

Lethbridge Number Theory and Combinatorics Seminar

THE SIZE FUNCTION FOR IMAGINARY CYCLIC SEXTIC FIELDS

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Preliminaries

Lattices and ideal lattices

The size function for lattices

The size function for a number field

The Arakelov class group Pic_F^0

The conjecture of van der Geer and Schoof

Main ideas to prove the conjecture for imaginary cyclic sextic fields

Notations

- ▶ Let F be a number field with discriminant Δ and the ring of integers O_F .

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- ▶ Let $\sigma_1, \dots, \sigma_{r_1}, \sigma_{r_1+1}, \dots, \sigma_{r_1+r_2}$ be $r_1 + r_2$ embeddings of F .
- ▶ Denote by $\Phi = (\sigma_1, \dots, \sigma_{r_1+r_2})$. Then

$$\Phi : F \hookrightarrow \mathbb{R}^{r_1} \times \mathbb{C}^{r_2} \text{ takes } x \in F \text{ to } (\sigma_i(x))_i.$$

Lattices and ideal lattices

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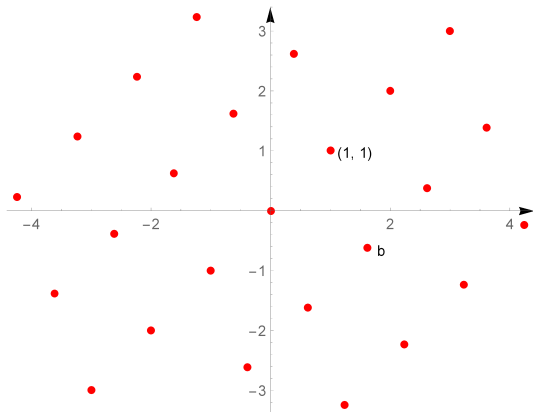
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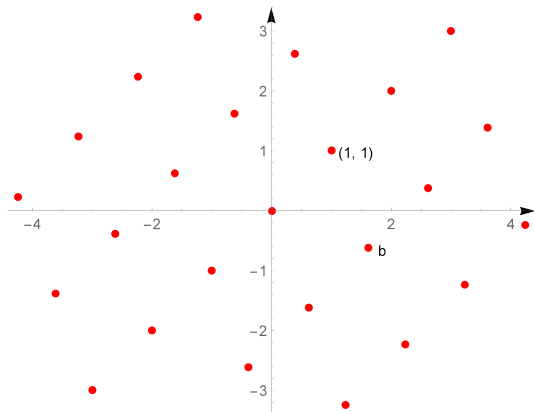


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Ex: Let $F = \mathbb{Q}(\sqrt{5})$. Then $O_F = \mathbb{Z} \oplus (1 + \sqrt{5})/2\mathbb{Z}$. Then $\Phi(O_F) = \Phi(1)\mathbb{Z} \oplus \Phi((1 + \sqrt{5})/2)\mathbb{Z}$ is a lattice in \mathbb{R}^2 .



Lattices and ideal lattices

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Proposition

Let I be a **fractional ideal** of F . Then $\Phi(I)$ is a **lattice** in \mathbb{R}^n .

Ideal lattices

Definition (Ideal lattices)

An ideal lattice is a lattice (I, q) , where

- ▶ I is a (fractional) O_F -ideal and
- ▶ $q : I \times I \longrightarrow \mathbb{R}$ is a non-degenerate symmetric bilinear form st
 $q(\lambda x, y) = q(x, \bar{\lambda}y)$ (Hermitian property)
for all $x, y \in I$ and for all $\lambda \in O_F$.

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Let I be a fractional ideal of F and let $u = (u_i)_i \in (\mathbb{R}_{>0})^n$.

Define $q_u(x, y) = \langle u\Phi(x), u\Phi(y) \rangle$ for any $x, y \in I$.

$$\|x\|_u^2 = q_u(x, x) = \|u\Phi(x)\|^2 = \sum_{i=1}^n u_i^2 [\sigma_i(x)]^2.$$

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Then (I, q_u) is an ideal lattice.

The size function for lattices

Let L be a lattice of \mathbb{R}^n .

$$k^0(L) := \sum_{x \in L} e^{-\pi \|x\|^2}, \quad h^0(L) = \log(k^0(L)).$$

The size function for a number field

Similarly, h^0 is defined for the ideal lattice (I, q_u) .

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Definition

- ▶ The pair $D = (I, u)$ is called an **Arakelov divisor** of F .
- ▶ (I, q_u) is also called the **ideal lattice associated** to D .
- ▶ $h^0(D) := h^0(I, q_u)$.

Analogies

Algebraic curve

- ▶ Divisor D .
- ▶ Principal divisor.
- ▶ Picard group.
- ▶ Canonical divisor κ .
- ▶ dimension $\ell(D)$.
- ▶ Riemann–Roch theorem:
$$\ell(D) - \ell(\kappa - D) = \deg(D) - (g - 1).$$

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Number field F ^a

- ▶ Arakelov divisor $D = (I, u)$.
- ▶ Principal Arakelov divisor.
- ▶ Arakelov class group Pic_F^0 .
- ▶ The inverse different.
- ▶ size function of F : $h^0(D)$
- ▶ Riemann–Roch theorem:
 $h^0(D) - h^0(\kappa - D) =$
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The Arakelov class group Pic_F^0

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- ▶ A principal Arakelov divisor has the form (I, u) where $I = x^{-1}O_F$ and $u = |\Phi(x)| = (|\sigma_i(x)|)_i$ and $x \in F^\times$.

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- ▶ A principal Arakelov divisor has the form (I, u) where $I = x^{-1}O_F$ and $u = |\Phi(x)| = (|\sigma_i(x)|)_i$ and $x \in F^\times$.
- ▶ The **Arakelov class group** Pic_F^0 is the quotient of Div_F^0 by its subgroup of principal divisors.

The structure of Pic_F^0

O_F^\times : the unit group of O_F .

$$\mathcal{H} = \{(x_i) \in \mathbb{R}^{r_1} \times \mathbb{C}^{r_2} : (x_1 + \cdots + x_{r_1}) + 2(x_{r_1+1} + \cdots + x_{r_1+r_2}) = 0\}.$$

$\Lambda_F := \{(\log |\sigma_i(x)|)_i : x \in O_F^\times\} \subseteq \mathcal{H}$ - the log unit lattice of F .

Proposition

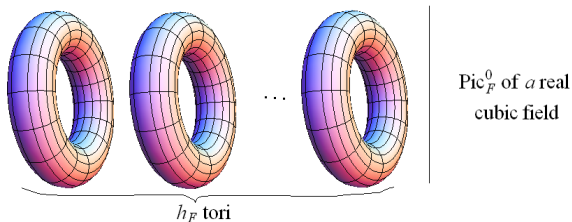
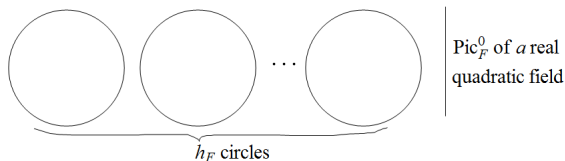
$\text{Pic}_F^0 \longrightarrow \{\text{isometry classes of ideal lattices of covolume } \sqrt{\Delta}\}$
the class of $D = (I, u) \longmapsto$ the isometry class of (I, q_u)
is a **bijection**. Moreover, the following sequence is exact.

$$0 \longrightarrow \mathcal{H}/\Lambda_F \longrightarrow \text{Pic}_F^0 \longrightarrow Cl_F \longrightarrow 0.$$

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- ▶ If $[D]$ is on the principal (hyper)torus of Pic_F^0 , then $\exists u$ such that $\log u = (\log(u_i))_i \in \mathcal{H}/\Lambda_F$ and $[D] = [(O_F, u)]$.
- ▶ h^0 is well defined on Pic_F^0 .

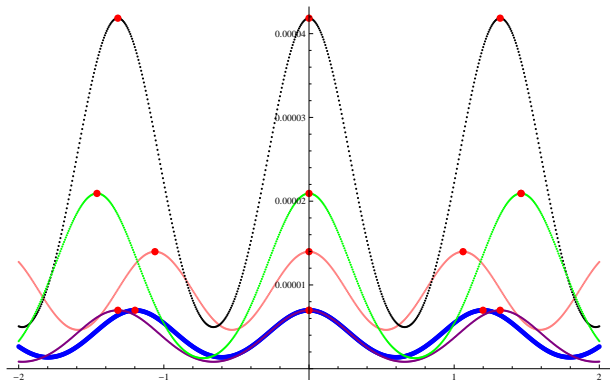
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Let K be a real quadratic field (Galois over \mathbb{Q}) or quadratic extension of an imaginary quadratic field k (Galois/ k). The origin is the trivial ideal lattice $(O_K, 1)$.

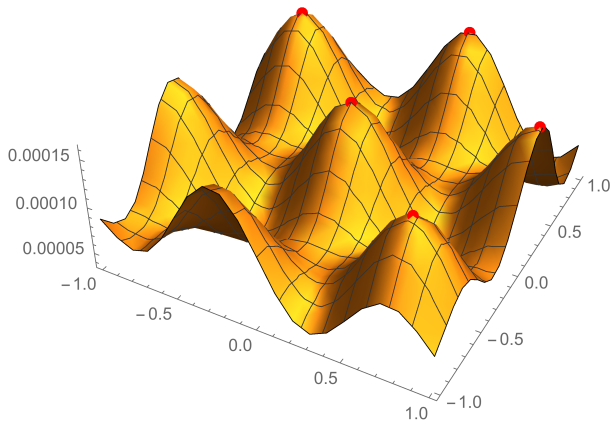


The conjecture of van der Geer and Schoof

At which class of ideal lattices in Pic_F^0 that h^0 attains its maximum?

Let K be a cyclic cubic field or an imaginary cyclic sextic field (Galois over \mathbb{Q}).

The origin is the trivial ideal lattice $(O_K, 1)$.



The conjecture of van der Geer and Schoof

Conjecture. Let K be a number field that is Galois over \mathbb{Q} or over an imaginary quadratic field. Then the function h^0 on Pic_K^0 assumes its maximum on the trivial class $(O_K, 1)$.

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Results. The conjecture was proved for number fields of degree n and unit rank r :

- ▶ $n = 2, r = 0, 1$: Francini (2001).
- ▶ $n = 4, r = 1$: (2014) quadratic extensions of imaginary quadratic fields.
- ▶ $n = 3, r = 2$: (2016) cyclic cubic fields.
- ▶ $n = 6, r = 2$: (2021) imaginary cyclic sextic fields (**this talk**).

Notations

- ▶ Let F be an imaginary cyclic sextic field with discriminant Δ and the ring of integers O_F .
- ▶ $\sigma_1, \sigma_2, \sigma_3$: 3 complex embeddings of F (up to conjugate).
- ▶ $\Phi = (\sigma_1, \sigma_2, \sigma_3) : F \hookrightarrow \mathbb{C}^3$

$$x \in F \mapsto (\sigma_1(x), \sigma_2(x), \sigma_3(x)).$$

- ▶ Let $u = (u_1, u_2, u_3) \in (\mathbb{R}_{>0})^3$, for $x \in I$ an ideal of F . Then

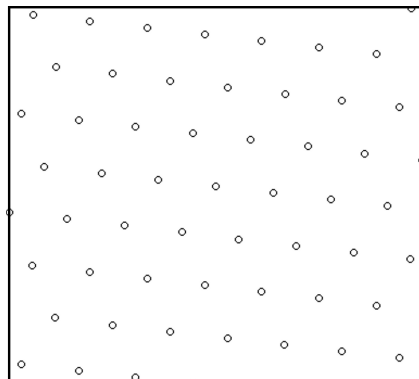
$$\|x\|_u^2 := \|ux\|^2 = 2 \sum_i u_i^2 |\sigma_i(x)|^2.$$

The log unit lattice of F

F : imaginary cyclic sextic field.

The log unit lattice Λ_F .

$\Lambda_F := \{(\log |\sigma_i(x)|)_i : x \in \mathcal{O}_F^\times\}$
- the log unit lattice of F - is
hexagonal.



Prove the conjecture: $[D]$ is not on the principal torus

$$k^0(D) = 1 + \Sigma_1(I, u) + \Sigma_2(I, u) + \Sigma_3(I, u), \text{ where}$$

$$\Sigma_1(I, u) = \sum_{f \in I, \|uf\|^2 < 6 \cdot 2^{1/3}} e^{-\pi \|uf\|^2},$$

$$\Sigma_2(I, u) = \sum_{f \in I, 6 \cdot 2^{1/3} \leq \|uf\|^2 \leq 6 \cdot 3^{1/3}} e^{-\pi \|uf\|^2}$$

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Show that $h(O_F, 1) > h(I, u)$ for all $[(I, u)] \neq [(O_F, 1)]$.

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Show that $h(O_F, 1) > h(I, u)$ for all $[(I, u)] \neq [(O_F, 1)]$.

1) $[D]$ is not on the principal torus:

$$\Sigma_1(I, u) = 0 \text{ (since } \|uf\|^2 \geq 6 \cdot 2^{1/3}, \forall f \in I \setminus \{0\}\text{)}.$$

$\Sigma_3(I, u) < 2.605 \cdot 10^{-9}$ (bound for # vectors of bounded length in a rank 6 lattice).

$$\Sigma_2(I, u) \leq 6(\#\mu_F) e^{6 \cdot 2^{1/3}} \text{ (} \leq 6(\#\mu_F) \text{ elements in the sum)}.$$

$$k^0(I, u) \leq 6(\#\mu_F) e^{-6 \cdot 2^{1/3} \pi} + 2.605 \cdot 10^{-9} < 1 + (\#\mu_F) e^{-6\pi} < k^0(O_F, 1).$$

Prove the conjecture: 2) $[D]$ is on the principal torus

Assume that $[D]$ has the form $[(OF, u)]$, for some

$u = (u_1, u_2, u_3) \in (\mathbb{R}_+)^3$ and

$w = \log(u) = (\log u_1, \log u_2, \log u_3) \in \mathcal{H}/\Lambda_F$.

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1. Idea 1: Choose $w \in \mathcal{F}$.

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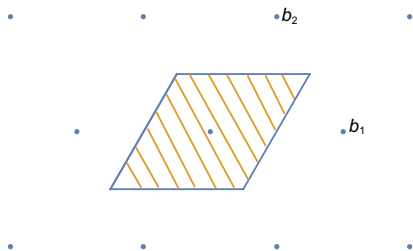
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1. Idea 1: Choose $w \in \mathcal{F}$.

We divide into 2 cases:

2a) When $\|w\| \geq 0.24163$: find tight upper bounds for Σ_i and so for $k^0(O_F, u)$ similar to the non principal case.

2b) When $\|w\| < 0.24163$: the above bounds do not work.



Prove the conjecture: 2b) $[D]$ is on the principal torus and $0 < \|w\| < 0.24163$

Idea 2. “Amplify” the difference¹: To prove that $k^0(O_F, u) - k^0(O_F, 1) < 0$, we prove

$$C = \frac{k^0(O_F, u) - k^0(O_F, 1)}{\|w\|^2} < 0.$$

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Write $C = \sum_{0 \neq f \in O_F} G(u, f) = T_1(u) + T_2(u) + T_3(u)$ where

$$T_1(u) = \sum_{f \in \mu_F} G(u, f), \quad T_2(u) = \sum_{f \in O_F, \|f\|^2 \geq 22} G(u, f)$$

$$T_3(u) = \sum_{0 \neq f \in O_F \setminus \mu_F, \|f\|^2 < 22} G(u, f).$$

$T_1(u)$ is easy to bound.

¹Schoof's idea

Prove the conjecture: 2b) $[D]$ is on the principal torus and $0 < \|w\| < 0.24163$

Idea 3. Using Maclaurin expansion of $G(u, f)$ and its the symmetry² to bound for $T_2(u) = \sum_{f \in O_F, \|f\| \geq 22} G(u, f)$.

For all $f \in O_F$:

$$G(u, f) \leq 4\pi^2 \|f^2\|^2 e^{-\pi \|f\|^2} \left(1 + \frac{1}{2} e^{2\pi \|w\| \|f^2\|} \right).$$

In particular, if $f \in O_F$ with $\|f\|^2 \geq 22$ then

$$G(u, f) \leq 2\pi^2 \left(e^{-(\pi-2/7)\|f\|^2} + \frac{1}{2} e^{-(\pi-2\pi\|w\|-2/7)\|f\|^2} \right).$$

²This is symmetric since F is cyclic.

Prove the conjecture: 2b) $[D]$ is on the principal torus and $0 < \|w\| < 0.24163$

K : cyclic cubic subfield of F of conductor p .

Idea 4. Enumerate all possible F such that there exist short elements to bound for $T_3(u) = \sum_{0 \neq f \in \mathcal{O}_F \setminus \mu_F, \|f\|^2 < 22} G(u, f)$.

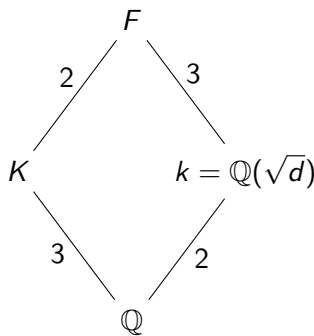
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New result: If $0 \neq f \in \mathcal{O}_F \setminus \mu_F : \|f\|^2 < 22$, then

- ▶ $f \in \mathcal{O}_K \cup \mathcal{O}_k$, or
(Enumerate all such K, k and then all f .)
- ▶ $f \in \mathcal{O}_F \setminus (\mathcal{O}_K \cup \mathcal{O}_k \cup \mu_F)$, and $d \leq 22$ & $p \leq 61$.
(Enumerate all such F and then all f .)



PS: if time permits

For any $f \in O_F$, we define $f_i = |\tau_i(f)|$, $i \in \{1, 2, 3\}$. Then

$$\begin{aligned}\|uf\|^2 &= 2(e^{2x}|\tau_1(f)|^2 + e^{2y}|\tau_2(f)|^2 + e^{2z}|\tau_3(f)|^2) \\ &= 2(f_1^2 e^{2x} + f_2^2 e^{2y} + f_3^2 e^{2z}).\end{aligned}$$

For $f \in O_F$ we now define

$$G(u, f) = e^{-\pi\|f\|^2} G_2(f, u) / \|w\|^2,$$

where

$$\begin{aligned}G_1(u, f) &= e^{-\pi[\|uf\|^2 - \|f\|^2]} - 1 = e^{-2\pi[(e^{2x}-1)f_1^2 + (e^{2y}-1)f_2^2 + (e^{2z}-1)f_3^2]} - 1 \\ G_2(u, f) &= G_1(u, \tau_1(f)) + G_1(u, \tau_2(f)) + G_1(u, \tau_3(f)).\end{aligned}$$

PS2: if time still permits

Lemma

Let L be a lattice of rank 6 and λ be the length of its shortest vectors. Then for $M \geq \lambda^2 \geq a^2 > 0$ and $\xi > 0$, one has

$$\sum_{\substack{x \in L \\ \|x\|^2 \geq M}} e^{-\xi \|x\|^2} \leq \xi \int_M^\infty \left(\left(\frac{2\sqrt{t}}{a} + 1 \right)^6 - \left(\frac{2\sqrt{M}}{a} - 1 \right)^6 \right) e^{-\xi t} dt.$$

Corollary

If $\lambda^2 \geq 6$, then

$$\sum_{\substack{x \in L \\ \|x\|^2 \geq 6 \cdot 3^{1/3}}} e^{-\pi \|x\|^2} < 2.6049 \cdot 10^{-9}, \quad \sum_{\substack{x \in L \\ \|x\|^2 \geq 22}} e^{-(\pi - 2/7) \|x\|^2} < 10^{-23},$$

$$\sum_{x \in L, \|x\|^2 \geq 22} e^{-(\pi - 2\sqrt{2} \cdot 0.170856 \pi - 2/7) \|x\|^2} < 2.19277 \cdot 10^{-9}.$$

Thank you!

Thank you so much for your attention!

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