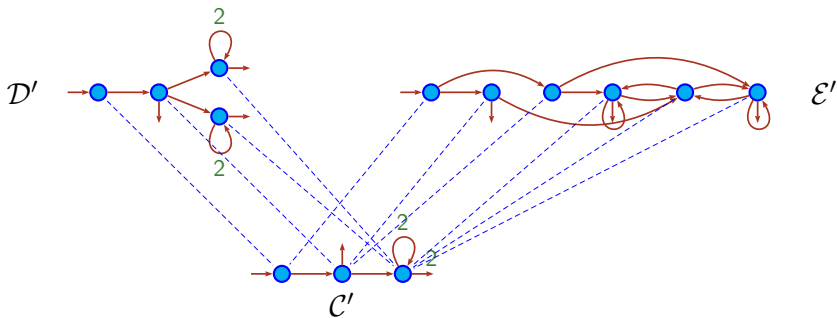
A structural interpretation of equivalence



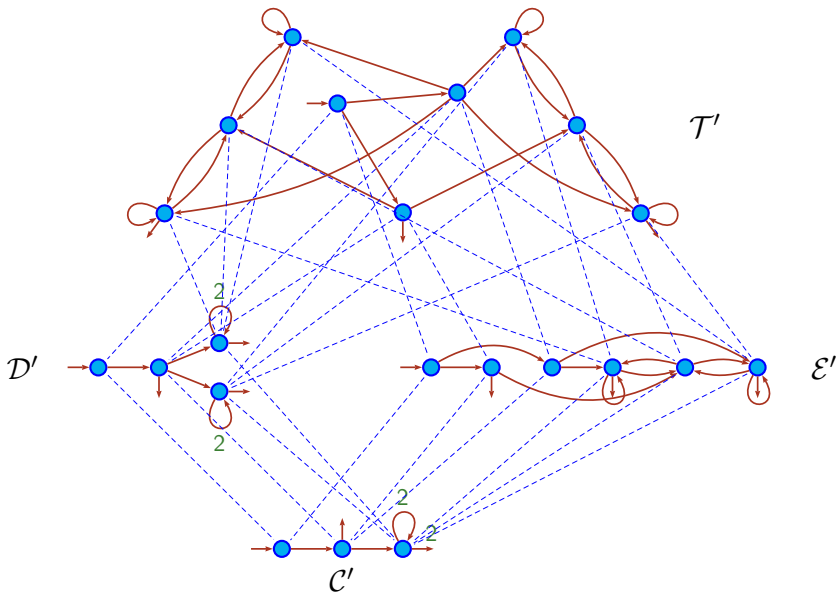
Step 5: 1. A technical proposition



Step 5: 1. A technical proposition

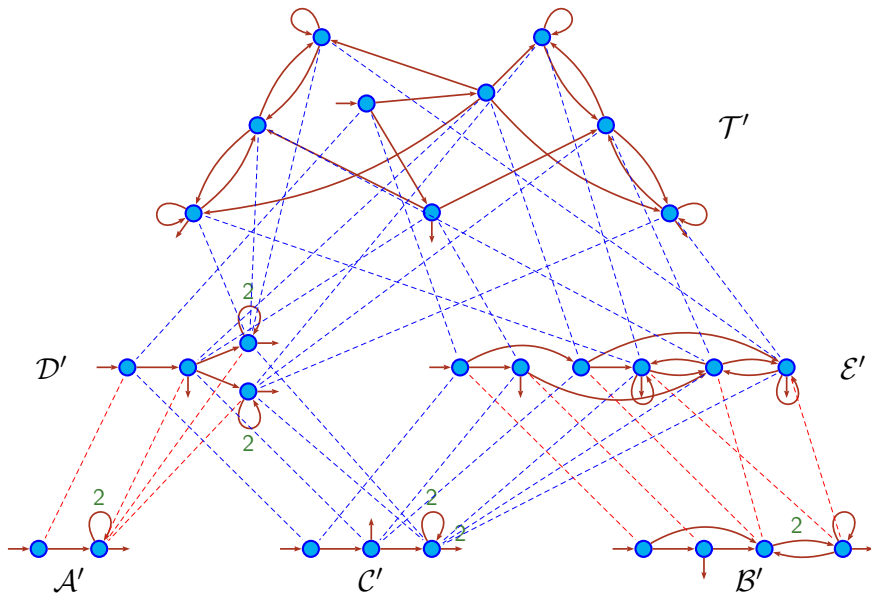


Step 5: 1. A technical proposition



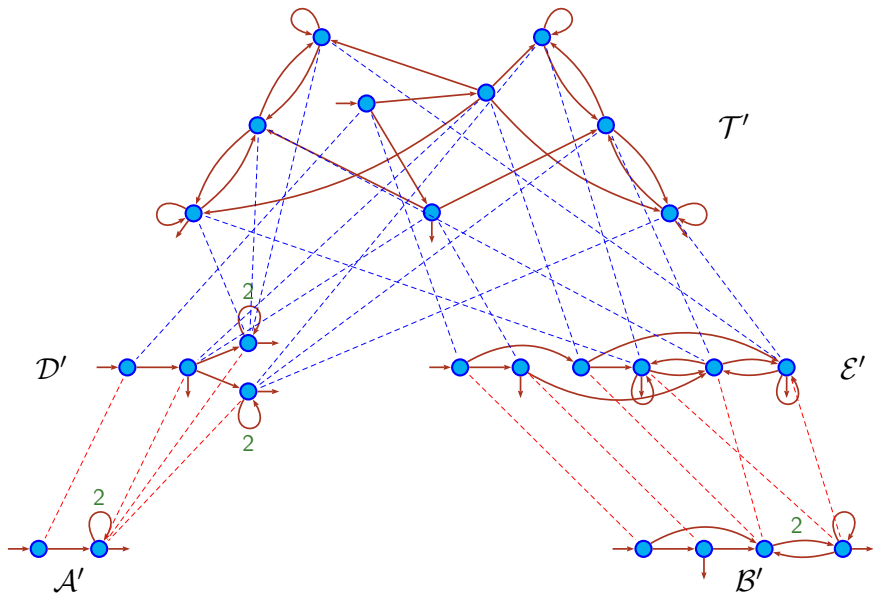
Step 5:

2. The harvest



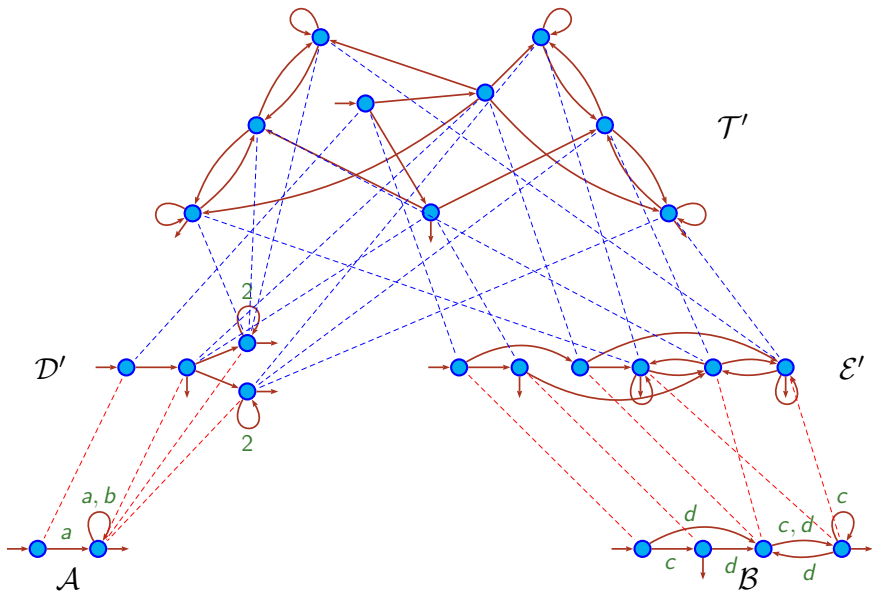
Step 5:

2. The harvest



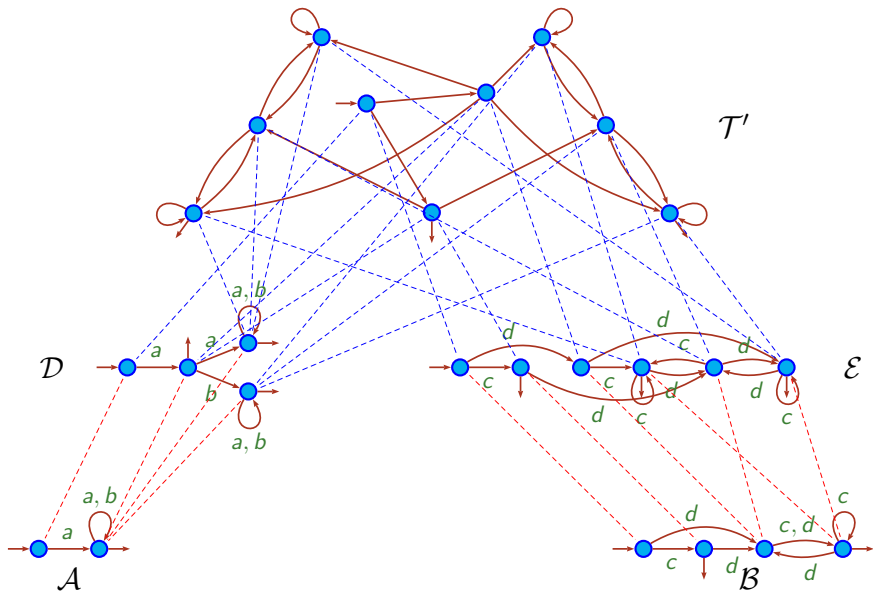
Step 5:

2. The harvest



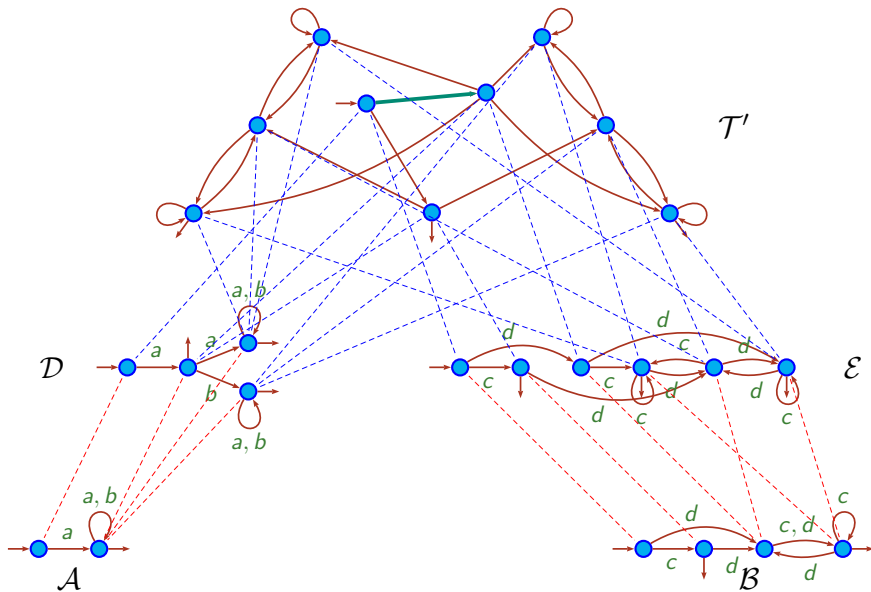
Step 5:

2. The harvest



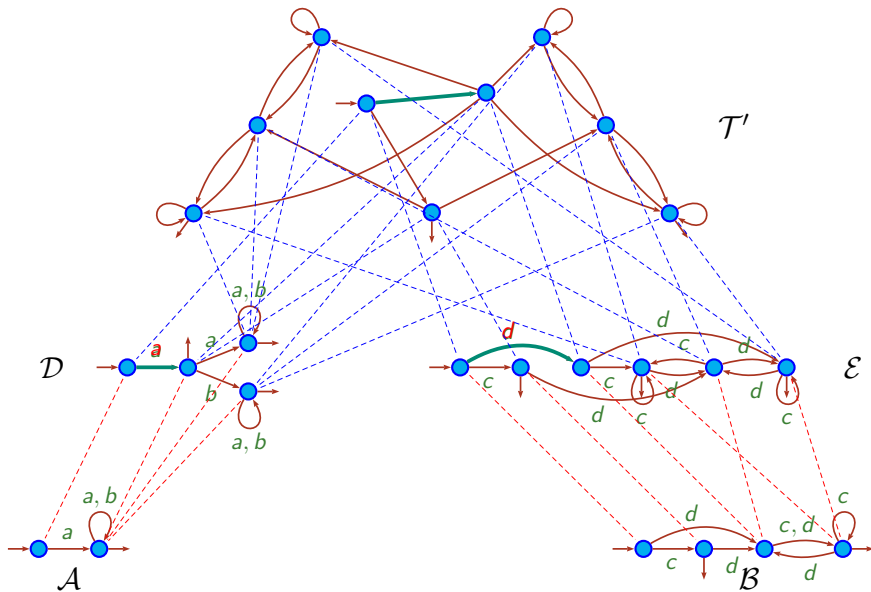
Step 5:

2. The harvest



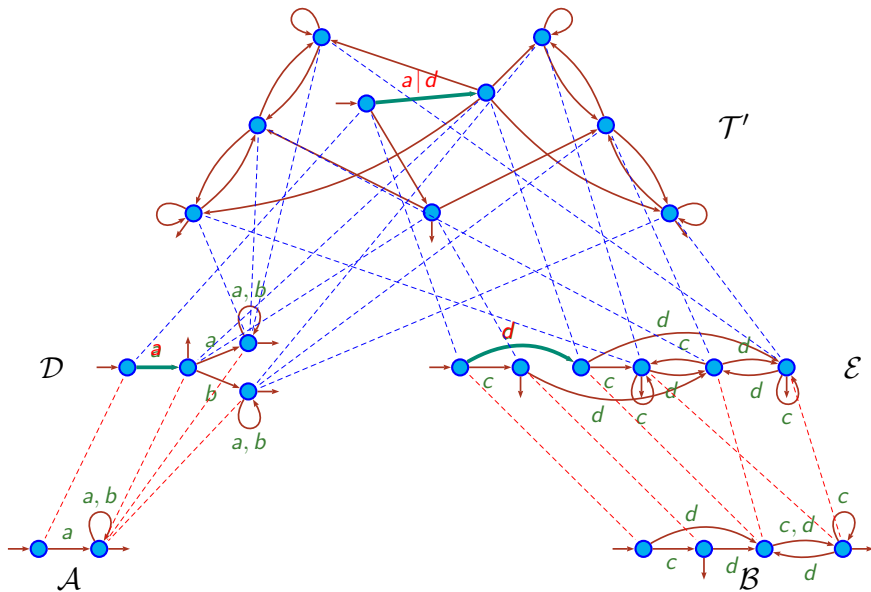
Step 5:

2. The harvest



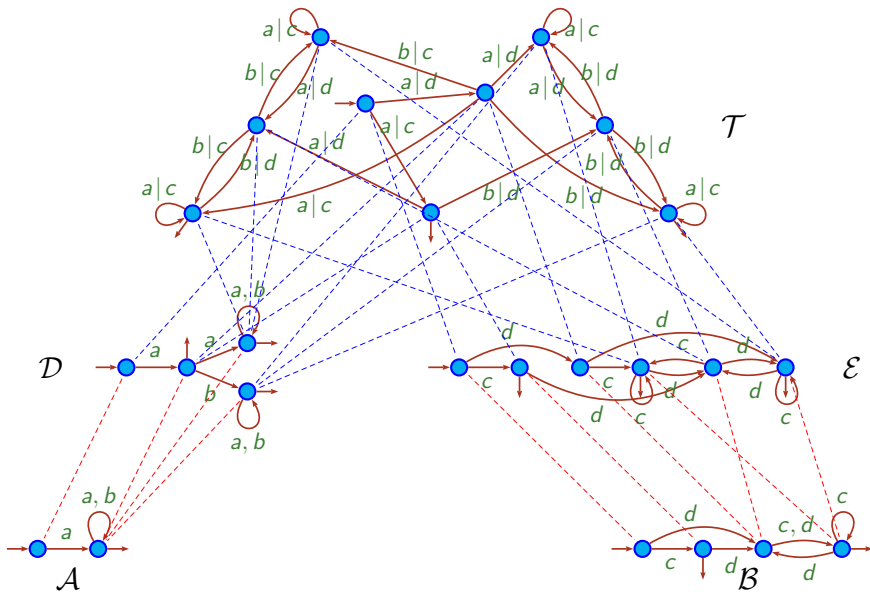
Step 5:

2. The harvest



Step 5:

2. The harvest



Part II

The foundations

1. Representation

The representability theorem

2. Reduction

Decidability of equivalence

3. Joint reduction

The conjugacy theorem

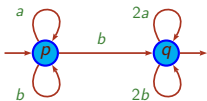
4. Morphisms

The decomposition theorem

Chapter I

Representation

Automata are matrices

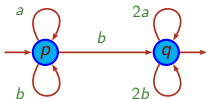


$$C_1 = \left\langle (1 \ 0), \begin{pmatrix} a+b & b \\ 0 & 2a+2b \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle .$$

$$\mathcal{A} = \langle I, E, T \rangle$$

$$|\mathcal{A}| = \sum_{n \in \mathbb{N}} I \cdot E^n \cdot T = I \cdot E^* \cdot T$$

Automata *over free monoids are representations*



$$\mu_1(a) = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}, \quad \mu_1(b) = \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix}, \quad I_1 = (1 \ 0), \quad T_1 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\mathcal{A} = \langle I, \mu, T \rangle \quad w \mapsto I \cdot \mu(w) \cdot T \quad |\mathcal{A}| = \sum_{w \in A^*} (I \cdot \mu(w) \cdot T) w$$

The control morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

$$\Psi_{\mathcal{A}}: \mathbb{K}\langle A^* \rangle \longrightarrow \mathbb{K}^Q$$

$$\mathbf{R}_{\mathcal{A}} = \Psi_{\mathcal{A}}(A^*)$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q \quad \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$\forall w \in A^* \quad \Psi_{\mathcal{A}}(w) = I \cdot \mu(w)$$

$$\text{Im } \Psi_{\mathcal{A}} = \Psi_{\mathcal{A}}(\mathbb{K}\langle A^* \rangle) = \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$\begin{array}{c} \mathbb{K}\langle A^* \rangle \\ \Psi_{\mathcal{A}} \downarrow \\ \mathbb{K}^Q \end{array}$$

$$\begin{array}{c} u \\ \Psi_{\mathcal{A}} \downarrow \\ x \end{array}$$

The control morphism

The control morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

$$\Psi_{\mathcal{A}}: \mathbb{K}\langle A^* \rangle \longrightarrow \mathbb{K}^Q$$

$$\mathbf{R}_{\mathcal{A}} = \Psi_{\mathcal{A}}(A^*)$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q \quad \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$\forall w \in A^* \quad \Psi_{\mathcal{A}}(w) = I \cdot \mu(w)$$

$$\text{Im } \Psi_{\mathcal{A}} = \Psi_{\mathcal{A}}(\mathbb{K}\langle A^* \rangle) = \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$\begin{array}{ccc} \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\ \Psi_{\mathcal{A}} \downarrow & & \\ \mathbb{K}^Q & & \end{array}$$

$$\begin{array}{ccc} u & \xrightarrow{\quad} & u a \\ \Psi_{\mathcal{A}} \downarrow & & \\ x & & \end{array}$$

The control morphism

The control morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

Reachability set

$$\mathbf{R}_{\mathcal{A}} = \{I \cdot \mu(w) \mid w \in A^*\}$$

$$\Psi_{\mathcal{A}}: \mathbb{K}\langle A^* \rangle \longrightarrow \mathbb{K}^Q$$

$$\mathbf{R}_{\mathcal{A}} = \Psi_{\mathcal{A}}(A^*)$$

Reachability space

$$\mathbf{R}_{\mathcal{A}} \subseteq \mathbb{K}^Q \quad \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$\forall w \in A^* \quad \Psi_{\mathcal{A}}(w) = I \cdot \mu(w)$$

$$\text{Im } \Psi_{\mathcal{A}} = \Psi_{\mathcal{A}}(\mathbb{K}\langle A^* \rangle) = \langle \mathbf{R}_{\mathcal{A}} \rangle$$

$$\begin{array}{ccc} \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\ \Psi_{\mathcal{A}} \downarrow & & \downarrow \Psi_{\mathcal{A}} \\ \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \end{array}$$

$$\begin{array}{ccc} u & \xrightarrow{\quad} & u a \\ \Psi_{\mathcal{A}} \downarrow & & \downarrow \Psi_{\mathcal{A}} \\ x & \xrightarrow{\quad} & x \cdot \mu(a) \end{array}$$

The control morphism is a morphism of actions

The observation morphism

Quotient of series

$$s \in \mathbb{K}\langle\langle A^* \rangle\rangle \quad v \in A^* \quad v^{-1}s = \sum_{w \in A^*} \langle s, vw \rangle w$$

$v^{-1}: \mathbb{K}\langle\langle A^* \rangle\rangle \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$ endomorphism of \mathbb{K} -modules

$$\mathbb{K}\langle\langle A^* \rangle\rangle \xrightarrow{A^*} \mathbb{K}\langle\langle A^* \rangle\rangle \quad s \longmapsto v^{-1}s$$

Quotient is a (right) action of A^* on $\mathbb{K}\langle\langle A^* \rangle\rangle$

The observation morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

$$\Phi_{\mathcal{A}}: \mathbb{K}^Q \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$$

$$\Phi_{\mathcal{A}}(x) = |\langle x, \mu, T \rangle| = \sum_{w \in A^*} (x \cdot \mu(w) \cdot T) w$$

$$s = |\langle I, \mu, T \rangle| = \Phi_{\mathcal{A}}(I)$$

$$\begin{array}{c} \mathbb{K}^Q \\ \Phi_{\mathcal{A}} \downarrow \\ \mathbb{K}\langle\langle A^* \rangle\rangle \end{array}$$

$$\begin{array}{c} x \\ \Phi_{\mathcal{A}} \downarrow \\ t \end{array}$$

The observation morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

$$\Phi_{\mathcal{A}}: \mathbb{K}^Q \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$$

$$\Phi_{\mathcal{A}}(x) = |\langle x, \mu, T \rangle| = \sum_{w \in A^*} (x \cdot \mu(w) \cdot T) w$$

$$s = |\langle I, \mu, T \rangle| = \Phi_{\mathcal{A}}(I)$$

$$w^{-1}s = |\langle I \cdot \mu(w), \mu, T \rangle|$$

$$w^{-1}\Phi_{\mathcal{A}}(x) = \Phi_{\mathcal{A}}(x \cdot \mu(w))$$

$$\begin{array}{c} \mathbb{K}^Q \\ \Phi_{\mathcal{A}} \downarrow \\ \mathbb{K}\langle\langle A^* \rangle\rangle \end{array}$$

$$\begin{array}{c} x \\ \Phi_{\mathcal{A}} \downarrow \\ t \end{array}$$

The observation morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

$$\Phi_{\mathcal{A}}: \mathbb{K}^Q \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$$

$$\Phi_{\mathcal{A}}(x) = |\langle x, \mu, T \rangle| = \sum_{w \in A^*} (x \cdot \mu(w) \cdot T) w$$

$$s = |\langle I, \mu, T \rangle| = \Phi_{\mathcal{A}}(I)$$

$$w^{-1}s = |\langle I \cdot \mu(w), \mu, T \rangle|$$

$$w^{-1}\Phi_{\mathcal{A}}(x) = \Phi_{\mathcal{A}}(x \cdot \mu(w))$$

$$\begin{array}{ccc}
 \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\
 \Phi_{\mathcal{A}} \downarrow & & \downarrow \Phi_{\mathcal{A}} \\
 \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle
 \end{array}$$

$$\begin{array}{ccc}
 x & \xrightarrow{\quad} & x \cdot \mu(a) \\
 \Phi_{\mathcal{A}} \downarrow & & \downarrow \Phi_{\mathcal{A}} \\
 t & \xrightarrow{\quad} & a^{-1}t
 \end{array}$$

The observation morphism is a morphism of actions

The observation morphism

$$\mathcal{A} = \langle I, \mu, T \rangle$$

$$\Phi_{\mathcal{A}}: \mathbb{K}^Q \longrightarrow \mathbb{K}\langle\langle A^* \rangle\rangle$$

$$\Phi_{\mathcal{A}}(x) = |\langle x, \mu, T \rangle| = \sum_{w \in A^*} (x \cdot \mu(w) \cdot T) w$$

$$s = |\langle I, \mu, T \rangle| = \Phi_{\mathcal{A}}(I)$$

$$w^{-1}s = |\langle I \cdot \mu(w), \mu, T \rangle|$$

$$w^{-1}\Phi_{\mathcal{A}}(x) = \Phi_{\mathcal{A}}(x \cdot \mu(w))$$

$$\begin{array}{ccc}
 \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\
 \Psi_{\mathcal{A}} \downarrow & & \downarrow \Psi_{\mathcal{A}} \\
 \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\
 \Phi_{\mathcal{A}} \downarrow & & \downarrow \Phi_{\mathcal{A}} \\
 \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle
 \end{array}
 \qquad
 \begin{array}{ccc}
 u & \xrightarrow{\quad} & wa \\
 \Psi_{\mathcal{A}} \downarrow & & \downarrow \Psi_{\mathcal{A}} \\
 x & \xrightarrow{\quad} & x \cdot \mu(a) \\
 \Phi_{\mathcal{A}} \downarrow & & \downarrow \Phi_{\mathcal{A}} \\
 t & \xrightarrow{\quad} & a^{-1}t
 \end{array}$$

The observation morphism is a morphism of actions

The representability theorem

$U \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule U stable (by quotient)

Theorem (Schützenberger 61, Fliess 71, Jacob 74)

$s \in \mathbb{K}\text{Rec } A^* \iff \exists U$ stable *finitely generated* $s \in U$

The representability theorem

$U \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule U stable (by quotient)

Theorem (Schützenberger 61, Fliess 71, Jacob 74)

$s \in \mathbb{K}\text{Rec } A^* \iff \exists U$ stable finitely generated $s \in U$

$$\begin{array}{ccc} \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\ \Psi_A \downarrow & & \downarrow \Psi_A \\ \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\ \Phi_A \downarrow & & \downarrow \Phi_A \\ \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle \end{array}$$

The representability theorem

$U \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule U stable (by quotient)

Theorem (Schützenberger 61, Fliess 71, Jacob 74)

$s \in \mathbb{K}\text{Rec } A^* \implies \exists U$ stable finitely generated $s \in U$

$$\begin{array}{ccc}
 1_{A^*} \in & \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\
 & \downarrow \Psi_{\mathcal{A}} & & \downarrow \Psi_{\mathcal{A}} \\
 I \in \text{Im } \Psi_{\mathcal{A}} & \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\
 & \downarrow \Phi_{\mathcal{A}} & & \downarrow \Phi_{\mathcal{A}} \\
 s \in \Phi_{\mathcal{A}}(\text{Im } \Psi_{\mathcal{A}}) & \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle
 \end{array}$$

The representability theorem

$U \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule U stable (by quotient)

Theorem (Schützenberger 61, Fliess 71, Jacob 74)

$s \in \mathbb{K}\text{Rec } A^* \iff \exists U$ stable finitely generated $s \in U$

$$\begin{array}{ccc} \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\ \Psi_{\mathcal{A}} \downarrow & & \downarrow \Psi_{\mathcal{A}} \\ \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\ \Phi_{\mathcal{A}} \downarrow & & \downarrow \Phi_{\mathcal{A}} \\ \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle \end{array}$$

The representability theorem

$U \subseteq \mathbb{K}\langle\langle A^* \rangle\rangle$ submodule U stable (by quotient)

Theorem (Schützenberger 61, Fliess 71, Jacob 74)

$s \in \mathbb{K}\text{Rec } A^* \iff \exists U$ stable finitely generated $s \in U$

$$\begin{array}{ccc} \mathbb{K}\langle A^* \rangle & \xrightarrow{A^*} & \mathbb{K}\langle A^* \rangle \\ \Psi_{\mathcal{A}} \downarrow & & \downarrow \Psi_{\mathcal{A}} \\ \mathbb{K}^Q & \xrightarrow{A^*} & \mathbb{K}^Q \\ \Phi_{\mathcal{A}} \downarrow & & \downarrow \Phi_{\mathcal{A}} \\ \mathbb{K}\langle\langle A^* \rangle\rangle & \xrightarrow{A^*} & \mathbb{K}\langle\langle A^* \rangle\rangle \end{array}$$

Chapter II

Reduction

The representability theorem for recognisable series

Proposition

$$\mathcal{A} = \langle I, \mu, T \rangle \text{ dimension } Q \qquad s = |\mathcal{A}|$$

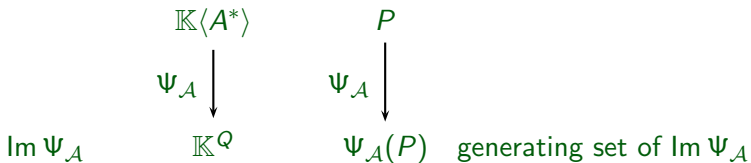
$$\langle \mathbf{R}_{\mathcal{A}} \rangle \text{ generated by } G \subset \mathbb{K}^Q$$

$$\exists \mathcal{A}_G \text{ of dimension } G \qquad s = |\mathcal{A}_G| \qquad \mathcal{A} \xleftarrow{M_G} \mathcal{A}_G$$

The exploration procedure

\mathbb{K} -automaton $\mathcal{A} = \langle I, \mu, T \rangle$

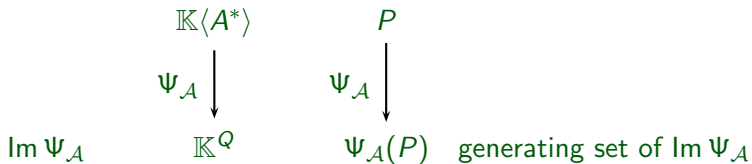
Search for $P \subseteq A^*$



The exploration procedure

\mathbb{K} -automaton $\mathcal{A} = \langle I, \mu, T \rangle$

Search for $P \subseteq A^*$

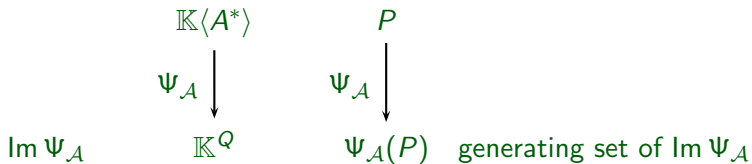


Halting criterium

The exploration procedure

\mathbb{K} -automaton $\mathcal{A} = \langle I, \mu, T \rangle$

Search for $P \subseteq A^*$



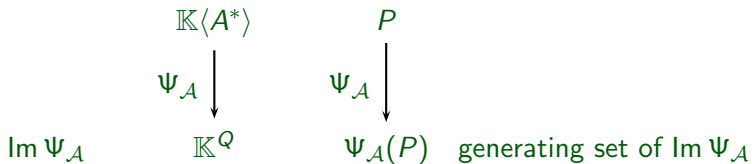
Halting criterium

- ▶ \mathbb{B} finite finite $\text{Im } \Psi_{\mathcal{A}}$

The exploration procedure

\mathbb{K} -automaton $\mathcal{A} = \langle I, \mu, T \rangle$

Search for $P \subseteq A^*$



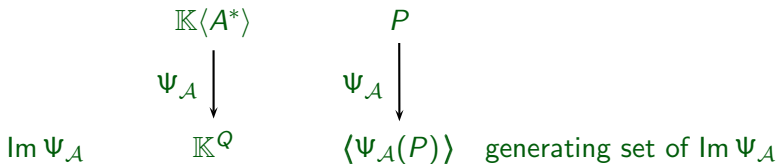
Halting criterium

- ▶ \mathbb{B} finite finite $\text{Im } \Psi_{\mathcal{A}}$
- ▶ \mathbb{F} field finite dimension

The exploration procedure

\mathbb{K} -automaton $\mathcal{A} = \langle I, \mu, T \rangle$

Search for $P \subseteq A^*$



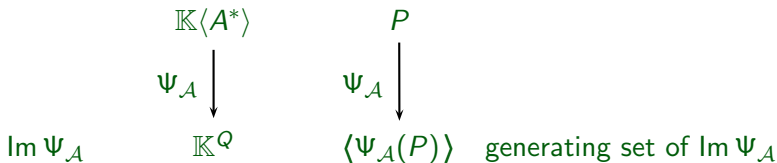
Halting criterium

- ▶ \mathbb{B} finite finite $\text{Im } \Psi_{\mathcal{A}}$
- ▶ \mathbb{F} field finite dimension
- ▶ \mathbb{Z} ED Noetherian

The exploration procedure

\mathbb{K} -automaton $\mathcal{A} = \langle I, \mu, T \rangle$

Search for $P \subseteq A^*$



Result

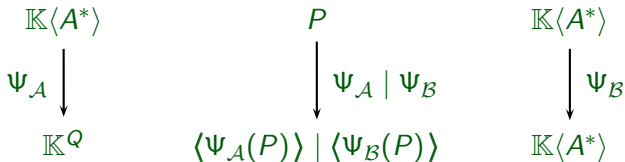
$$\mathcal{A} \xleftarrow{M_P} \mathcal{C}$$

Chapter III

Joint reduction

The joint exploration

\mathbb{K} -automata $\mathcal{A} = \langle I, \mu, T \rangle$ and $\mathcal{B} = \langle J, \pi, U \rangle$ Search for $P \subseteq A^*$



Result

$$\mathcal{A} \xleftarrow{M_P} \mathcal{C} \xrightarrow{N_P} \mathcal{B}$$

The conjugacy theorem

Theorem

Let \mathbb{K} be \mathbb{B} , \mathbb{N} , \mathbb{Z} , or any (skew) fields.

Two \mathbb{K} -automata \mathcal{A} and \mathcal{B} are equivalent if, and only if, there exist a \mathbb{K} -automaton \mathcal{C} (and \mathbb{K} -matrices X and Y) such that

$$\mathcal{A} \xleftarrow{X} \mathcal{C} \xrightarrow{Y} \mathcal{B}$$

Moreover, \mathcal{C} is effectively computable from \mathcal{A} and \mathcal{B} .

Chapter IV

Morphisms

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

A map $\varphi: Q \rightarrow R$ defines an **Out**-morphism $\varphi: \mathcal{A} \rightarrow \mathcal{B}$

if \mathcal{A} is conjugate to \mathcal{B} by the matrix $H_\varphi: \mathcal{A} \xrightarrow{H_\varphi} \mathcal{B}$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad T = H_\varphi U$$

\mathcal{B} is a **quotient** of \mathcal{A}

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

A map $\varphi: Q \rightarrow R$ defines an **Out**-morphism $\varphi: \mathcal{A} \rightarrow \mathcal{B}$

if \mathcal{A} is conjugate to \mathcal{B} by the matrix $H_\varphi: \mathcal{A} \xrightarrow{H_\varphi} \mathcal{B}$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad T = H_\varphi U$$

\mathcal{B} is a **quotient** of \mathcal{A}

Directed notion

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

A map $\varphi: Q \rightarrow R$ defines an **Out**-morphism $\varphi: \mathcal{A} \rightarrow \mathcal{B}$

if \mathcal{A} is conjugate to \mathcal{B} by the matrix $H_\varphi: \mathcal{A} \xrightarrow{H_\varphi} \mathcal{B}$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad T = H_\varphi U$$

\mathcal{B} is a **quotient** of \mathcal{A}

Directed notion

Price to pay for the **weight**

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

A map $\varphi: Q \rightarrow R$ defines an **In**-morphism $\varphi: \mathcal{A} \rightarrow \mathcal{B}$

if \mathcal{A} is conjugate to \mathcal{B} by the matrix $H_\varphi: \mathcal{A} \xrightarrow{H_\varphi} \mathcal{B}$

$$I H_\varphi = J, \quad E H_\varphi = H_\varphi F, \quad T = H_\varphi U$$

\mathcal{B} is a **quotient** of \mathcal{A}

Directed notion

Price to pay for the **weight**

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

A map $\varphi: Q \rightarrow R$ defines an **In**-morphism $\varphi: \mathcal{A} \rightarrow \mathcal{B}$

if \mathcal{B} is conjugate to \mathcal{A} by the matrix ${}^t H_\varphi : \mathcal{B} \xrightarrow{{}^t H_\varphi} \mathcal{A}$

$$J {}^t H_\varphi = I, \quad F {}^t H_\varphi = {}^t H_\varphi E, \quad U = {}^t H_\varphi T$$

\mathcal{B} is a **co-quotient** of \mathcal{A}

Directed notion

Price to pay for the **weight**

Morphisms of weighted automata

Definition

$\mathcal{A} = \langle I, E, T \rangle$ and $\mathcal{B} = \langle J, F, U \rangle$ \mathbb{K} -automata
of dimension Q and R .

A map $\varphi: Q \rightarrow R$ defines an **In**-morphism $\varphi: \mathcal{A} \rightarrow \mathcal{B}$

if \mathcal{B} is conjugate to \mathcal{A} by the matrix ${}^t H_\varphi$: $\mathcal{B} \xrightarrow{{}^t H_\varphi} \mathcal{A}$

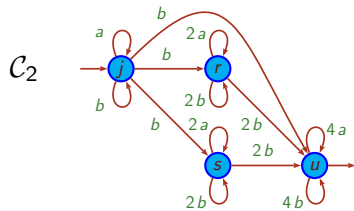
$$J {}^t H_\varphi = I, \quad F {}^t H_\varphi = {}^t H_\varphi E, \quad U = {}^t H_\varphi T$$

\mathcal{B} is a **co-quotient** of \mathcal{A}

Proposition

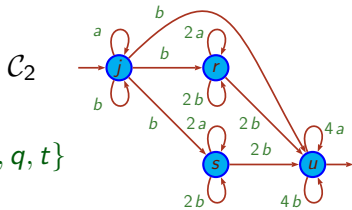
Every \mathbb{K} -automaton has a **minimal** (co-)quotient
that is effectively computable (by the Moore algorithm).

Morphisms of weighted automata



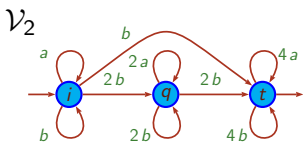
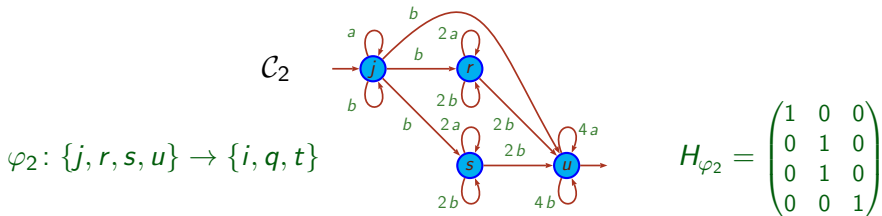
Morphisms of weighted automata

$$\varphi_2: \{j, r, s, u\} \rightarrow \{i, q, t\}$$

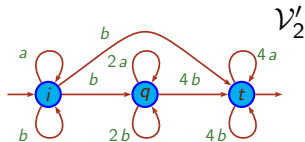


$$H_{\varphi_2} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Morphisms of weighted automata



$$C_2 \xRightarrow{H_{\varphi_2}} \mathcal{V}_2$$



$$\mathcal{V}'_2 \xRightarrow{{}^t H_{\varphi_2}} C_2$$

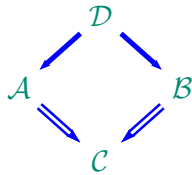
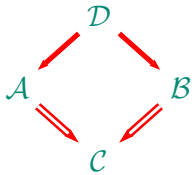
Morphisms of weighted automata

Minimal quotients and co-quotients



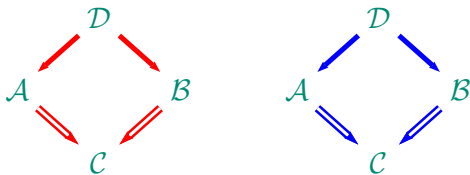
Morphisms of weighted automata

Minimal quotients and co-quotients



Morphisms of weighted automata

Minimal quotients and co-quotients



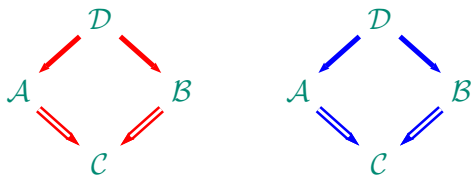
Equisubtractive commutative monoid, semiring

$$p + q = r + s \implies$$

$$\exists x, y, z, t \quad p = x + y, q = z + t, r = x + z, s = y + t$$

Morphisms of weighted automata

Minimal quotients and co-quotients

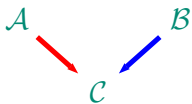
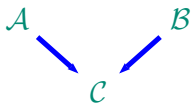
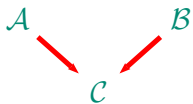


Equisubtractive commutative monoid, semiring

$$p + q = r + s \implies$$

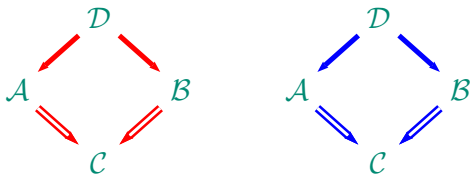
$$\exists x, y, z, t \quad p = x + y, q = z + t, r = x + z, s = y + t$$

Filling diagrams backwards



Morphisms of weighted automata

Minimal quotients and co-quotients

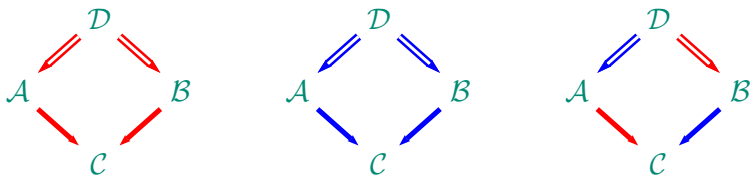


Equisubtractive commutative monoid, semiring

$$p + q = r + s \implies$$

$$\exists x, y, z, t \quad p = x + y, q = z + t, r = x + z, s = y + t$$

Filling diagrams backwards



The Decomposition theorem

Theorem

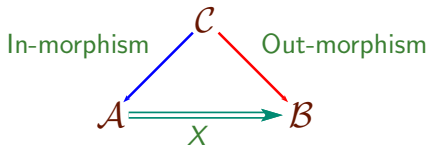
$\mathbb{K} = \mathbb{B}$ or \mathbb{N} , \mathcal{A} and \mathcal{B} two trim \mathbb{K} -automata.
 $\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C} \text{ } \mathcal{A} \text{ co-quotient of } \mathcal{C} \text{ and } \mathcal{B} \text{ quotient of } \mathcal{C} .$

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{B}$ or \mathbb{N} , \mathcal{A} and \mathcal{B} two trim \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{\chi} \mathcal{B} \iff \exists \mathcal{C} \quad \mathcal{A} \text{ co-quotient of } \mathcal{C} \text{ and } \mathcal{B} \text{ quotient of } \mathcal{C} .$



The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}$, and a circulation matrix D

\mathcal{A} co-quotient of \mathcal{C} , \mathcal{B} quotient of \mathcal{D} , and $\mathcal{C} \xrightarrow{D} \mathcal{D}$

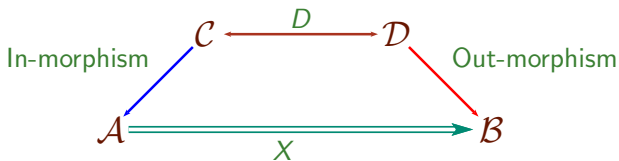
The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}$, and a circulation matrix D

\mathcal{A} co-quotient of \mathcal{C} , \mathcal{B} quotient of \mathcal{D} , and $\mathcal{C} \xrightarrow{D} \mathcal{D}$



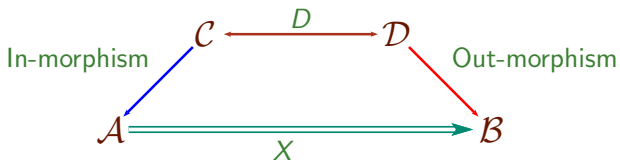
The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}$, and a circulation matrix D

\mathcal{A} co-quotient of \mathcal{C} , \mathcal{B} quotient of \mathcal{D} , and $\mathcal{C} \xrightarrow{D} \mathcal{D}$



circulation matrix = diagonal matrix of units

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}$, and a circulation matrix D

\mathcal{A} co-quotient of \mathcal{C} , \mathcal{B} quotient of \mathcal{D} , and $\mathcal{C} \xrightarrow{D} \mathcal{D}$

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}$, and a circulation matrix D
 \mathcal{A} co-quotient of \mathcal{C} , \mathcal{B} quotient of \mathcal{D} , and $\mathcal{C} \xrightarrow{D} \mathcal{D}$

\mathbb{K} has property (SU) = every element of \mathbb{K} is a sum of units

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}, \text{ and a circulation matrix } D$
 $\mathcal{A} \text{ co-quotient of } \mathcal{C}, \mathcal{B} \text{ quotient of } \mathcal{D}, \text{ and } \mathcal{C} \xrightarrow{D} \mathcal{D}$

\mathbb{K} has property (SU) = every element of \mathbb{K} is a sum of units

\mathbb{K} (SU) $\implies \forall X$ matrix $X = CDR$

C co-amalgamation D circulation R amalgamation

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{Z}$ or field \mathbb{F} , \mathcal{A} and \mathcal{B} two \mathbb{K} -automata.

$\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C}, \mathcal{D}, \text{ and a circulation matrix } D$
 $\mathcal{A} \text{ co-quotient of } \mathcal{C}, \mathcal{B} \text{ quotient of } \mathcal{D}, \text{ and } \mathcal{C} \xrightarrow{D} \mathcal{D}$

\mathbb{K} has property (SU) = every element of \mathbb{K} is a sum of units

\mathbb{K} (SU) $\implies \forall X$ matrix $X = CDR$
 C co-amalgamation D circulation R amalgamation

\mathbb{K} equisubtractive \implies
given C co-amalgamation and R amalgamation matrices,
one can construct \mathcal{C} and \mathcal{D}

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{B}$ or \mathbb{N} , \mathcal{A} and \mathcal{B} two trim \mathbb{K} -automata.
 $\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C} \mathcal{A} \text{ co-quotient of } \mathcal{C} \text{ and } \mathcal{B} \text{ quotient of } \mathcal{C} .$

The Decomposition theorem

Theorem

$\mathbb{K} = \mathbb{B}$ or \mathbb{N} , \mathcal{A} and \mathcal{B} two trim \mathbb{K} -automata.
 $\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C} \text{ } \mathcal{A} \text{ co-quotient of } \mathcal{C} \text{ and } \mathcal{B} \text{ quotient of } \mathcal{C} .$

The Finite Equivalence Theorem

Theorem

*Two irreducible sofic shifts are finitely equivalent
if, and only if, they have the same entropy.*

The Decomposition theorem

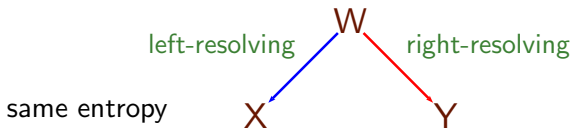
Theorem

$\mathbb{K} = \mathbb{B}$ or \mathbb{N} , \mathcal{A} and \mathcal{B} two trim \mathbb{K} -automata.
 $\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C} \text{ } \mathcal{A} \text{ co-quotient of } \mathcal{C} \text{ and } \mathcal{B} \text{ quotient of } \mathcal{C} .$

The Finite Equivalence Theorem

Theorem

*Two irreducible sofic shifts are finitely equivalent
if, and only if, they have the same entropy.*



The Decomposition theorem

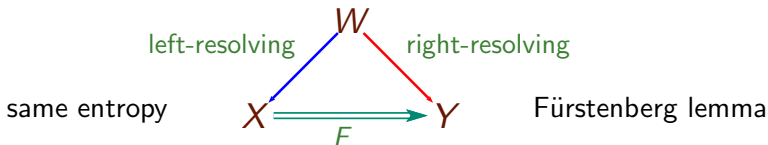
Theorem

$\mathbb{K} = \mathbb{B}$ or \mathbb{N} , \mathcal{A} and \mathcal{B} two trim \mathbb{K} -automata.
 $\mathcal{A} \xrightarrow{X} \mathcal{B} \iff \exists \mathcal{C} \text{ } \mathcal{A} \text{ co-quotient of } \mathcal{C} \text{ and } \mathcal{B} \text{ quotient of } \mathcal{C} .$

The Finite Equivalence Theorem

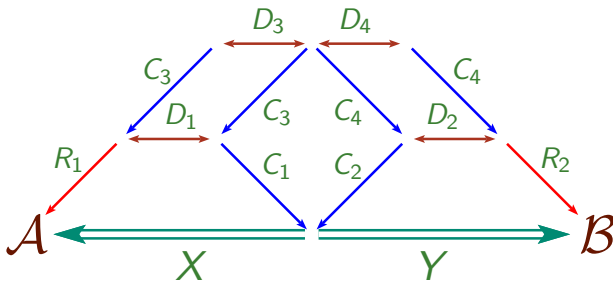
Theorem

*Two irreducible sofic shifts are finitely equivalent
if, and only if, they have the same entropy.*



The Conjugacy and Decomposition theorems together

A structural interpretation of equivalence



Part III

Questions

Richness of the model of weighted automata

- ▶ \mathbb{B} 'classic' automata
- ▶ \mathbb{N} 'usual' counting
- ▶ $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ numerical multiplicity
- ▶ $\langle \mathbb{Z} \cup +\infty, \min, + \rangle$ Min-plus automata
- ▶ $\mathfrak{P}(B^*) = \mathbb{B}\langle\langle B^* \rangle\rangle$ transducers
- ▶ $\mathbb{N}\langle\langle B^* \rangle\rangle$ weighted transducers
- ▶ $\mathfrak{P}(F(B))$ pushdown automata

Equivalence of weighted automata

Equivalence of weighted automata with weights in

the Boolean semiring \mathbb{B}	decidable
a subsemiring of a field	decidable
$(\mathbb{Z}, \min, +)$	undecidable

$\text{Rat } B^*$	undecidable
$\mathbb{N}\text{Rat } B^*$	decidable

Equivalence of

transducers	undecidable
transducers with multiplicity in \mathbb{N}	decidable

functional transducers	decidable
polynomially ambiguous $(\mathbb{Z}, \min, +)$	decidable