

# Distribution of Gaussian primes and zeros of $L$ -functions

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# Writing a prime as a sum of two squares

If  $p \equiv 1 \pmod{4}$ , there is a unique way to write  $p = a^2 + 4b^2$  with  $a, b$  integers,  $a, b > 0$ .

## Theorem (Hecke)

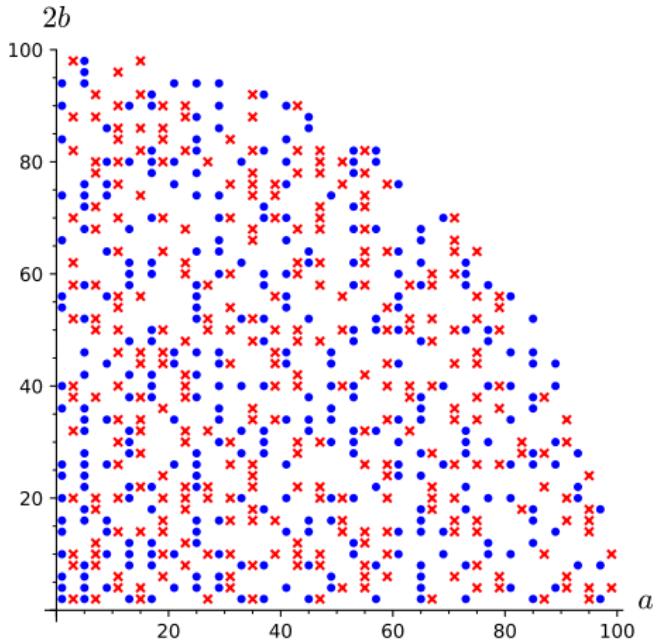
We have

$$\#\{p \leq x : p = a^2 + 4b^2, |a| \equiv 1 \pmod{4}\}$$

$$\sim \#\{p \leq x : p = a^2 + 4b^2, |a| \equiv 3 \pmod{4}\} \sim \frac{1}{4}\pi(x).$$

Is there a bias?

# Writing a prime as a sum of two squares

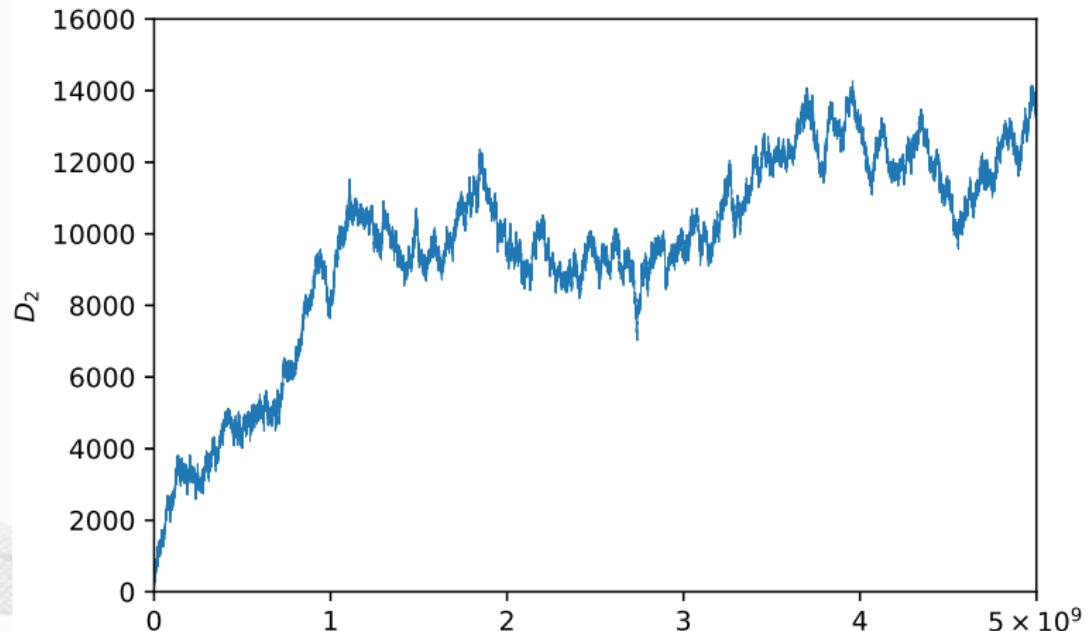


Prime numbers with  $p = a^2 + 4b^2 < 10000$ ,  
 $a, b > 0$ , blue dots :  $a \equiv 1 \pmod{4}$ , red crosses :  $a \equiv 3 \pmod{4}$ .

# Is there a bias ?

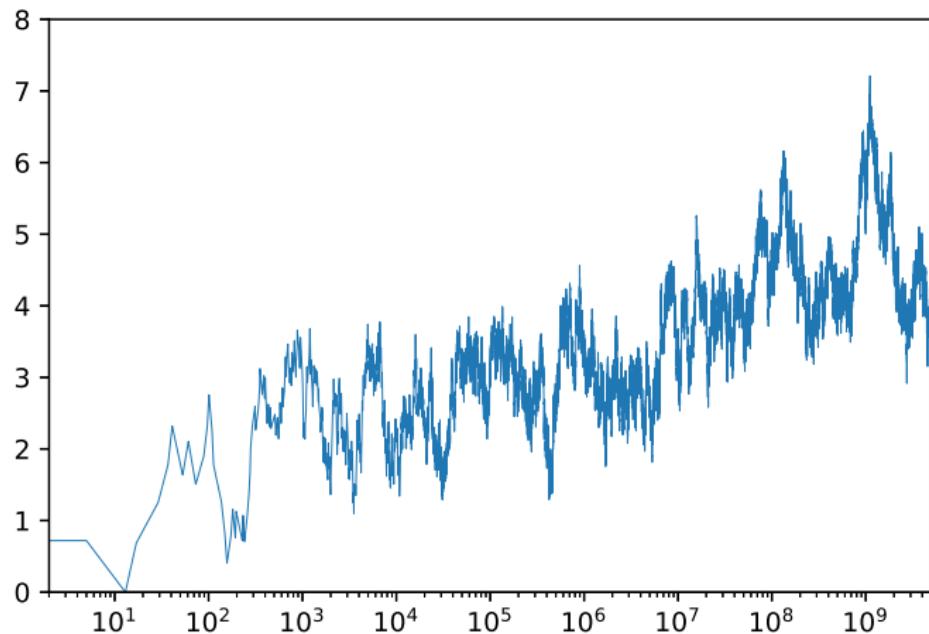
*La bienveillance, avec laquelle vous avez toujours agréé mes recherches m'engage à vous présenter un nouveau résultat relatif aux nombres premiers que je viens de trouver. En cherchant l'expression limitative des fonctions qui déterminent la totalité des nombres premiers de la forme  $a^2 + 4b^2$  pour lesquels  $a$  est de la forme  $4n + 1$  et ceux pour lesquels  $a$  est de la forme  $4n + 3$ , je suis parvenu à reconnaître que ces deux fonctions diffèrent notablement entre elles par leurs seconds termes, dont la valeur, pour les nombres  $4n + 1$  est plus grande que celle pour les nombres  $4n + 3$  ;*

# Fouvry's bias



$$\#\{p \leq x : p = a^2 + 4b^2, |a| \equiv 1 \pmod{4}\} - \#\{p \leq x : p = a^2 + 4b^2, |a| \equiv 3 \pmod{4}\}$$

# Fouvry's bias, normalized



$$\frac{\log x}{\sqrt{x}} \left( \#\{p \leq x : p = a^2 + 4b^2, |a| \equiv 1 \pmod{4}\} - \#\{p \leq x : p = a^2 + 4b^2, |a| \equiv 3 \pmod{4}\} \right),$$

normalized, logarithmic scale

# Interpretation

Consider the CM elliptic curve with affine equation  $E : y^2 = x^3 + x$ .

Write

$$a_p = p + 1 - |E(\mathbb{F}_p)| = 2\sqrt{p} \cos \tilde{\theta}_p = \sqrt{p}(\xi(\mathfrak{p}) + \xi(\bar{\mathfrak{p}})),$$

for  $(p) = \mathfrak{p}\bar{\mathfrak{p}}$ ,

where  $\xi$  is the Hecke character on the multiplicative groups of fractional ideals of  $\mathbf{Z}[i]$  modulo (4) defined by

$$\xi((\alpha)) = \begin{cases} \frac{\alpha}{|\alpha|} & \text{if } \alpha \equiv 1 \pmod{4} \\ -\frac{\alpha}{|\alpha|} & \text{if } \alpha \equiv 3 + 2i \pmod{4} \\ 0 & \text{if } (\alpha, (4)) \neq 1. \end{cases}$$

Then if  $p = a^2 + 4b^2$ ,

$$|a| \equiv 1 \pmod{4} \Leftrightarrow \cos \tilde{\theta}_p > 0.$$

## Explicit formula

Assuming the Generalized Riemann Hypothesis, we obtain that

$$\sum_{\substack{p \leq x \\ p \equiv 1 \pmod{4}}} \cos(\tilde{\theta}_p) = \frac{x^{\frac{1}{2}}}{2 \log x} \left( 1 - \sum_{\substack{|\gamma| \leq T \\ L(\frac{1}{2} + i\gamma, \xi) = 0}} \frac{e^{i\gamma \log x}}{\frac{1}{2} + i\gamma} + R_1(x, T) \right)$$

where  $R_1(x, T)$  is small in average for a good choice of  $T$ .

## Explicit formula – again

Assuming the Generalized Riemann Hypothesis, we obtain that

$$\sum_{\substack{p \leq x \\ p \equiv 1 \pmod{4}}} \cos(m\tilde{\theta}_p) = \frac{x^{\frac{1}{2}}}{2 \log x} \left( -(-1)^m - \sum_{\substack{|\gamma| \leq T \\ L(\frac{1}{2} + i\gamma, \xi^m) = 0}} \frac{e^{i\gamma \log x}}{\frac{1}{2} + i\gamma} + R_m(x, T) \right)$$

where  $R_m(x, T)$  is small in average for a good choice of  $T$ .

## Summing the explicit formulas

Following an idea of Sarnak in a letter to Mazur,  
we have

$$\begin{aligned} & \sum_{\substack{p \leq x \\ p \equiv 1 \pmod{4}}} \sum_{m \geq 1} C_m \cos(m\tilde{\theta}_p) \\ &= \frac{x^{\frac{1}{2}}}{\log x} \sum_{m \geq 1} C_m \left( -\frac{(-1)^m}{2} - \frac{1}{2} \sum_{\substack{|\gamma| \leq T \\ L(\frac{1}{2} + i\gamma, \xi^m) = 0}} \frac{e^{i\gamma \log x}}{\frac{1}{2} + i\gamma} + R_m(x, T) \right) \end{aligned}$$

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## Summing the explicit formulas

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where  $R_m(x, T)$  are small in average for a good choice of  $T$ .

We have  $L(\frac{1}{2}, \xi^m) = 0$  for  $m \equiv 3 \pmod{4}$ .

# Even, $2\pi$ -periodic smooth functions

## Theorem (D.)

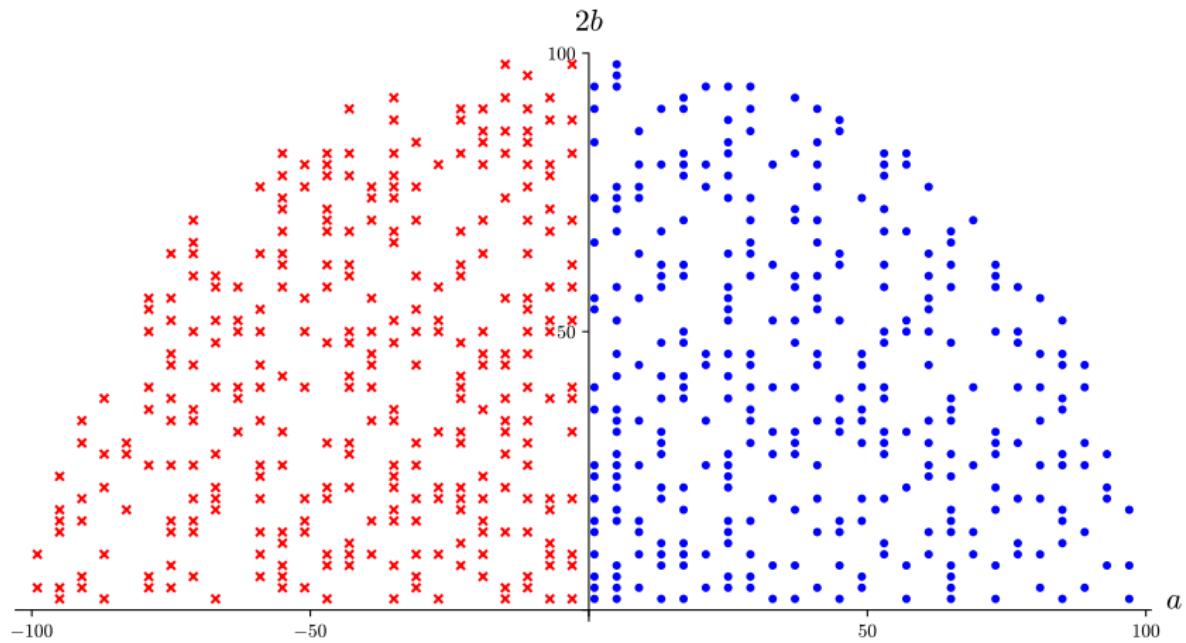
Assume the Generalized Riemann Hypothesis, and that for all  $m \in \mathbf{N}_{>0}$  we have  $L(\frac{1}{2}, \xi^m) = 0$  with order 1 if and only if  $m \equiv 3 \pmod{4}$ .

Then, for any  $\phi$  smooth, even,  $2\pi$ -periodic with  $\int_0^\pi \phi = 0$  the function

$y \mapsto \frac{y}{e^{y/2}} \sum_{p \leq e^y} \phi(\tilde{\theta}_p)$  admits a limiting distribution with mean value equal to

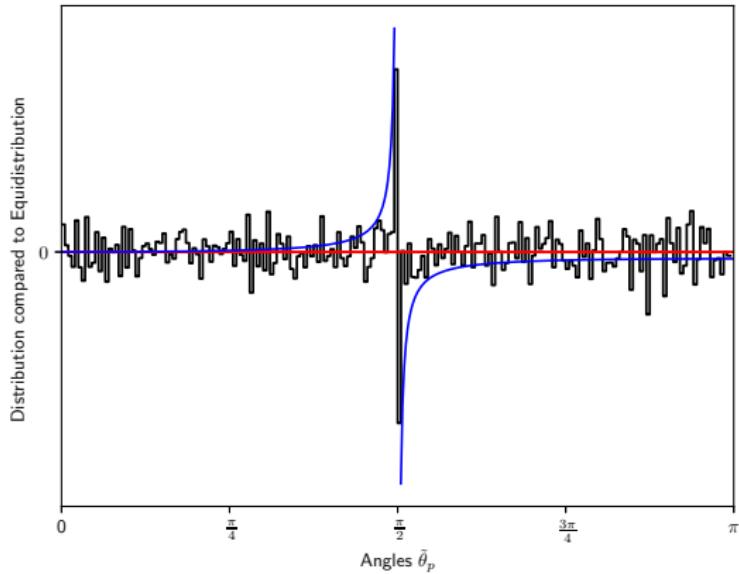
$$-\frac{\phi(0) + \phi(\pi)}{4} + \frac{1}{2\pi} \int_{-\pi}^{\pi} \phi(t) \frac{1}{2 \cos(t)} dt.$$

# Modified angles



Prime numbers with  $p = a^2 + 4b^2 < 10000$ ,  
unfolded :  $\sqrt{p}e^{i\tilde{\theta}_p}$  blue dots :  $a \equiv 1 \pmod{4}$ , red crosses :  $a \equiv 3 \pmod{4}$ .

# Distribution of the angles of unfolded Gaussian primes



Distribution of the angles  $\tilde{\theta}_p$  in intervals  $[\frac{k\pi}{200}, \frac{(k+1)\pi}{200})$  for  $p \equiv 1 \pmod{4}$ ,  $p \leq 10^7$ . **equidistribution**, with secondary term :  $\frac{1}{2 \cos(t)}$

# Counting zeros at $\frac{1}{2}$ in a family

Let  $\mathcal{F}$  be a *family* of  $L$ -functions, and

$$\mathcal{F}(Q) = \begin{cases} \{f \in \mathcal{F} : c_f \leq Q\} \text{ or} \\ \{f \in \mathcal{F} : c_f = Q\} \text{ or} \\ \{f \in \mathcal{F} : Q \leq c_f \leq 2Q\} \text{ or ...} \end{cases}$$

with  $|\mathcal{F}(Q)| \xrightarrow[Q \rightarrow \infty]{} \infty$ .

Average over the family :

Definition (Average rank for a family of  $L$ -function)

Let  $\mathcal{F}$  be a family of  $L$ -functions, we define

$$\mathcal{R}(\mathcal{F}(Q)) = \frac{1}{|\mathcal{F}(Q)|} \sum_{f \in \mathcal{F}(Q)} \text{ord}_{s=\frac{1}{2}} L(s, f)$$

# Counting zeros at close to $\frac{1}{2}$ in a family

Let  $\mathcal{F}$  be a *family* of  $L$ -functions, and

$$\mathcal{F}(Q) = \begin{cases} \{f \in \mathcal{F} : c_f \leq Q\} \text{ or} \\ \{f \in \mathcal{F} : c_f = Q\} \text{ or} \\ \{f \in \mathcal{F} : Q \leq c_f \leq 2Q\} \text{ or ...} \end{cases}$$

with  $|\mathcal{F}(Q)| \xrightarrow[Q \rightarrow \infty]{} \infty$ .

Average over the family :

Definition (One-level density for a family of  $L$ -function)

Let  $\mathcal{F}$  be a family of  $L$ -functions, let  $\phi$  be an even Schwartz function we define

$$\mathcal{D}(\mathcal{F}(Q), \phi) = \frac{1}{|\mathcal{F}(Q)|} \sum_{f \in \mathcal{F}(Q)} \sum_{\gamma} \phi\left(\frac{\gamma}{2\pi} \log c_f\right)$$
$$L\left(\frac{1}{2} + i\gamma, f\right) = 0$$

# The Katz–Sarnak heuristic

Inspired by ideas of Dyson, Montgomery and Odlyzko, using function fields analogues and random matrix models,

## Conjecture (Katz–Sarnak)

*For any family  $\mathcal{F}$ , there exist a symmetry type  $G(\mathcal{F})$ , such that for any even Schwartz function  $\phi$ , one has*

$$\lim_{Q \rightarrow \infty} \mathcal{D}(\mathcal{F}(Q), \phi) = \int \phi(x) W(G(\mathcal{F}))(x) dx$$

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*For any family  $\mathcal{F}$ , there exist a symmetry type  $G(\mathcal{F})$ , such that for any even Schwartz function  $\phi$ , one has*

$$\begin{aligned}\lim_{Q \rightarrow \infty} \mathcal{D}(\mathcal{F}(Q), \phi) &= \int \phi(x) W(G(\mathcal{F}))(x) dx \\ &= \int \hat{\phi}(x) \widehat{W(G(\mathcal{F}))}(x),\end{aligned}$$

where  $\hat{\phi}(\xi) := \int_{\mathbf{R}} \phi(x) e^{-2\pi i \xi x} dx$ .

# Katz–Sarnak heuristic – symmetry types

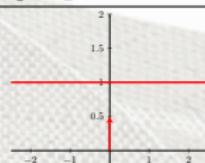
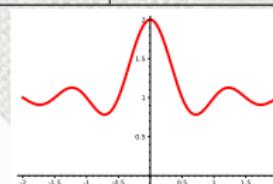
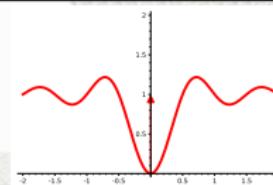
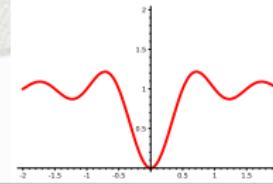
Symmetry type	$\int \phi W$	graph of $W$
$O$	$\int \phi + \frac{1}{2}\phi(0)$	 A horizontal red line at $y = 1.5$ on a coordinate system with x-axis from -2 to 2 and y-axis from 0.5 to 2.
$SO(\text{even})$	$\int \phi + \int \phi(x) \frac{\sin(2\pi x)}{2\pi x} dx$	 A red curve symmetric about the y-axis, passing through (0, 2). It has local minima near x = -1.5 and x = 1.5, and local maxima near x = -0.5 and x = 0.5.
$SO(\text{odd})$	$\int \phi + \phi(0) - \int \phi(x) \frac{\sin(2\pi x)}{2\pi x} dx$	 A red curve symmetric about the origin, passing through (0, 1). It has local minima near x = -1.5 and x = 1.5, and local maxima near x = -0.5 and x = 0.5.
$Sp$	$\int \phi - \int \phi(x) \frac{\sin(2\pi x)}{2\pi x} dx$	 A red curve antisymmetric about the origin, passing through (0, 0). It has local minima near x = -1.5 and x = 1.5, and local maxima near x = -0.5 and x = 0.5.

Table – Possible symmetry types in real families

# Katz–Sarnak heuristic – symmetry types

Symmetry type	$\int \hat{\phi} \hat{W}$	graph of $\hat{W}$
$O$	$\hat{\phi}(0) + \frac{1}{2}\phi(0)$	
$SO(\text{even})$	$\hat{\phi}(0) + \frac{1}{2} \int_{-1}^1 \hat{\phi}$	
$SO(\text{odd})$	$\hat{\phi}(0) + \phi(0) - \frac{1}{2} \int_{-1}^1 \hat{\phi}$	
$Sp$	$\hat{\phi}(0) - \frac{1}{2} \int_{-1}^1 \hat{\phi}$	

Table – Possible symmetry types in real families

# Proportion of non-vanishing

Take

$$\phi(x) = \left( \frac{\sin(\pi\sigma x)}{\pi\sigma x} \right)^2 \quad \hat{\phi}(t) = \begin{cases} \frac{\sigma - |t|}{\sigma^2} & \text{if } |t| < \sigma \\ 0 & \text{otherwise.} \end{cases}$$

Then the proportion of  $f$  in  $\mathcal{F}$  such that  $L(\frac{1}{2}, f) \neq 0$  is at least

$$\frac{3}{4} - \frac{1}{2\sigma} \quad SO(\text{even})$$

$$\frac{5}{4} - \frac{1}{2\sigma} \quad SO(\text{odd})$$

$$\frac{5}{4} - \frac{1}{2\sigma} \quad Sp$$

# Cutting the family

Let  $\xi_k$  be the primitive character inducing  $\xi^k$ ,

$$\mathcal{F}^\alpha := \{L(s, \xi_k) : k \geq 1, k \equiv \alpha \pmod{4}\}.$$

$$\mathcal{D}(K; \phi, \mathcal{F}^\alpha) := \frac{4}{K} \sum_{\substack{1 \leq k \leq K \\ k \equiv \alpha \pmod{4}}} \sum_{L(\frac{1}{2} + i\gamma, \xi_k) = 0} \phi\left(\frac{\gamma \log k}{\pi}\right). \quad (1)$$

## Theorem (David–D.–Waxman)

Let  $\phi$  be an even Schwartz function with  $\text{supp}(\widehat{\phi}) \subset (-1, 1)$ . When  $\alpha$  is even,

$$\mathcal{D}(K; \phi, \mathcal{F}^\alpha) = \widehat{\phi}(0) - \frac{1}{2} \int_{\mathbb{R}} \widehat{\phi}(u) \, du + O\left(\frac{1}{\log K}\right)$$

and when  $\alpha$  is odd,

$$\mathcal{D}(K; \phi, \mathcal{F}^\alpha) = \widehat{\phi}(0) + \frac{1}{2} \int_{\mathbb{R}} \widehat{\phi}(u) \, du + O\left(\frac{1}{\log K}\right).$$

# Vanishing at $\frac{1}{2}$

## Corollary

Let  $\alpha \in \mathbb{Z}/4\mathbb{Z}$ . Then the proportion of non-vanishing in  $\mathcal{F}_d^\alpha$  is at least

$$\lim_{K \rightarrow \infty} \frac{4}{K} \# \left\{ 1 \leq k \leq K, k \equiv 0 \pmod{2} : L\left(\frac{1}{2}, \xi_k\right) \neq 0 \right\} \geq 75\%.$$

In the case  $\alpha = 1$ , we have

$$\lim_{K \rightarrow \infty} \frac{4}{K} \# \left\{ 1 \leq k \leq K, k \equiv 1 \pmod{4} : L\left(\frac{1}{2}, \xi_k\right) \neq 0 \right\} \geq 25\%.$$

When  $\alpha = 3$ , each  $L(s, \xi_k)$  vanishes at  $s = \frac{1}{2}$ , but we have

$$\lim_{K \rightarrow \infty} \frac{4}{K} \# \left\{ 1 \leq k \leq K, k \equiv 3 \pmod{4} : \text{ord}_{s=\frac{1}{2}} L(s, \xi_k) = 1 \right\} \geq 75\%.$$

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Agréez etc.