

Elliptic fibrations & singularities to Anomalies & Spectra

F-theory = 12d perspective on type IIB theory.

"geometric - engineering"

→ a quantum theory of gravity which in some limit reduces to $SL(2, \mathbb{Z})$ -equiv. type IIB supergravity.

IIB in 10d

$$g_s = e^\phi$$

- graviton $G_{\mu\nu}$
- scalar field ϕ
- 2-form field B_2

NS-NS sector

- scalar field C_0
- 2-form field C_2
- self-dual 4-form field C_4

R-R sector

$$*d\varphi = d\varphi$$

+ fermionic

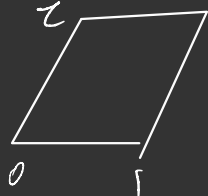
$SL(2, \mathbb{R})$ symmetry

$\left. \begin{array}{l} \{ 2 \text{ scalars} \\ \{ 2 \text{ 2-form} \} \end{array} \right\}$



$SL(2, \mathbb{Z})$ "quantum effect"

each form 2d representations

0	1	2	3	4	5	6	7	8	9	10	11
\mathbb{R}^4				Base ($B_3 > D_i$)						Elliptic Fiber	
4d spacetime				internal dimensions of 7-brane			transverse dimension onto 7-brane (z, \bar{z})		<u>Axio-dilaton</u> 		



Axio-dilaton



- S-duality

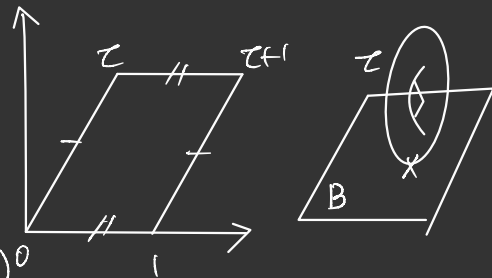
the complex structure
of the torus

$$\tau = \tau_0 + i e^{-\phi} = \tau_0 + \frac{i}{g_s}$$

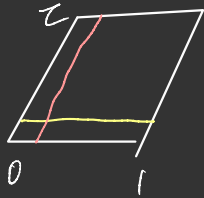
$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}$$

$$ad - bc = 1$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})^0$$



M theory on $T^2 \rightarrow$ IIB



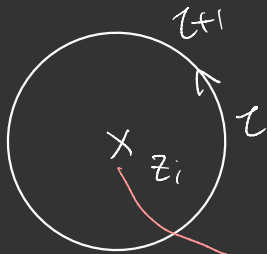
M2 brane on a-cycle = F1 string
 M2 brane on c-cycle = D1 string



M2 brane pa + qb-cycle
 \Rightarrow (p, q) string

D7 brane = magnetic source for G_0

z, \bar{z}



$$\tau \sim \frac{i}{g_s} + \frac{1}{2\pi i} \log(z - z_i)$$

$$z \rightarrow z_i, \tau \rightarrow i\infty, e^\phi (=f_0) \rightarrow 0$$

D7 brane the location itself = "a weakly-coupled region"

$$z \rightarrow \frac{az+b}{cz+d}$$

$$\underline{\underline{SL(2, \mathbb{Z})}}$$

Modular inv. function

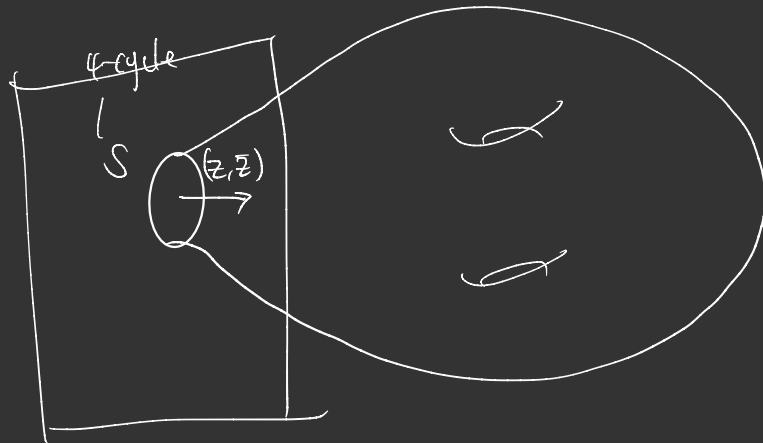
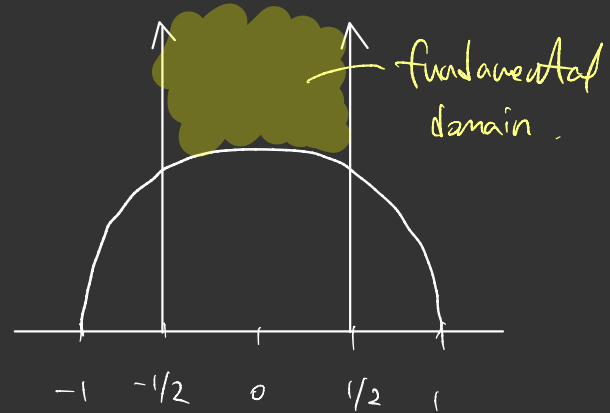
$$j(\tau(z))$$

$$\frac{p(z)}{Q(z)}$$

elliptic fibration

τ

$\tau_2 \uparrow$



A Noetherian scheme X

An algebraic cycle of $X \Rightarrow \sum_i (N_i) (V_i)$
Subvarieties
integer coeff.

If $\dim(V_i) = d \ \forall i$, "d-cycle".

The group of all cycles $Z(X) = \bigoplus_d Z_d(X)$

the free group generated by subvarieties of dim d

The degree of a zero-cycle $\sum_i N_i p_i$

$$\deg \left(\sum_i N_i p_i \right) = \sum_i N_i [K(p_i) : k]$$

Consider Θ an algebraic one-cycle. $\Theta = \sum_i m_i \theta_i$.

Denote $\theta_i \cdot \theta_j$ ($i \neq j$) the zero-cycle defined by the intersection of θ_i and θ_j . ($i \neq j$).

ex)

intersect $x-y=0$ and $x^2+y^2+z^2=0$.

$[0; 1; \sqrt{2}]$ and $[0; -1; \sqrt{2}] \Rightarrow$ zero cycle.

formal sum of this \Rightarrow the intersection

An "n-point" of $\Theta = \underbrace{\bigcup_i \theta_i}$

If it does NOT have n -pts for $n \geq 2$, Θ is a "tree".

Let's say we have 2 curves intersect transversally.

\Rightarrow their intersection consists of isolated reduced closed points.

Fiber Types (a la Kodaira)

The types of $\Theta \in \mathcal{Z}_1(X)$ consists of the isomorphism class of each irred. curve Θ_i w/ the topological structure of the reduced polyhedron $\sum_i \Theta_i$.

↳ characterized by the underlying set of the $\Theta_i \cap \Theta_j$ ($i \neq j$).

Dual graph of $\Theta = \sum_i m_i \Theta_i$ = an associated graph to Θ s.t.

- 1) the vertices are the irred. components (Θ_i) of the fiber
- 2) the weight of the vertex corr. to an irred. comp. (Θ_i) is its mult. (m_i).
- 3) the vertices corr. to the Θ_i and Θ_j are connected by $\hat{\Theta}_{ij} = \deg(\Theta_i \cap \Theta_j)$ edges.

Kodaira type = the type of a geometric fiber over a codim-1 pt
of a minimal elliptic fibration.

Kodaira '63 & Nozono '64 \Rightarrow 10 types

Kodaira	I_0	$I_{n>0}$	II	III	IV	I_0^*	I_n^*	II^*	III^*	IV^*
Neu n	A	B_n	C_1	C_2	C_3	C_4	$C_{5,n}$	C_6	C_7	C_8

I_0 : a smooth curve of genus 1.

I_1 : an irred. nodal rational curve



dual graph

II : an irred. cusp. rational curve



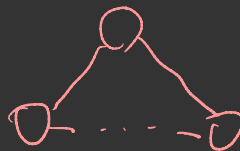
\tilde{A}_0

$$I_2 : \theta = \theta_1 + \theta_2, \quad \theta_1 \cdot \theta_2 = p_1 + p_2$$



dual graph

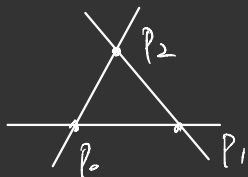
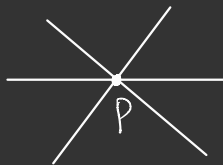
\tilde{A}_1



dual graph
 \tilde{A}_{n-1}

$$III : \theta = \theta_1 + \theta_2, \quad \theta_1 \cdot \theta_2 = 2p$$

$$IV : \theta = \theta_1 + \theta_2 + \theta_3, \quad \theta_1 \cdot \theta_2 = \theta_2 \cdot \theta_3 = \theta_1 \cdot \theta_3 = p$$



θ_2 dual graph

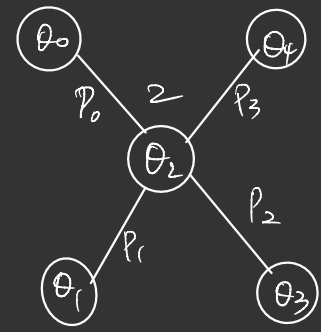
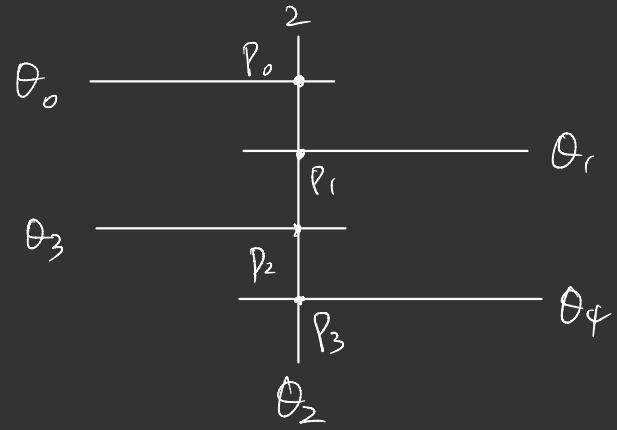


$$I_n (n \geq 3) : \theta = \theta_0 + \dots + \theta_{n-1}, \quad \theta_i \cdot \theta_{i+1} = p_i, \quad \theta_{n-1} \cdot \theta_0 = p_0$$

$$cf) n=3 : \theta = \theta_0 + \theta_1 + \theta_2, \quad \theta_0 \cdot \theta_1 = p_1, \quad \theta_1 \cdot \theta_2 = p_2, \quad \theta_2 \cdot \theta_0 = p_0$$

Type I_n^* : $\theta = \theta_0 + \theta_1 + \underline{2\theta_2} + \theta_3 + \theta_4$

$\theta_0 \cdot \theta_2 = p_0, \theta_1 \cdot \theta_2 = p_1, \theta_2 \cdot \theta_3 = p_2, \theta_4 \cdot \theta_2 = p_3$

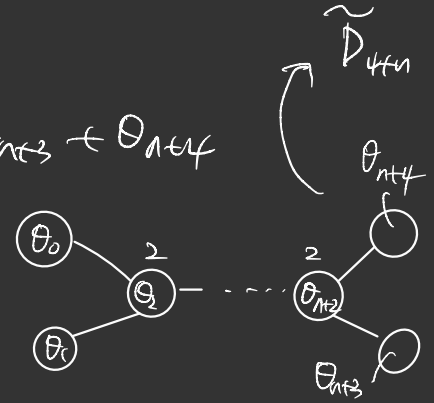


dual graph
 \tilde{D}_4

Type I_n^* : $\theta = \theta_0 + \theta_1 + 2\theta_2 + \dots + 2\theta_{nt2} + \theta_{nt3} + \theta_{nt4}$

for $i=1, \dots, nt2$ $\theta_i \cdot \theta_{i+1} = p_i$

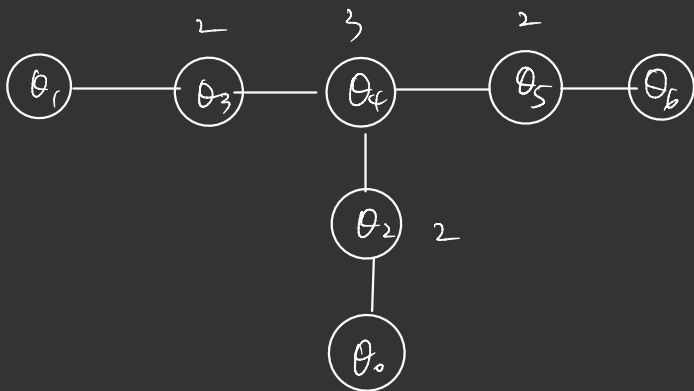
$\theta_0 \cdot \theta_2 = p_0, \theta_{nt4} \cdot \theta_{nt2} = p_{nt3}$



Type IV^* : $\theta = \theta_0 + \theta_1 + 2\theta_2 + 2\theta_3 + 3\theta_4 + 2\theta_5 + \theta_6$

$\theta_i \cdot \theta_{i+1} = p_i \quad i=3,4,5$

$\theta_0 \cdot \theta_2 = p_0, \quad \theta_1 \cdot \theta_3 = p_1, \quad \theta_2 \cdot \theta_4 = p_2$

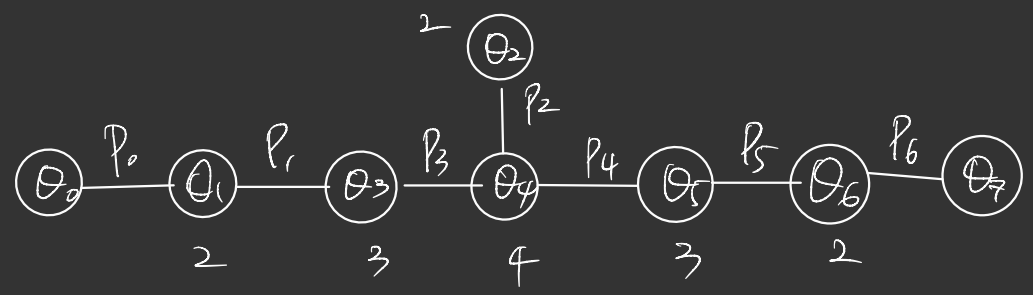


dual graph
 \tilde{E}_6

$$\mathbb{I}^X: \quad \theta = \theta_0 + 2\theta_1 + 2\theta_2 + 3\theta_3 + 4\theta_4 + 3\theta_5 + 2\theta_6 + \theta_7$$

$$\theta_i \cdot \theta_{i+1} = p_i \quad \text{for } i=3, 4, 5, 6$$

$$\theta_0 \cdot \theta_1 = p_0, \quad \theta_1 \cdot \theta_3 = p_1, \quad \theta_2 \cdot \theta_4 = p_2$$

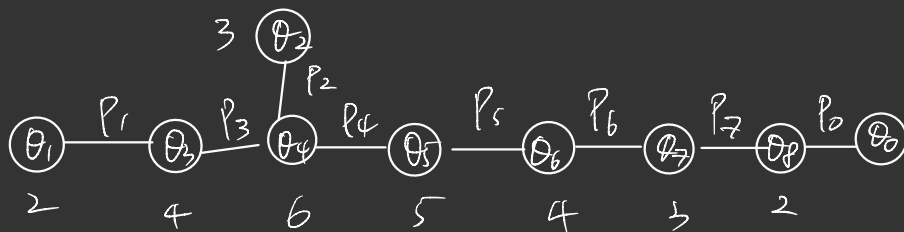


dual graph
 $\cong E_7$

$$\Pi^* : \theta = \theta_0 + 2\theta_1 + 3\theta_2 + 4\theta_3 + 6\theta_4 + 5\theta_5 + 4\theta_6 + 3\theta_7 + 2\theta_8$$

$$\theta_i \cdot \theta_{i+1} = p_i \quad (i=1, \dots, 7)$$

$$\theta_0 \cdot \theta_8 = p_8, \quad \theta_1 \cdot \theta_3 = p_1, \quad \theta_2 \cdot \theta_4 = p_2$$



dual graph

$\approx \Gamma_p$

G : a simply-connected simple Lie algebra w/ \mathfrak{g} .

$\tilde{\mathfrak{g}}$: the affine Dynkin diagram that reduces upon removal of its extra nodes to \mathfrak{g} .

$\tilde{\mathfrak{g}}^t$: its Langlands dual

$\tilde{\mathfrak{g}}$ and $\tilde{\mathfrak{g}}^t$ are distinct only when \mathfrak{g} is not simply-con.
B, C, F, G type.

Elliptic fibration = a surjective proper morphism $\varphi: \underline{Y} \rightarrow \underline{B}$
 if the generic fiber of φ is a smooth proj. curve of
 genus 1 and φ has a rational section.

B is a curve $\Rightarrow Y$ is an elliptic surface

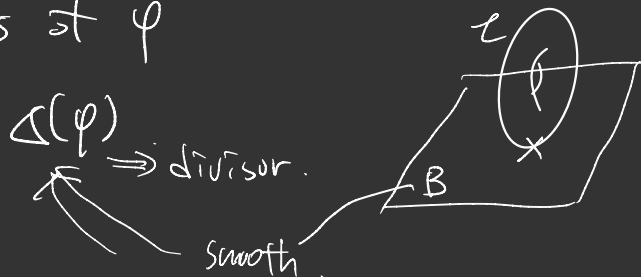
B is a surface $\Rightarrow Y$ is an elliptic 3-fold

B is of dim $n-1 \Rightarrow Y$ is an elliptic n -fold.

The locus of singular fibers of φ

= the discriminant locus

$\Delta(\varphi) \Rightarrow$ divisor.



$\psi: Y \rightarrow B$ a morphism of schemes.

For any $p \in B$, the fiber over p is $Y_p = Y \times_B \text{Spec } \mathcal{K}(p)$.
The 1st proj. $Y_p \rightarrow Y$ induces an homeomorphism from Y_p onto $\psi^{-1}(p)$.

The 2nd proj. gives Y_p the structure of a scheme over the residue field $\mathcal{K}(p)$.
 p is not a closed pt $\Rightarrow \mathcal{K}(p)$ is not necessarily alg. closed.

\rightarrow certain comp. of Y_p can be $\mathcal{K}(p)$ -irred. while they become reducible after an appropriate field extension.

An irred. scheme over a field k is geometrically irred. when it stays irred. over ANY field extension.

The most refined description for Y_p is always the one corr. to $\overline{\mathcal{K}(p)}$.

\Rightarrow the geometric fiber over p is the fiber $Y_p \times_{\mathcal{K}(p)} \overline{\mathcal{K}(p)}$

⇒ A geometric fiber is always composed of geometrically fixed comp.

⇒ The type of Y_p is geometric if it does not change under a field extension.

↳ "arithmetic fiber"

The Kodaira fibers of type I, II, III, III^*, IV^* never need a field ext.

The rest $IV, I_{n \geq 2}, I_n^*, IV^*$ can come from fibers Y_p whose types are not geometric & require at least a field ext. of deg 2 to describe a

fiber of a geometric type.

→ Y_p has a geometric type : Split. $IV^S, I_{n \geq 2}^S, I_{n \geq 1}^{*S}$

→ otherwise : nonsplit. $IV^{nS}, I_{n \geq 2}^{nS}, I_{n \geq 1}^{*nS}$

I_0^*

split

semi-split

non-split

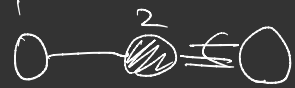
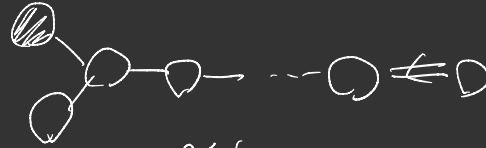
x field ext.

a quadratic ext.

a cubic ext.



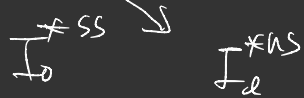
\tilde{F}_4 from V^{*ns}



\tilde{C}_{2+l} ($l > 0$) from I_{2+l}^{ns}

\tilde{B}_{3+l} ($l > 0$)

\tilde{G}_2 from I_0^{*ns}



Elliptic fibrations & singularities to Anomalies & Spectra (Lecture 2)

elliptic fibration $\varphi: Y \rightarrow B$

A genus-one fibration over a variety B

= a surj. morphism $\varphi: Y \rightarrow B$ onto B s.t. the general fiber is smooth proj. curve of genus-one.

A rational section of the genus 1 fibration

= a rational map $\sigma: B \rightarrow Y$ s.t. the image of $\varphi \circ \sigma$ is dense in B and restrict to the id on the domain of σ .

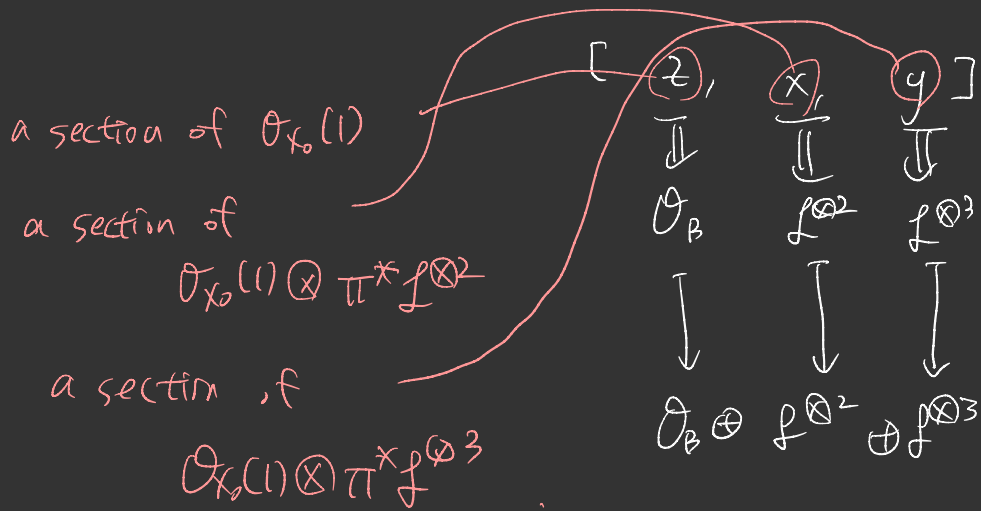
\Rightarrow When the genus-1 fibration admits a rational section, we call it an elliptic fibration.

\rightarrow birational to Weierstrass model.

Weierstrass Model

\mathcal{L} a line bundle over a quasi-proj. var. B

the proj. bundle $\pi: X_0 = \mathbb{P}_B[\mathcal{O}_B \oplus \mathcal{L}^{\otimes 2} \oplus \mathcal{L}^{\otimes 3}] \rightarrow B$



$$\underline{\mathcal{O}(3) \otimes \pi^* \mathcal{L}^{\otimes 6}}$$

the most general Weierstrass eq'n.

$$0 = y^2 z + \underline{a_1} xy z + \underline{a_3} y z^2 - (x^3 + \underline{a_2} x^2 z + \underline{a_4} x z^2 + \underline{a_6} z^3)$$

a_i is a section of $\pi^* \mathcal{O}_B^{\otimes i}$ on B

w/ a rational pt $(X=Z=0)$

$$\varphi: Y \rightarrow B$$

\mathcal{L} : fund. line bundle of the Weierstrass model

→ can be directly defined from the elliptic fibration φ as

$$\mathcal{L} = \mathbb{R}^1 \varphi_* \mathcal{O}_Y$$

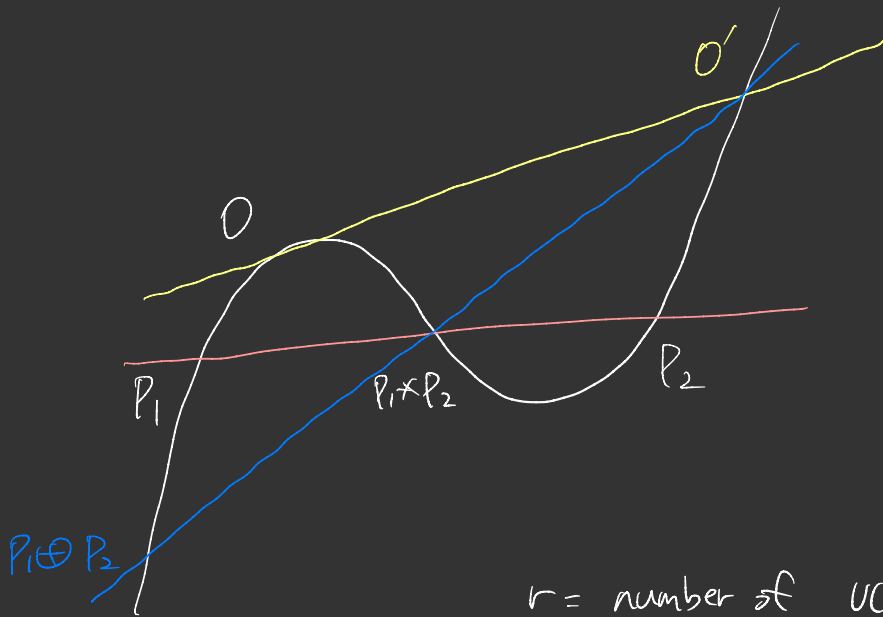
The rational section $\mathcal{O} : z=x=0$

Mordell-Weil group = the group of rational sections of $\varphi: Y \rightarrow B$

→ a finitely generated Abelian group

→ its ranks & torsion groups are bimeromorphic inv.

→ ∃ an id: for a Weierstrass model, $\mathcal{O} : z=x=0$ of the elliptic fibration.



$$P \oplus P_2 = (P_1 * P_2) * O'$$

$$\mathbb{Z}^{\overset{\text{rank}}{\downarrow} r} \oplus \text{Torsion}$$

$r = \text{number of UCI's}$

$$0 = y^2 z + \underline{a_1} x y z + \underline{a_3} y z^2 - (x^3 + \underline{a_2} x^2 z + \underline{a_4} x z^2 + \underline{a_6} z^3)$$

Tate and Deligne '72

$$b_2 = a_1^2 + 4a_2$$

$$b_4 = a_1 a_3 + 2a_4$$

$$b_6 = a_3^2 + 4a_6$$

$$b_8 = a_1^2 a_6 - a_1 a_3 a_4 + 4a_2 a_6 + a_2 a_3^2 - a_4^2$$

$$C_4 = b_2^2 - 24b_4$$

$$C_6 = -b_2^3 + 36b_2 b_4 - 216b_6$$

$$\Delta = -b_2^2 b_6 - 9b_4^3 - 27b_6^2 + 9b_2 b_4 b_6 \leftarrow \text{a section of } \pi^* \mathcal{I} \otimes 12$$

$$j = C_4^3 / \Delta$$

$$1728\Delta = C_4^3 - C_6^2$$

$$4b_8 = b_2 b_6 - b_4^2$$

two birational transform on \mathbb{P}^2

$$[x, y, z] \mapsto [x, \frac{1}{2}(y - a_1 x - a_3 z), z]$$

$$[x, y, z] \mapsto [x - 3b_2 z, \frac{1}{3}y, 3bz]$$

$$\Rightarrow y^2 z = x^3 + \underbrace{27C_4}_{f} x z^2 + \underbrace{54C_6}_{g} z^3$$

"the short form"

the locus of pts p of B s.t.
the fiber over p (Y_p) is singular.

b_i, c_i are sections of $\pi^* \mathcal{I} \otimes i$

$$y^2 z = x^3 + fxz^2 + gz^3 \quad (\text{the short form})$$

$$f = -27c_4$$

$$z=1 / [x/z, y/z, 1] \mapsto [x, y]$$

$$g = -54c_6$$

$$\underline{y^2 = x^3 + fx + g \quad \text{in } \mathbb{P}^2 \setminus \{z=0\} \cong \mathbb{A}^2}$$

$$\Delta = \frac{c_4^3 - c_6^2}{1728} \sim 4f^3 + 27g^2 \quad \text{7-branes live here!}$$

$$j = \frac{c_4^3}{\Delta} = 1728 \frac{4f^3}{4f^3 + 27g^2} \sim 1728 \frac{4f^3}{\Delta}$$

characterize smooth fibers upto isomorphism

"tate's algorithm"

Tate's algorithm

R a complete discrete valuation ring w/ valuation v
uniformizing parameter s

& perfect residue field $\underline{K = R/(s)}$.
characteristic 0.

has only 3 ideals

1. 0
2. ring itself
3. sR

→ The scheme $\text{Spec}(R)$ has only 2 pts:

1. the generic pt (defined by the 0 ideal)
2. the closed pt (" the principal ideal sR)

E/R an elliptic curve over R w/ Weierstrass eq'n.

→ The generic fiber is a regular elliptic curve.

→ Do a resolution of singularities \Rightarrow a regular model \mathcal{E} over \mathbb{R} .
& special fiber = the fiber over the closed pt $\text{Spec } \mathbb{R}/(\mathfrak{m})$.

Tate's algorithm:

the type of the geometric fiber over the closed pt of $\text{Spec } \mathbb{R}$
by manipulating the valuations of the coefficients & Δ .
& the arithmetic properties of some aux. poly.

→ becomes geometric by a field extension \mathbb{K}'/\mathbb{K} .

"local index"

= the order of the component group

= # of reduced comp. of the special fiber defined over \mathbb{K} .

Tate's notation: $a_{i,j} = a_i s^{-j}$ $a = a_{i,j} s^j$ $\begin{cases} f = f_i s^i \\ g = g_k s^k \end{cases}$

Task step	K. Type	$v(f)$	$v(g)$	$v(\Delta)$	$v(j)$	j	Monodromy	Dual graph.
1	I_0	≥ 0	≥ 0	0	0	4	I_2	-
2	I_1	0	0	1	-1	∞	$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$	$\propto \tilde{A}_0$
	I_n	0	0	$n+1$	-n	∞	$\begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}$	\tilde{A}_{n-1}
3	II	≥ 1	1	2	0	0	$\begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}$	$< \tilde{A}_0$
4	III	1	≥ 2	3	0	1728	$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$	$\times \tilde{A}_1$
5	IV	≥ 2	2	4	0	0	$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$	$\times \tilde{A}_2$
6	I_0^*	2	≥ 3	6	0	4	$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$	\tilde{D}_4
		≥ 2	3	6	0	4	$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$	\tilde{D}_4
7	I_n^*	2	≥ 3	$n+6$	-n	∞	$\begin{pmatrix} -1 & -n \\ 0 & -1 \end{pmatrix}$	\tilde{D}_{n+4}
		≥ 2	3	$n+6$	-n	∞	$\begin{pmatrix} -1 & -n \\ 0 & -1 \end{pmatrix}$	\tilde{D}_{n+4}
8	IV^*	≥ 3	4	8	0	0	$\begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}$	\tilde{E}_6
9	II^*	3	≥ 5	9	0	1728	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	\tilde{E}_7
10	II^*	≥ 4	5	10	0	0	$\begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$	\tilde{E}_8

Engineer the axio-dilaton field (ϵ) on space B

Captures nonperturbative aspect:

ϵ is magnetic dual to the 7-brane.

Detect 7-brane by its monodromy

nontrivial only if the fiber is singular.

classified by Kodaira classification

can be deduced w/ Tate's algorithm \Rightarrow ADE Dynkin graph.

\Rightarrow Associate ADE algebra

\rightarrow ADE classifications

\rightarrow get exceptional gauge groups.

" G -models"

fiber type
K-model

a smooth divisor

$\Delta(\varphi)$ contains only irred comp S s.t. S is of type \mathcal{K}
(& any fiber away from S irred.)

Cartier divisor $\Delta \rightarrow$ irred comp. Δ_i

$\Rightarrow \mathfrak{g}_i$ is the trivial Lie algebra ($\because \underline{\mathfrak{g}}_i = \tilde{A}_0$)

Lie algebra associated w/ φ : $\mathfrak{g} = \bigoplus_i \mathfrak{g}_i$

A Lie group G attached to a given φ depends on

1) the type of generic smg. fibers

2) the MW(φ).

trivial MW(φ): G is semisimple : $G = \underline{e^{\hat{\mathfrak{g}} = \bigoplus_i \mathfrak{g}_i}}$

ex) $(K_1 + K_2)$ -model $\tilde{\mathfrak{g}}_1^e, \tilde{\mathfrak{g}}_2^e$

$\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2, G = e^{\hat{\mathfrak{g}}}$

$$MW(\varphi) = T \times \mathbb{Z}^r$$

$$G = \underbrace{\tilde{G}} / T \times U(1)^r, \quad \tilde{G} = e^{\mathfrak{g} = \bigoplus_i \mathfrak{g}_i}$$

requires a choice of embedding T into the center $Z(\tilde{G})$ of G .

$$\text{i.e. } Z(G) = Z(\tilde{G}) / T$$

Lie alg. \mathfrak{g} associated φ is the Langlands dual $\mathfrak{g}^\vee = \bigoplus_i \mathfrak{g}_i^\vee$ of $\mathfrak{g} = \bigoplus_i \mathfrak{g}_i$

Denote $e^{\mathfrak{g}^\vee}$ the unique simply-connected simple group w/ \mathfrak{g}^\vee

$$G = \frac{e^{\mathfrak{g}^\vee}}{MW_{\text{tor}}(\varphi)} \times U(1)^{\text{rk } MW(\varphi)}$$

G-model = an elliptic fibration $\varphi: Y \rightarrow B$ w/ Δ containing an irred. comp. S s.t.

1. the generic fiber over any other component of Δ is irred.

2. the fiber over the generic pt of S has a dual graph that becomes of the same type as the Dynkin diagram of the Langlands dual of \mathfrak{g} after removing the node corr. to the component touching the section of φ .

G	X
A_0	I_1, II
A_1	$I_2^s, I_2^{ns}, I_3^{ns}, III, IV^{ns}$
$A_{n \geq 2}$	I_n^s
D_{n+4}	I_n^{*s}
E_6	IV^{*s}
E_7	III^*
E_8	II^*
B_3	I_0^{*ss}
$B_{n+3 \geq 4}$	I_n^{*ns}
C_{n+2}	$I_{n+4}^{ns}, I_{n+5}^{ns}$
F_4	IV^{*ns}
G_2	I_0^{*ns}

$S_i > 1$

Elliptic fibrations & singularities to Anomalies & Spectra (Lecture 3)

$\varphi: Y \rightarrow B$ a smooth flat fibration.

Δ has a unique component S over which the generic fiber is reducible w/ dual graph $\overset{\text{red}}{G}$.

C_a : the irred. comp. of the generic over S .

$$\varphi^*(s) = \sum_a m_a \underbrace{D_a}_{\text{fibral divisor}}$$

fibral divisor

C_a is the generic fiber of D_a

The weight of a vertical C w.r.t. D_a

= the intersection #

$$\bar{w}(C) = \boxed{\bar{w}_1(C) \dots \bar{w}_n(C)}$$

irred. comp: $\underbrace{D_0, \dots, D_n}_{\text{zero mode}}$

$$\bar{w}_S(C) = (\underbrace{-D_1 \cdot C}_1, \dots, \underbrace{-D_n \cdot C}_1)$$

intersection #s

The irred. curves of the degenerations over $\text{codim } 2$ loci

over S .



give weights of R .

$$\bar{w}_a(C) = - \sum_Y D_a \cdot C$$

A saturated set of weights is inv. under the action of the Weyl group.

A set Π of integral weights is saturated if for any weight $\bar{\omega} \in \Pi$ and any simple α , the weight $\bar{\omega} - i\alpha \in \Pi$ for any $0 \leq i \leq \langle \bar{\omega}, \alpha^\vee \rangle$.

A saturation of a subset of weights Π is finite iff Π is finite

Any subset Π of weights is contained in a unique smallest saturated subset \Rightarrow the saturation of Π .

A saturated set w/ highest weight λ consists of all dominant weights lower than or equal to λ & their conjugates under the Weyl group.
if λ is a dominant weight and $\mu \leq \lambda$ $\exists \mu \in \Pi$.

\Rightarrow w/ Π a finite saturated set of weights,

\exists a finite-dim \mathfrak{g} -module whose set of weights is Π .

To a G-model:

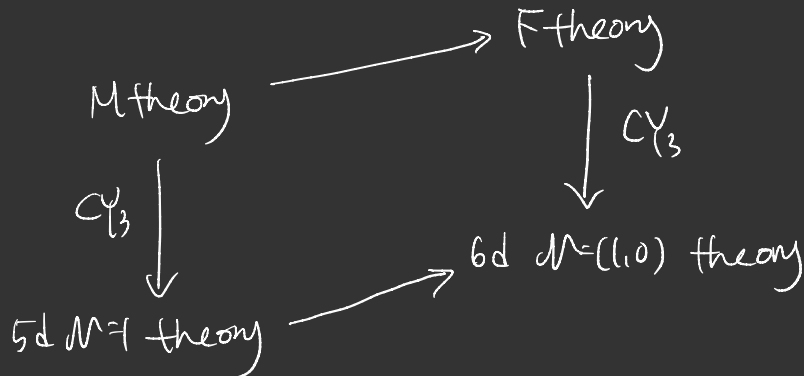
the weight vectors of the irr. part, rational curves of the fibers over codim 2 pts form a set Π whose saturation defines uniquely a \mathbb{R} .

Aspinwall - Gross '96.

Dictionary

elliptic fibration	gauge theory
codim 1 singularities	gauge algebra (\mathfrak{g})
codim 2 singularities	representation (R)
Mordell-Weil group	the fund. group of the gauge group
crepant resolutions	Coulomb phases ($\Pi(\mathfrak{g})$).
flops	phase transitions
triple intersection polynomial	3d prepotential

F-theory compactifications



gravity mult
 $g_{\mu\nu}, \underline{\psi}_\mu, A_\mu$

vector mult
 hypers $A_\mu, \underline{\lambda}, \phi$
 ξ^m, \underline{A}^m

gravity mult $g_{\mu\nu}, B_{\mu\nu}^-, \underline{\psi}_\mu^A$
 tensor mult $B_{\mu\nu}^+, \phi, \underline{\chi}^{A+}$
 vector mult $A, \underline{\lambda}^{A-}$
 hypers g, η^+

tensor mult : $SO(1, n_T) / SO(n_T)$

hyper : n_H

Coulomb branch of the 5d theory

= the vacuum moduli space where the \mathfrak{g} is completely broken into
Cartan subalg \mathfrak{h} by the vev of the ϕ in vector mult.

residual gauge sym. = Weyl group \mathcal{W} of \mathfrak{g} .

Full gauge-fixing = a choice of a dual fund. Weyl chamber \mathcal{W}_0

$$\mathcal{W}_0 = \{ \phi \in \mathfrak{h} : \underline{d}_i(\phi) > 0, i = \underline{1}, \dots, \underline{r} \}$$

mass of a hyper \propto its charge

λ given by λ of R .

λ \searrow
massless

$$\lambda: \ker(\lambda) \subset \mathcal{W}_0 \subset \mathfrak{h}$$

vector mult \longrightarrow Weyl chamber

massless hyper @ the singularities \longrightarrow subchamber structures.

The network of flops via hyperplane arrangement

\Rightarrow the theory of Coulomb branches of 5d gauge theories ($\dim=1$)

The network of crepant resolutions

\cong the network of Vogel chambers of hyperplane geometry $I(g, R)$

A resolution of singularities of a variety Y

= a proper birational morphism $\varphi: \tilde{Y} \rightarrow Y$

& φ is an isomorphism away from the singular locus of Y .
 \tilde{Y} is nonsingular

i.e. \tilde{Y} is nonsingular

if U is the sing. locus of Y , φ maps $\varphi^{-1}(\varphi \setminus U)$

isomorphically onto $Y \setminus U$.

A birational proj. morphism φ is crepant if it preserves the canonical class.
i.e. $K_{\tilde{Y}} = \varphi^* K_Y$.

In $d=2$, one unique crepant resolution

In $d=3$, crepant resolutions of Gorenstein singularities always exist
but usually not unique.

In $d \geq 4$, crepant resolutions are not always possible.

Coulomb branch = network of resolutions
subchambers = crepant resolutions
walls & their intersections = partial resolutions
Moving on the walls & their intersections = blowing down
reflections = flops

ex) $SU(3)$



$SU(2) \times G_2$
(18)



$SU(2) \times SU(3)$
(19)



5d/6d Spectra

F theory on Y_3
 \downarrow
 6d $(1,0)$ supergravity

M theory on CY_3
 \downarrow
 5d $\mathcal{N}=1$ supergravity

F theory on $CY_3 \times S^1$
 \downarrow
 5d $\mathcal{N}=1$ supergravity

$$n_v^{(6)} = h^{1,1}(Y_3) - h^{1,1}(B) - 1$$

$$n_T = h^{1,1}(B) - 1$$

$$n_H = \underline{n_H^o} + \underbrace{n_H^{ch}}_{\text{ch}}$$

$$n_H^o = h^{2,1}(CY_3) + 1$$

$$n_v^{(5)} = n_v^{(6)} + n_T + 1 = h^{1,1}(Y_3) - 1$$

$$n_H^o = h^{2,1}(Y) + 1$$

$$h^{0,1}(B) = h^{0,2}(B) = 0$$

Shioda-Tate-Wazir theorem (1/4)

Canonical class of the CY_3

$$10 - \mathbb{K}^2 \text{ (Noether)}$$

$$\begin{cases} h^{1,1}(Y) = \boxed{h^{1,1}(B)} + \boxed{1} = \text{rank}(G) \\ h^{2,1}(Y) = h^{1,1}(Y) - \frac{1}{2} \chi(Y) \end{cases}$$

Intersection theory & pushforward formulae.

The chow group $A_*(X)$ = the group of divisors

$[V]$ = the class of a subvariety V in $A_*(X)$.

$\alpha \in A_*(X)$, $\int_X \alpha$ = degree of α .

The total homological Chern class $c(X) = c(TX) \cap [X]$
 $c_i(TX)$ i th Chern class of the TX

$f: X \rightarrow Y$ f_* pushforward

$$f^*[V] = [f^{-1}(V)]$$

image $W = f(V)$ a subvariety of Y , a function field $\mathbb{R}(V)$ an extension of the $\mathbb{R}(W)$.

$$f_*: A_*(Y) \rightarrow A_*(X) : f_*[V] = \begin{cases} 0 & \dim V \neq \dim W \\ [\mathbb{R}(V) : \mathbb{R}(W)] [W] & \text{if } \dim V = \dim W \end{cases}$$

$$\underline{\underline{\chi(X) = \int_X c(X)}}$$

$$\forall \alpha \in A_*(X), \int_X \alpha = \int_Y f_* \alpha$$

X a proj. var. w/ at most Gorenstein sing.

Y a crepant resolution w/ $K_Y = f^* K_X$.

Atuffi '10 $Z \subset X$ a complete intersection of hypersurfaces
 $Z_i = V(z_i)$ meeting transversally in X .
 $i=1, \dots, d$.

$f: \tilde{X} \rightarrow X$ centered @ Z .

$$X \leftarrow \frac{(z_1, \dots, z_d | \mathcal{O})}{\downarrow} \tilde{X} = \text{Bl}_Z X$$

exceptional divisor $E = V(e)$.

The total Chern class $c(T_{\tilde{X}}) = (1+E) \left(\prod_{i=1}^d \frac{1+f^*z_i-E}{1+f^*z_i} \right) f^*c(T_X)$

$$f_* \tilde{E}^n = (-1)^{d+n} \underline{h_{nd}(z_1, \dots, z_d)} z_1 \dots z_d$$

17

$$\tilde{Q}(t) = \sum_a \underline{f^* Q_a} t^a$$

$$Q(t) = \sum_a \underline{Q_a} t^a$$

$$f_* \tilde{Q}(t) = \sum_{\ell=1}^d Q(z_\ell) M_\ell$$

$$M_\ell = \prod_{\substack{m=1 \\ m \neq \ell}}^d \frac{z_m}{z_m - z_\ell}$$

$$\pi: X_0 = \mathbb{P}[\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}^{\otimes 2} \oplus \mathcal{O}_{\mathbb{P}^1}^{\otimes 3}] \rightarrow \mathbb{B}$$

$$c_1(\mathcal{O}_{X_0}(1)) = H$$

$$c_1(\mathcal{L}) = L$$

$$\pi_* \tilde{Q}(H) = - \left. \frac{Q(H)}{H^2} \right|_{H=-2L} + 3 \left. \frac{Q(H)}{H^2} \right|_{H=-3L} + \frac{Q(0)}{6L^2}$$

\Rightarrow the Chern class of a Weierstrass model

$$c(TY) = \frac{(1+H)(1+H+2L)(1+H+3L)}{1+3H+6L} c(TB)$$

ex) two divisors of class Z_1 and Z_2

$$f_* E = 0 \quad f_* E^2 = -Z_1 Z_2 \quad f_* E^3 = -(Z_1 + Z_2) Z_1 Z_2 \quad \dots$$

ex) 3 divisors

$$f_* E = 0 \quad f_* E^2 = 0 \quad f_* E^3 = Z_1 Z_2 Z_3 \quad f_* E^4 = (Z_1 + Z_2) Z_1 Z_2 Z_3 \quad \dots$$

for Weierstrass proj. bundle π

$$\pi_* 1 = 0 \quad \pi_* H = 0 \quad \pi_* H^2 = 1 \quad \pi_* H^3 = -5L \quad \dots$$

$$\pi_* H^k = [(-2)^{k-1} - (-3)^{k-1}] L^{k-2}$$

$$\Rightarrow \pi_* (H^k \underline{(3H + 6L)}) = -(-3)^k L^{k-1}$$

Elliptic fibrations & singularities to Anomalies & Spectra (Lecture 4)

Batyrev: The Euler characteristic of a crepant resolution of a variety w/ Gorenstein canonical singularities is independent on the choice a crepant resolution.

→ Identify χ as the degree of the total homological Chern class of a crepant resolution $f: \tilde{Y} \rightarrow Y$ for a Weierstrass model

$$\psi: Y \rightarrow B \quad \text{is} \quad \chi(\tilde{Y}) = \int c(\tilde{Y}), \quad = \int_B \pi_x^* \int_x c(\tilde{Y})$$

$$\chi_0 = [\mathcal{O}_B \oplus \mathcal{L}^{\otimes 2} \oplus \mathcal{L}^{\otimes 3}]$$

→ $\underbrace{c(B), c(\mathcal{L}), \mathcal{S}}$

(Kontsevich): For (Y_3) Hodge #s are the same for two different crepant resolutions.

e) smooth Weierstrass model

- generating fans of \mathcal{X} : $\mathcal{X}(Y) = \frac{|2L}{|L+6L}| c(B)$, $L = -K$.

- $\mathcal{X}(Y_3) = -60k^2$

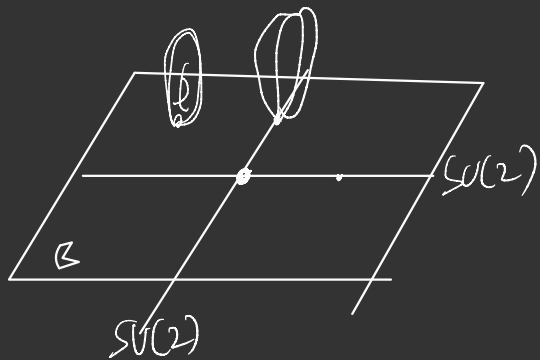
- Hodge #'s: $h^{1,1}(Y_3) = 11 - k^2$, $h^{2,1}(Y_3) = 11 + 29k^2$

e) G_2 Weierstrass Model

- generating fans of \mathcal{X} : $\mathcal{X}(Y) = |2L| \frac{L+25L-S^2}{(L+S)(L+6L-3S)} c(B)$

- $\mathcal{X}(Y_3) = -60k^2$

- Hodge #'s: $h^{1,1}(Y_3) = 13 - k^2$, $h^{2,1}(Y_3) = 13 + 29k^2 + 24k \cdot S + 25^2$



$$\underline{SO(4)} = \underline{[SU(2) \times SU(2)] / \mathbb{Z}_2}$$

$$\chi(CY_3) = -4(4K^2 + 4KS + S^2)$$

$$h^{1,1} = 13 - K^2$$

$$h^{2,1} = 13 + 17K^2 + 8KS + 2S^2$$

Fourfolds? Chern numbers

$$\int_Y C_1(TY)^4, \int_Y C_1(TY)^2 C_2(TY), \int_Y C_1(TY) C_3(TY)$$

$$\int_Y C_2^2(TY), \int_Y C_4(TY)$$

Pontryagin numbers: $P_1(TY) = C_2^2(TY) - 2C_2(TY)$

$$P_2(TY) = C_2^2(TY) - 2C_1(TY) C_3(TY) + 2C_4(TY)$$

Fivefolds? Not generally true that Chern #s are inv. under crepant birational maps.

$$\int C_1^5, \int C_1^3 C_2, \int C_1^2 C_3, \int C_1 C_4, \int C_5, \int C_1 C_2^2, \int C_2 C_3$$

inv.

N_H^{ch}

5d prepotentials = triple intersection polynomials.

The scalar fields in the vector mult. are restricted to the Cartan subalgebra of the Lie group.

The charge of hyper is simply given by a weight of \mathfrak{R} .

Intriligator-Morrison-Seiberg '97.

$$6 F_{pre}(\phi) = \frac{1}{2} \left(\sum_{\alpha} |\langle \alpha, \phi \rangle|^3 - \sum_i \sum_{\bar{w} \in R_i} N_{R_i} |\langle \bar{w}, \phi \rangle|^3 \right)$$

for all simple group (w/ the exception of $SU(N \geq 3)$), this is the full prepotential.

D_a : fibral divisors of an φ over B

$$\Rightarrow \text{irred. comp. of } \varphi^* S = \sum_a m_a D_a$$

D_0 = the divisor touching the section of the φ .

define a poly. over the Chow ring of Y $\varphi_* (\sum_a D_a \phi_a)^3$ in $A_*(B)[\phi_a]$

$$\Rightarrow \int_Y (\sum_a D_a \phi_a)^3 \cdot \phi^3 M = \int_B \varphi_* (\sum_a D_a \phi_a)^3 \cdot M$$

triple intersection poly: $6 \mathcal{F}_{\text{trip}}(k, S, \phi) = \varphi_* \left((\sum_a D_a \phi_a)^3 \cdot \varphi^* M \right)$

ex) G_2 -model.

$A_{\dim B - 2}(B)$

$$6 \mathcal{F}_{\text{pre}} = - 8 \phi_1 (n_{1\varphi} + n_7 - 1) + 4 \phi_2 \phi_1^2 (-2n_{1\varphi} + n_7 + 2) + 3 \phi_2^2 \phi_1 (2n_{1\varphi} - n_7 - 2)$$

$$2-2g = k \cdot S - S^2$$

\int_Y genus of S

$$- 8(n_{1\varphi} - 1) \phi_2^3 \quad || (\phi_0 \rightarrow 0)$$

$$\begin{cases} n_7 = 10(g-1) + 3S^2 \\ n_{1\varphi} = g \end{cases}$$

$$6 \mathcal{F}_{\text{trip}} = \underline{- 8(g-1) \phi_0^3 + 3 \phi_2^2 \phi_1 (4g - 4S^2 - 3 \phi_0 \phi_1^2 (2g - 2 - S^2))}$$

$$- 8(g-1) \phi_1^3 + 24(3g - 3 - S^2) \phi_2^3 - 27(4g - 4 - S^2) \phi_1^2 \phi_2 + 9(g - 6 - S^2) \phi_1 \phi_2^2$$

Algorithm to get Geometric Data

Step 1: Determine a singular Weierstrass model w/ Kodaira fibers associated to the desired G .

Step 2: Determine a crepant resolution of the singular Weierstrass model.

Step 3: Compute the push forward formulae to push the total Chern class of the resolved elliptic fibration to its base.
(as a sequence of blowups.)
→ generator fan of \mathcal{X} is computed
→ for d -dim base, \mathcal{X} is given by the coeff. of t^d in power series expansion.
→ $\mathcal{X}(C^4)$

Step 4: Compute the Hodge $h_{1,1}$

Step 5: Determine the fiber structure of the resolved Weierstrass model.

Step 6: Determine the representations by computing the geometric weights of the irred. comp. of the singular fibers over codim 2 pts.

Step 7: Compute the triple intersection poly.

5d $\mathcal{N}=1$ theory

$$n_v = h^{1,1}(Y) - 1$$

$$n_H = n_H^o + n_H^{ch} = h^{2,1}(Y) + 1 + \sum_i n_{R_i} (\dim R_i - \dim R_i^{(0)})$$

6d $\mathcal{N}=(1,0)$ theory

$$n_v = \dim G, \quad n_T = g - k^2$$

$n_H = \text{the same}$.

Anomaly Cancellations in 6d $\mathcal{N}=(1,0)$ supergravity.

Green-Schwarz mechanism for 6d. $\Rightarrow \underline{I_8}$, R , F_i

\rightarrow pure-gravitational anomaly ($\text{tr} R^4$ term)

$$n_H - n_V + 24 n_T - 473 = 0$$

The remainder of I_8 :

$$I_8 = \frac{k^2}{8} (\text{tr} R^2)^2 + \frac{1}{6} \sum_a \underline{X_a^{(2)}} \underline{\text{tr} R^2} - \frac{2}{3} \sum_a \underline{X_a^{(4)}} + 4 \sum_{a < b} Y_{ab}$$

$$X_a^{(n)} = \text{tr}_{\text{adj}} F_a^n - \sum_i n_{R_{i,a}} \text{tr}_{R_{i,a}} F_a^n$$

$$\underline{Y_{ab}} = \sum \underline{n_{R_{i,a}} n_{R_{j,b}}} \text{tr}_{R_{i,a}} F_a^2 \text{tr}_{R_{j,b}} F_b^2$$

$(R_{i,a}, R_{j,b})$

$G_a \times G_b$

$$= \sum_{(i,j)} n_{R_{i,a}} n_{R_{j,b}} A_{R_{i,a}} A_{R_{j,b}} \text{tr}_{F_a} F_a^2 \text{tr}_{F_b} F_b^2$$

Consider (R_1, R_2) $G = G_1 \times G_2$.

$$\dim R_2 \Rightarrow n_{R_1}$$

$$\dim R_1 \Rightarrow n_{R_2}$$

$$\begin{cases} n_{R_1} = \dots + \dim R_2 n_{R_1 R_2} \\ n_{R_2} = \dots + \dim R_1 n_{R_1 R_2} \end{cases}$$

hypers in R_i : $\dim R_i - \dim R_i^{(0)}$

$$n_H^{ch} = \sum_i n_{R_i} (\dim R_i - \dim R_i^{(0)})$$

$$\text{tr}_{R_{i,a}} F_a^2 = A_{R_{i,a}} \text{tr}_{F_a} F_a^2$$

$$\text{tr}_{R_{i,a}} F_a^4 = B_{R_{i,a}} \text{tr}_{F_a} F_a^2 + C_{R_{i,a}} (\text{tr}_{F_a} F_a^2)^2$$

$$X_a^{(2)} = (A_{a,adj} - \sum_i n_{R_{i,a}} A_{R_{i,a}}) \text{tr}_{F_a} F_a^2$$

$$X_a^{(4)} = (B_{a,adj} - \sum_i n_{R_{i,a}} B_{R_{i,a}}) \text{tr}_{F_a} F_a^4 + (C_{a,adj} - \sum_i n_{R_{i,a}} C_{R_{i,a}}) (\text{tr}_{F_a} F_a^2)^2$$

0 pure gauge anomalies to cancel.

rearrange:

$$G = G_1 + G_2$$

$$I_f = \frac{R^2}{f} (\text{tr} R^2)^2 + \frac{1}{6} \left(X_1^{(2)} + X_2^{(2)} \right) \text{tr} R^2 + \frac{2}{3} \left(X_1^{(4)} + X_2^{(4)} \right) + 4 Y_{12}$$

factors as $\frac{1}{2} S_{ij} X_i^{(4)} X_j^{(4)}$, then the anomalies are cancelled by adding the counter term $S_{ij} B_i \wedge X_j^{(4)}$ to the Lagrangian.

$$H^{(1)} = dB^{(1)} + \underline{\omega^{(1)}}$$

f	A_n	B_n	C_n	D_n	E_6	E_7	E_8	F_4	G_2
λ	1	2	1	2	6	12	60	6	2

$$H = dB + \frac{1}{2} \underbrace{\omega_{\text{syk}}}_{\text{SYK}} + 2 \sum_a \frac{S_a}{(D_a)} \underbrace{\omega_{a, \text{CS}}}_{\text{CS}}$$

$I_f = X \cdot X$, by adding $\Delta L_{\text{GS}} \propto \frac{1}{2} B \wedge X$.

$$X = \frac{1}{2} K \text{tr} R^2 + \sum_a \frac{2}{(D_a)} S_a \text{tr} F_a^2$$

the Dynkin index of F_a of E_n .

Coolidge :

$$n_T = 9 - k^2$$

$$n_H - n_V + 29n_T - 273 = 0$$

$$B_{a,adj} - \sum_i n_{R_{i,a}} B_{R_{i,a}} = 0$$

$$\lambda_a (A_{a,adj} - \sum_i n_{R_{i,a}} A_{R_{i,a}}) = 6k \cdot \underline{S_a}$$

$$\lambda_a^2 (C_{a,adj} - \sum_i n_{R_{i,a}} C_{R_{i,a}}) = 3S_a^2$$

$$\lambda_a \lambda_b \sum_{(i,j)} n_{R_{i,a}} n_{j,b} A_{R_{i,a}} A_{R_{j,b}} = S_a \cdot S_b \quad (a \neq b)$$

SU(2) x G₂ model

$$I_2^S + I_0^{xNS}$$

$$I_2^{xNS} + I_0^{xNS}$$

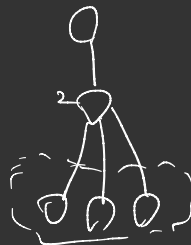
$$\text{IV} + I_0^{xNS}$$

$$IV^{xNS} + I_0^{xNS}$$



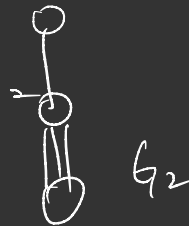
III

$$S = V(S)$$



$$I_0^{xNS}$$

$$T = V(T)$$



G₂

$$y^2 z = x^2 + f s t^2 x z^2 + g s^2 t^3 z^3$$

$$\Delta = s^3 t^6 (4f^3 + 27g^2 s)$$

4 crepant resolutions

$$X_0 \leftarrow \frac{(x, y, s | e)}{(x, y, t | w)} X_1 \leftarrow \frac{(x, y, t | w)}{(y, w, t | u)} X_2 \leftarrow \frac{(y, w, t | u)}{(y, w, t | u)} X_3$$

$$\chi(CY_3) = -6 (6k^2 + s^2 + 5sk + 2ST + 8kT + 2T^2)$$

h^{1,1}

h^{2,1}

SU(2)

$$12 - k^2$$

$$12 + 24k^2 + 15kS + 3S^2$$

G₂

$$13 - k^2$$

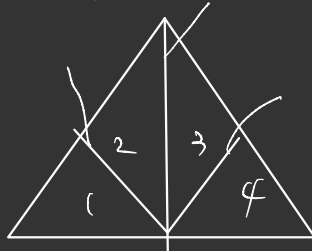
$$13 + 24k^2 + 24kT + 6T^2$$

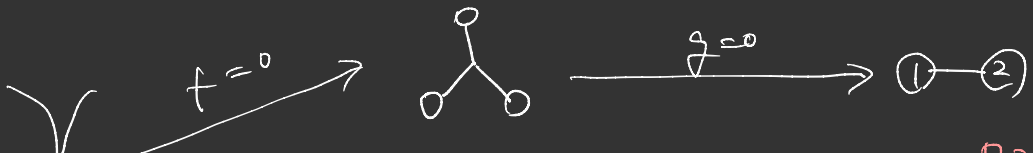
SU(2) x G₂

$$14 - k^2$$

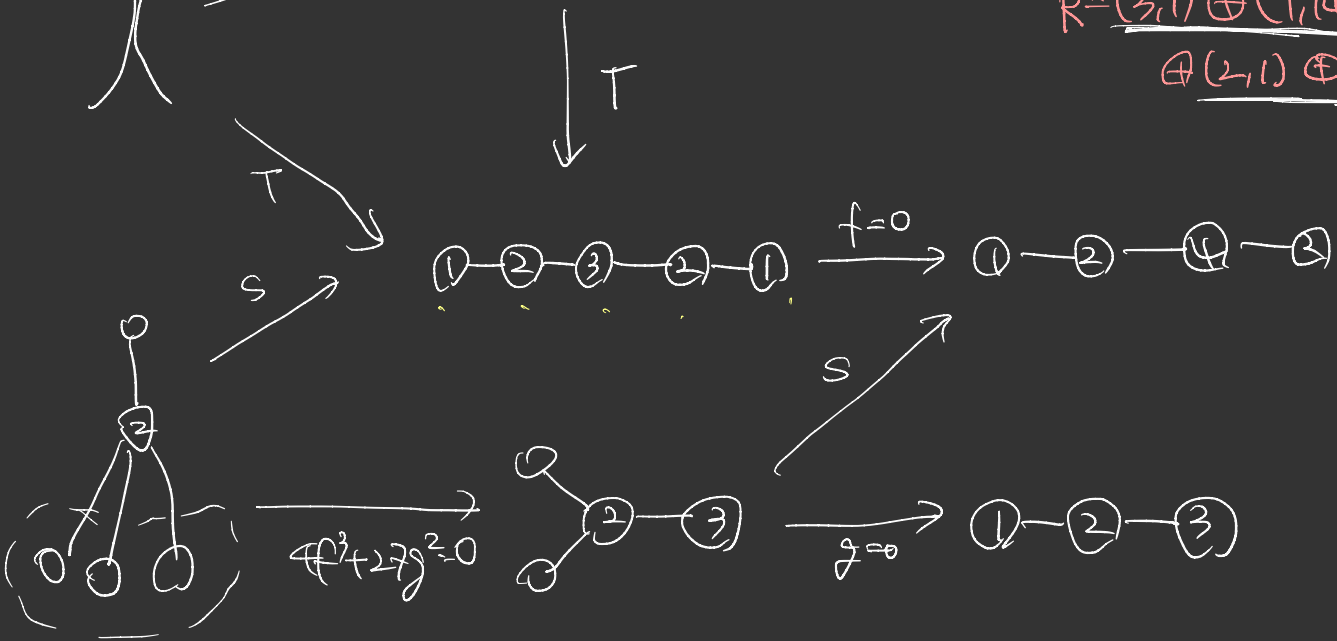
$$14 + 24k^2 + 15kS + 3S^2 + 24kT + 6T^2 + 6ST$$

(2,7) weights





$$R = \underline{(3,1) \oplus (1,4) \oplus (2,7)} \\ \oplus \underline{(2,1) \oplus (1,7)}$$



codim 1

2 $\overset{III^*}{\sim} \mathbb{F}_7$

3 $\overset{IV^*}{\sim} \mathbb{F}_7$

5

$$n_{2,7} = \frac{1}{2}ST$$

$$n_{1,7} = -T(K+S+2T)$$

$$n_{1,14} = \frac{1}{2}(KT + T^2 + 2)$$

$$\underline{n_{2,1} + 8n_{3,1} = -S(4K - 2S - \frac{7}{2}T)}$$

$$\Delta = S^3 + t^6(4f^3 + 27g^2s)$$

(2.1) S and $\Delta' = 4f^3 + 27g^2s$

$$n_{2,1} \stackrel{?}{=} S \cdot [f] = -S(4K + S + 2T) \quad \times$$

2 curves of III has a proj. line (a conic $y^2 - t^3(fx + g) = 0$)

[y:s:x]

$$\det \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & t/2t^2f \\ 0 & t/2t^2f & -t^2g \end{pmatrix} = \frac{1}{4} t^4 f^2$$

$$n_{2,1} \stackrel{?}{=} 2S \cdot [V(f)] = \underline{2S \cdot (4K + S + 2T)} \quad \times$$

6

$$n_H - n_V - 29n_T - 273 = 0$$

$$J_f = \frac{1}{2} \left(\frac{1}{2}K + tK^2 + 2S + t_2F^2 + T(t_2F^2) \right)^2$$

$$n_{2,1} = -S(4K + 2S + \frac{7}{2}T)$$

$$n_{3,1} = \frac{1}{2}(KS + S^2 + 2)$$

$n_{2,7}, n_{1,7}, n_{1,14}$ the same

half-hyperos
in (2.7)
affecting 4
contributes
 $\frac{1}{2}ST$
 $n_{2,1} =$
 $-2S \cdot (4K + S + 2T)$
 $+ \frac{1}{2}ST \cdot V$